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## Fast Constrained Surface Extraction by Minimal Paths

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**Abstract.** In this paper we consider a new approach for single object segmentation in 3D images. Our method improves the classical geodesic active surface model. It greatly simplifies the model initialization and naturally avoids local minima by incorporating user extra information into the segmentation process. The initialization procedure is reduced to introducing 3D curves into the image. These curves are supposed to belong to the surface to extract and thus, also constitute user given information. Hence, our model finds a surface that has these curves as boundary conditions and that minimizes the integral of a potential function that corresponds to the image features. Our goal is achieved by using globally minimal paths. We approximate the surface to extract by a discrete network of paths. Furthermore, an interpolation method is used to build a mesh or an implicit representation based on the information retrieved from the network of paths. Our paper describes a fast construction obtained by exploiting the Fast Marching algorithm and a fast analytical interpolation method. Moreover, a Level set method can be used to refine the segmentation when higher accuracy is required. The algorithm has been successfully applied to 3D medical images and synthetic images.

**Keywords:** active surfaces, active contours, minimal paths, level set method, object extraction

### 1. Introduction

Since their introduction by Kass et al. (1998) deformable models have been extensively used to find single and multiple objects in 2D and 3D images. The common use of these models consists in introducing an initial object in the image and transforming it until it reaches a wanted target. In most applications, the evolution of the object is done in order to minimize an energy attached to the image data, until a steady state is reached. One of the main drawbacks of this approach is

that it suffers from local minima ‘traps’. This happens when the steady state, reached by the active object, does not correspond to the target but to another local minimum of the energy. Thus, the active object initialization is a fundamental step, if it is too far from the target, local minima can block the active object evolution, and the target is never reached. On the other hand, when image quality is very low, the information contained in any energy derived from the image, may not lead to the desired segmentation. The model should then be able to take into account additional information given by the user.

46 Since the publication of Kass et al. (1998), much  
 47 work has been done in order to free active models from  
 48 the problem of local minima. A balloon force was early  
 49 proposed in Cohen (1991) to make the model more ac-  
 50 tive and to cope with the shrinking problem, but this  
 51 force supposed a known direction in the evolution. The  
 52 introduction of region dependent energies (Paragios,  
 53 2000; Cohen, 1997) and the use of shape priors ap-  
 54 proaches (Yuille et al., 1992; Cremers and Schnörr  
 55 2003; Tsai et al., 2003), contributed to create a more  
 56 robust framework. Nonetheless, when looking for a  
 57 precise object (like the left ventricle in 3D ultrasound  
 58 images) if the initialization of the model is made by  
 59 simple geometric objects (spheres, cylinders), too far  
 60 from the targeted shape, most of the present models will  
 61 fail. Tedious hand drawing initializations are thus of-  
 62 ten needed. In this work, we focus on a novel approach  
 63 for 3D single object segmentation having a cylinder-  
 64 like topology. Our contribution consists in exploiting  
 65 two curves, introduced in the image by the user, in or-  
 66 der to segment the object by a first approximation of  
 67 a minimal energy surface that avoids unwanted local  
 68 minima. The given curves are supposed to be drawn  
 69 on the surface of the object to be segmented. They  
 70 constitute the initialization of the 3D model, and the  
 71 information they provide (for being drawn on the ob-  
 72 ject to extract) is highly exploited, since the surface  
 73 our algorithm generates is constrained to contain them.  
 74 In order to avoid local minima ‘traps’, our algorithm  
 75 builds a network of globally minimal paths, then a  
 76 surface is interpolated by a novel analytical interpo-

77 lation method we have developed. As an illustration  
 78 of the situation we are working on, we give, in Fig. 1,  
 79 an example of the user input to our algorithm for the  
 80 segmentation of a 3D ultrasound volume of the left  
 81 ventricle.

The outline of our paper is as follows: we begin in  
 82 Section 2 by recalling the principles of geodesic active  
 83 contours and surfaces as well as the global minimal  
 84 paths framework. In Section 3 we explain how mini-  
 85 mal paths can be used to build a network of paths that  
 86 discretely approximates the surface to be segmented  
 87 and that is not sensitive to the problem of local mi-  
 88 nima traps. In Section 4 we give the final step of our  
 89 algorithm which is the generation of the surface from  
 90 the network of paths. At last, in Section 5 we show  
 91 some examples on synthetic data and real medical  
 92 images.  
 93

## 2. Active Surfaces and Minimal Paths 94

### 2.1. Evolution Equations 95

Active surfaces as well as minimal paths re-  
 sulted from deformable models introduced with the  
 snakes model (kass et al., 1988). This model con-  
 sisted in introducing a curve  $g$  into the image  
 and making it evolve in order to minimize the  
 energy,

$$E(g) = \int \alpha \cdot \|g'(s)\|^2 + \beta \cdot \|g''(s)\|^2 + \mathcal{P}(g(s)) ds.$$

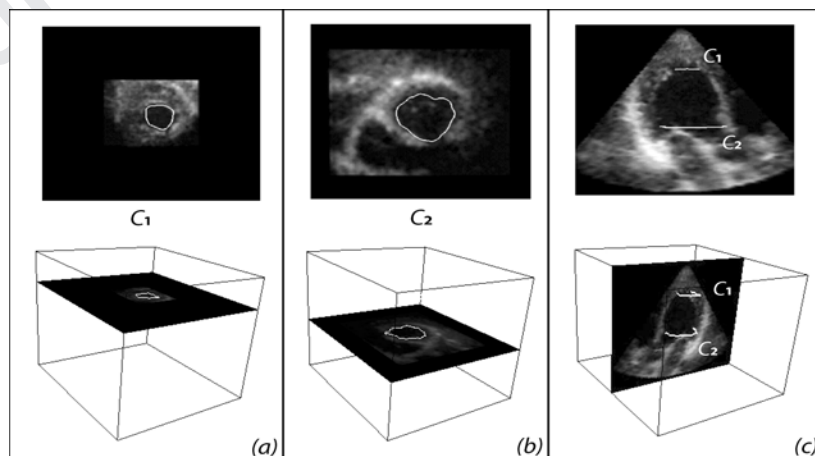


Figure 1. Three different slices of a 3D ultrasound volume of a left ventricle and the two user given curves  $C_1$  and  $C_2$ . (a) and (b) show the two parallel slices where the curves are drawn. (c) shows a perpendicular slice to the curves in order to show their position with respect to the ventricle.

96 The two first terms maintained the regularity of the  
 97 curve and the last one was the data attachment term.  
 98 The potential function  $\mathcal{P}$ , usually represented an edge  
 99 detector that had lower values on edges. For example  
 100  $\mathcal{P} = (1 + |\nabla I|^2)^{-1}$  if  $I$  is the image.  
 101 Caselles et al. improved the energy formulation in  
 102 Caselles et al. (1997a,b) by introducing the geodesic  
 103 active contour model and its surface extension. In their  
 104 approach the evolution of an initial curve  $g_0$  or sur-  
 105 face  $\mathcal{S}_0$  was driven by the minimization of the geodesic  
 106 energies

$$E(g) = \int \mathcal{P}(g(s)l) \|g'(s)\| ds \quad \text{and}$$

$$E(\mathcal{S}) = \int \int \mathcal{P}(s(u, v)) \|S_u \times S_v\| dudv \quad (1)$$

107 Hence, their model is geometrical, since it is no  
 108 longer dependent on parameterization. Even though  
 109 these models are only edge-driven, most of current ap-  
 110 proaches that integrate other information (region, tex-  
 111 ture, shape knowledge) are actually extensions. The  
 112 most popular approach for solving the minimization  
 113 problems (1) is to consider Euler-Lagrange equations  
 114 (first variation of the energy) and derive from them the  
 115 corresponding descent schemes:

$$\left\{ \begin{array}{l} \frac{\partial g}{\partial t} = (\mathcal{P}\kappa - \nabla\mathcal{P} \cdot \vec{n})\vec{n}, \quad g(\cdot, 0) = g_0 \end{array} \right\} \quad \text{and}$$

$$\left\{ \begin{array}{l} \frac{\partial \mathcal{S}}{\partial t} = (\mathcal{P}H - \nabla\mathcal{P} \cdot \vec{N})\vec{N}, \quad \mathcal{S}(\cdot, \cdot, 0) = \mathcal{S}_0 \end{array} \right\} \quad (2)$$

116 where  $H$  and  $\kappa$  are respectively the mean curvature of  
 117 the surface and the curvature of the curve.  $\vec{N}$  and  $\vec{n}$   
 118 are their inward normals. This approach is limited by  
 119 the fact that it can lead to local minima of the energy.  
 120 This is of course true for their level set formulation as  
 121 well (see for example Caselles et al. (1997a) Osher and  
 122 Sethian (1988)). Therefore, in the next section we recall  
 123 a method introduced in Cohen and Kimmel (1997) that  
 124 allows to find the global minimum for the active contour  
 125 energy (1) when imposing the two end points. This  
 126 formulation does not use the curve evolution equation  
 127 in (2).

## 128 2.2. Global Minimal Paths Between Two Points

129 Cohen and Kimmel give in Cohen and Kimmel (1997) a  
 130 method to find the global minimal path, connecting two  
 131 points  $p_1$  and  $p_2$ , with respect to a given cost function

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$\mathcal{P}$ . In other words, they find the global minimum of the 132  
 geodesic active contour's energy (1) when imposing 133  
 to the curve its two end points. They show that this 134  
 globally minimal curve is obtained by following the 135  
 opposite gradient direction on the minimal action map 136  
 $\mathcal{U}_{p_1}$ , 137

$$\mathcal{U}_{p_1}(q) = \inf_{g(0)=p_1, g(L)=q} \left\{ \int_0^L \mathcal{P}(g(s)) ds \right\},$$

where  $L$  is the length of  $g$ . (3)

The minimal path between  $p_2$  and  $p_1$  is thus obtained 138  
 by solving the problem: 139

$$\frac{dg}{ds}(s) = -\nabla\mathcal{U}_{p_1}(g(s)) \quad \text{with } g(0) = p_2. \quad (4)$$

In order to compute  $\mathcal{U}_{p_1}$ , Cohen and Kimmel (1997) 140  
 use the fact that this map is solution to the well known 141  
 eikonal equation (a proof of this fact can be found in 142  
 Bruckstein (1988)): 143

$$\|\nabla\mathcal{U}_{p_1}\| = \mathcal{P} \quad \text{and} \quad \mathcal{U}_{p_1}(p_1) = 0. \quad (5)$$

Equation (4) can be numerically solved by simple or- 144  
 dinary differential equations techniques like Newton's 145  
 or Runge-Kutta's. To numerically solve Eq. (5), clas- 146  
 sic finite differences schemes tend to be unstable. In 147  
 Tsitsiklis (1995) Tsitsiklis introduced a new method 148  
 that was independently reformulated by Sethian in 149  
 Sethian (1996). It relies on a one-sided derivative look- 150  
 ing in the direction of the information flow, and it gives 151  
 a consistent approximation of the weak solution to 152  
 Eq. (5). This algorithm is known as the Fast Marching 153  
 algorithm and is now widely used and understood. It 154  
 was used in Cohen and Kimmel (1997) to solve Eq. (5) 155  
 and find globally minimizing contours in images. More 156  
 details on its background and implementation can be 157  
 found in Sethian (1999) and Cohen (2001). It is impor- 158  
 tant to highlight a major advantage of this algorithm: it 159  
 has an  $O(N \log(N))$  complexity on a grid of  $N$  nodes, 160  
 and only one grid pass is needed to give a first order 161  
 approximation of the solution. An extension to 3D of 162  
 Fast Marching and minimal paths is straightforward. 163  
 The authors of Deschamps and Cohen (2001) used it to 164  
 find centerlines in 3D tubular structures. The minimal 165  
 path is obtained by gradient descent, solving Eq. (4), 166  
 like in the 2D case. 167

To summarize, we are able, by imposing its two end 168  
 points, to build a 3D global minimum path for the 169

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170 energy, without using an evolution equation subject  
171 to unwanted local minima traps. On the other hand,  
172 the goal of active surfaces is to locate a certain local  
173 minimum of energy (1) that agrees with the user's cri-  
174 teria. The problem is that during the evolution process  
175 the surface can be trapped by other local minima, or,  
176 additional information could be necessary in order to  
177 complete image information and achieve a new wanted  
178 minimum.

179 In what follows, we propose to use the global mini-  
180 mum property of the paths to generate a segmentation  
181 surface  $\mathcal{S}_0$  from two curves drawn by the user. We re-  
182 duce the 3D initialization to drawing these curves, in-  
183 stead of complicated volumes in the case of difficult  
184 images. We also use these curves as additional user  
185 information for avoiding unwanted local minima.

### 186 3. From Global Minimal Paths to 3D Surface

187 We propose to use a set of minimal paths, built be-  
188 tween two constraining curves  $\mathcal{C}_1$  and  $\mathcal{C}_2$ , to define a  
189 first approximation of an energy minimizing surface  
190  $\mathcal{S}_0$ . The intuition behind this approach is that this set  
191 of global minimal paths is contained in a surface that  
192 would qualify for a good segmentation approach if, in  
193 the beginning,  $\mathcal{C}_1$  and  $\mathcal{C}_2$  are well located in the 3D  
194 image.

#### 195 3.1. Minimal Path Network

196 We wish to build a set of global minimal paths between  
197 the two constraining curves using the method outlined  
198 in the previous section. A naive numerical approach  
199 for this construction is to build minimal paths between  
200 all the points of the discretized versions of  $\mathcal{C}_1$  and  $\mathcal{C}_2$ .  
201 Hence, each point of  $\mathcal{C}_1$  would be associated to all the  
202 points of  $\mathcal{C}_2$ . Clearly this would be computationally  
203 expensive (at least  $n$  actions maps to build and  $n \times n$   
204 gradient descents, if  $n$  is the number of points of the  
205 discretized versions of  $\mathcal{C}_1$  and  $\mathcal{C}_2$ ), and many of this  
206 numerous associations would not be relevant. Thus,  
207 we consider the following approach: We shall say that  
208  $g$  is a path between a point  $p_1$  and a curve  $\mathcal{C}_1$  if  $g(0) =$   
209  $p_1$  and  $g(L) \in \mathcal{C}_1$ . We then define surface  $\mathcal{S}_0$  as the  
210 set of minimal energy paths  $\{g_{\mathcal{C}_1}^p\}$  between curve  $\mathcal{C}_1$   
211 and all points  $p$  of the curve  $\mathcal{C}_2$ . More precisely,  $\mathcal{S}_0 =$   
212  $\bigcup_{p \in \mathcal{C}_2} \{g_{\mathcal{C}_1}^p\}$ .

213 As recalled in Ardon and Cohen (2003), the problem  
214 of computing  $g_{\mathcal{C}_1}^p$ , minimal path between  $\mathcal{C}_1$  and  $p$ , can

be addressed by performing a gradient descent on the 215  
action map  $\mathcal{U}_{\mathcal{C}_1}$ , defined by 216

$$\mathcal{U}_{\mathcal{C}_1}(p) = \inf_{\{g \text{ between } p \text{ and } \mathcal{C}_1\}} \left\{ \int_0^L \mathcal{P}(g(s)) ds \right\}. \quad (6)$$

Furthermore it is easy to see that  $\mathcal{U}_{\mathcal{C}_1}(p) =$  217  
 $\inf_{q \in \mathcal{C}_1} \{\mathcal{U}_q(p)\}$ , where  $\mathcal{U}_q$  is the action map associated 218  
to point  $q$  defined in Section 2.2 by Eq. (3). This implies 219  
that the numerical estimation of  $\mathcal{U}_{\mathcal{C}_1}$  can also be done 220  
using the Fast marching algorithm, initializing  $\mathcal{U}_{\mathcal{C}_1}$  by 221  
 $\mathcal{U}_{\mathcal{C}_1}(p) = 0$  if  $p \in \mathcal{C}_1$  (a discretized version of it) and 222  
 $\mathcal{U}_{\mathcal{C}_1}(p) = \infty$  otherwise. Indeed, this can be understood 223  
by recalling the fact that the value of  $\mathcal{U}_{i,j,k}$  only depends 224  
on points among its six nearest neighbors whose values 225  
of  $\mathcal{U}$  are inferior. Thus, when marching away from the 226  
points of  $\mathcal{C}_1$ , Fast marching will automatically compute 227  
 $\inf_{q \in \mathcal{C}_1} \{\mathcal{U}_q(p)\}$ . 228

Using  $\mathcal{U}_{\mathcal{C}_1}$ , we can now estimate  $\mathcal{S}_0$ . Consider a dis- 229  
cretized version of  $\mathcal{C}_2$  containing  $n_2$  points  $\{p_i\}_{i=1 \dots n_2}$ . 230  
For each and every point  $p_i$ , by gradient descent on 231  
 $\mathcal{U}_{\mathcal{C}_1}$ , we build the minimal path between this point and 232  
 $\mathcal{C}_1$ , thus generating a finite set of paths from  $\mathcal{C}_1$  to  $\mathcal{C}_2$ : 233  
 $\{g_{\mathcal{C}_1}^i\}_{i=1 \dots n_2}$ . The final numerical approximation of  $\mathcal{S}_0$  234  
will be the result of the interpolation of this network 235  
and concerns Section 4 of this paper. An illustration of 236  
 $\mathcal{S}_0$  is given in Figs. 2(a) and (b) on a synthetic image. 237  
A potential adapted to finding the surface of the vase 238  
shown in Fig. 2(a) is used. The network, shown in Fig. 239  
2(b), is built between two curves  $\mathcal{C}_1$  and  $\mathcal{C}_2$  drawn on 240  
the surface of the vase. 241

An important remark is that the definition of surface 242  
 $\mathcal{S}_0$  is not symmetric. Indeed, in general  $\bigcup_{p \in \mathcal{C}_2} \{g_{\mathcal{C}_1}^p\} \neq$  243  
 $\bigcup_{p \in \mathcal{C}_1} \{g_{\mathcal{C}_2}^p\}$ , and of course, the set of paths  $\{g_{\mathcal{C}_1}^i\}_{i=1 \dots n_2}$  244  
is different from its homologue set  $\{g_{\mathcal{C}_2}^i\}_{i=1 \dots n_1}$ . One 245  
could think of using this feature to generate a denser 246  
set of paths by looking for a surface that would be 247  
defined as the union of both networks. However, in 248  
practice, this symmetrical construction does not give 249  
satisfactory results. 250

An interesting particular case of the previous con- 251  
struction is obtained when curve  $\mathcal{C}_1$  is reduced to a 252  
single point  $p_0$ . However, in this degenerated case, in 253  
order to obtain a coherent network  $\mathcal{S}_0$ ,  $p_0$  has to be 254  
situated in a specific location of the object to segment. 255  
This position corresponds to the maximum of the 256  
action map  $\mathcal{U}_{\mathcal{C}_2}$  (solution to the eikonal equation taking 257  
zero values at curve  $\mathcal{C}_2$ ) on the surface of the object to 258  
extract. This location is very difficult to find automat- 259  
ically, since the object is unknown; for the user, this 260

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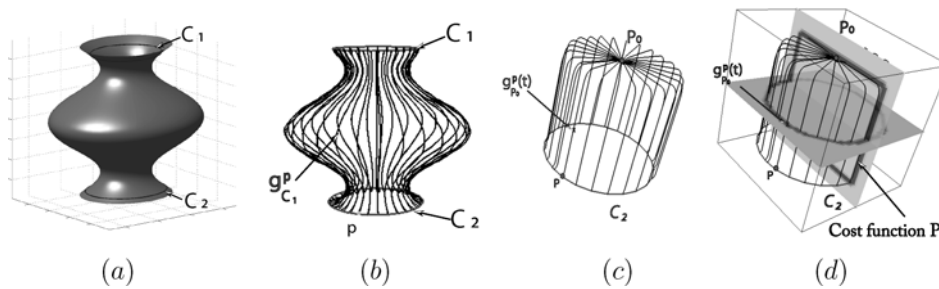


Figure 2. (a) is the original vase surface from which a 3D test image is generated. We also show the position of the constraining curves that are given by the user. (b) is the set of minimal paths ( $S_0$ ) generated between the two constraining curves. The paths are minimal with respect to a potential that takes small values on the vase's boundaries. Note that the paths of  $S_0$  lay on the vase's surface. (c) Set of Minimal Paths in the degenerated case: between a point and a curve lying on a closed cylinder. Point  $p_0$  is located on the center of the upper face of the cylinder, it is the farthest point on the surface of the cylinder from curve  $C_2$ . In (d) we superimposed to the network of paths the cost function  $\mathcal{P}$  used for its construction.

261 point corresponds to the one being the farthest away  
 262 from  $C_2$  on the surface. On Fig. 2(c) and (d) we give an  
 263 illustration of this case on a synthetic image of a closed  
 264 cylinder. As shown in Fig. 2(d) (where two slices of the  
 265 3D cost function are shown), point  $p_0$  is the center of  
 266 the upper part of the cylinder and curve  $C_2$  is drawn on  
 267 the opposite side.

268 3.2. Projecting the Minimal Paths

269 Recall that functional  $E(g) = \int_0^L \mathcal{P}(g(s)) ds$  is built  
 270 by summing the cost function ( $\mathcal{P}$ ) along the curve  $g$ .  
 271 Hence, a minimal curve with respect to  $E$  establishes

a balance between reducing its length, and following  
 weak values of  $\mathcal{P}$ . In order to clarify the explanation  
 that follows, we first consider a 2D situation, which  
 corresponds to the illustration given on Fig. 3(a). The  
 cost function is derived from a 2D image that contains  
 an object we wish to extract. Suppose that this object  
 presents a strong curvature on the neighborhood of a  
 certain point  $p$ . Consider two other points,  $p_1$  and  $p_2$ ,  
 also positioned on this object, and relatively far from  
 $p$  with respect to a characteristic size of the concav-  
 ity. Then, as illustrated in Fig. 3(a), a minimal path  
 between  $p_1$  and  $p_2$  will tend to avoid this concavity  
 by 'cutting through' stronger values of  $\mathcal{P}$ , its length  
 being topenalizing otherwise. In the 3D case, minimal

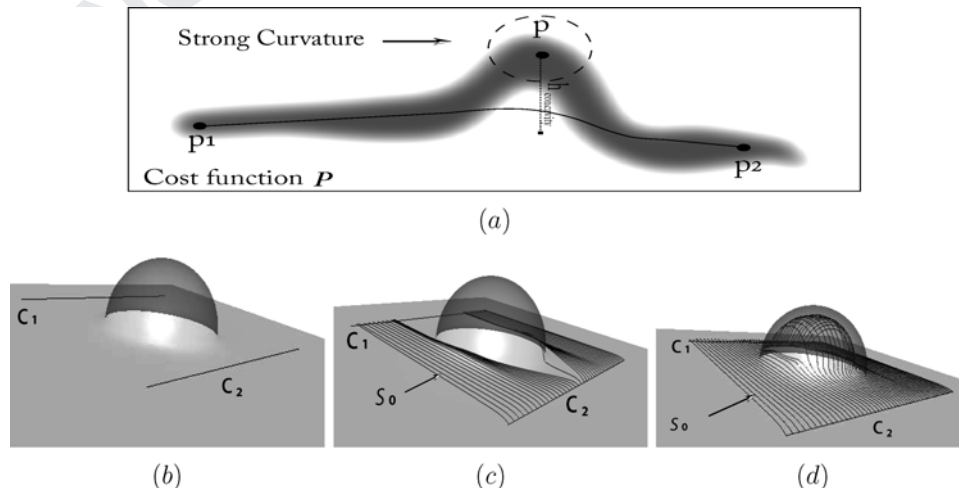


Figure 3. (a) Minimal path between points  $p_1$  and  $p_2$  that avoids a concavity of the object to segment.  $h_{concavity}$  is the characteristic size of the concavity. (b) represents a half-sphere blended on a plane (transparent visualization) and  $C_1$  and  $C_2$  (black segments). (c) Result without constraints, set of paths  $\{g_{C_1}^i\}_{i=1...n_2}$  taking a short cut around the sphere. (d) Result with constraints, sphere recovered.

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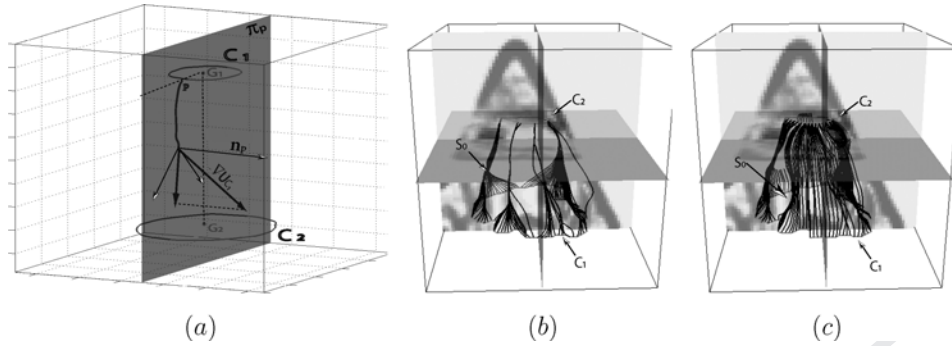


Figure 4. (a) Illustration of the construction of a projected path, it is done by projecting the vector field  $\nabla\mathcal{U}_{C_1}$  on plane  $\pi_p$ . (b) Shows the minimal path network obtained on an ultrasound image of the left ventricle without projecting. In transparency we gave three slices of the 3D volume. (c) is the projected network obtained in the same conditions.

285 paths of  $\mathcal{S}_0$  have a similar behavior: if the surface of  
 286 the object we wish to extract presents a strong local-  
 287 ized mean curvature, the elements of  $\mathcal{S}_0$  will tend to  
 288 circumvent it. This constitutes a drawback in the use  
 289 of  $\mathcal{S}_0$  for a segmentation task: areas of the surface to  
 290 extract presenting strong curvature, can be omitted by  
 291 the minimal path network. Figure 3 illustrates a simple  
 292 situation where the network  $\{g_{C_1}^i\}$  is unable to recover  
 293 the expected surface. The cost function is constant on  
 294 a surface which is the blending of a plane and half a  
 295 sphere and has higher values on the background. Minimal  
 296 paths tend to take a short cut around the sphere  
 297 rather than ‘climbing’ on it,  $\mathcal{P}$  has no influence (be-  
 298 ing constant on the surface) and the paths will mini-  
 299 mize their length. In order to cope with this problem,  
 300 we propose another approach for the construction of a  
 301 segmenting surface  $\mathcal{S}_0$ , in the particular case where the  
 302 user given curves,  $\mathcal{C}_1$  and  $\mathcal{C}_2$ , do not intersect. Consider  
 303 a family of planes  $\Pi = \{\pi_p\}_{p \in \mathcal{C}_2}$ , such that, for every  
 304  $p$  of  $\mathcal{C}_2$ , plane  $\pi_p$  contains this point and has a none  
 305 empty intersection with  $\mathcal{C}_1$ . If  $\vec{n}_p$  is the unit normal  
 306 vector of plane  $\pi_p$  of  $\Pi$ , we call the projected mini-  
 307 mal path  $\tilde{g}_{C_1}^p$ , the solution of the following ordinary  
 308 differential equation:

$$\frac{dg}{ds}(s) = -\nabla\mathcal{U}_{C_1}(g) + (\nabla\mathcal{U}_{C_1}(g) \cdot \vec{n}_p) \cdot \vec{n}_p,$$

309 with  $g(0) = p$ . As it is shown in Fig. 4(a), this equation  
 310 is obtained by replacing the vector field  $\nabla\mathcal{U}_{C_1}$  in Eq. (4)  
 311 by its projection on plane  $\pi_p$  (whose normal is  $\vec{n}_p$ ).  $\mathcal{S}_0$   
 312 will be now defined as the union of the ‘projected mini-  
 313 mal paths’:  $\mathcal{S}_0 = \bigcup_{p \in \mathcal{C}_2} \{\tilde{g}_{C_1}^p\}$ . Figure 3(c) illustrates  
 314 the network  $\{\tilde{g}_{C_1}^i\}$  of projected paths obtained with our  
 315 half sphere.  $\Pi$  is the family of parallel planes which

are orthogonal to  $\mathcal{C}_1$  and  $\mathcal{C}_2$  ( $n_p$  does not depend on  $p$  316  
 and  $\pi_p$  contains point  $p$  of  $\mathcal{C}_2$ ). In practice, if  $\mathcal{C}_1$  and 317  
 $\mathcal{C}_2$  are two planar Jordan’s curves, for each point  $p_i$  318  
 of  $\mathcal{C}_2$ , good choices for planes  $\pi_{p_i}$  are the planes pass- 319  
 ing through the following three points:  $G_1$ , belonging 320  
 to the interior of  $\mathcal{C}_1$ ,  $G_2$  belonging to the interior of 321  
 $\mathcal{C}_2$  and  $p_i$ . The normal vectors are then defined by, 322  
 $\vec{n}_{p_i} = (G_1\vec{G}_2 \wedge G_1p_i) / (\|G_1\vec{G}_2 \wedge G_1p_i\|)^{-1}$ . 323

In spite of the simplicity of this approach, the class 324  
 of surfaces that can be segmented by evaluating their 325  
 intersection with a plane, is quite large. This class con- 326  
 tains at least those surfaces whose intersections with 327  
 planes  $\{\pi_{p_i}\}$  are connected. 328

In Fig. 4 we used this approach with a noisy ultra- 329  
 sound image of the left ventricle. Figure 4(b) shows the 330  
 minimal path network obtained without the projected 331  
 approach. Noise and the structure of the surface cre- 332  
 ate strong curvature and many areas of the surface to 333  
 extract are avoided by the network, the segmentation 334  
 generated from this network will be of less precision. 335  
 Here, the projection to planes is of great use due to the 336  
 particular geometry of the ventricle: Fig. 4(c) shows 337  
 how we manage to recover the areas that were missed 338  
 by the unprojected network. 339

#### 4. From the Network to the Surface 340

The final step for the generation of  $\mathcal{S}_0$  is its construc- 341  
 tion through the interpolation of the network of paths. 342  
 We consider two different approaches to generate  $\mathcal{S}_0$ . 343  
 The first one is a novel analytical interpolation that 344  
 uses the unprojected network of paths  $\{g_{C_1}^i\}_{i=1..n_1}$ ; it 345  
 exploits its particular structure which derives from the 346  
 fact that minimal paths cannot cross without merging. 347

348 This method is fast and guaranties that the interpolated  
 349 surface strictly contains all the paths of the network  
 350 and the curves given by the user. The second, uses the  
 351 variational approach proposed in Zhao et al. (2001). It  
 352 can be applied to both, the unprojected and the pro-  
 353 jected network ( $\{\tilde{g}_C^i\}_{i=1\dots n_1}$ ), but only ensures that the  
 354 interpolated surface is close to the network but may not  
 355 strictly contain all its paths.

356 4.1. Analytical Path Interpolation

357 In this section we present the construction of the  
 358 interpolated surface from the unprojected network  
 359  $\{g_C^i\}_{i=1\dots n_2}$  (henceforth noted  $\{g^i\}_{i=1\dots n}$  for simplicity).  
 360 When the goal is to rapidly generate an approximation  
 361 of the segmented surface (since we could miss areas of  
 362 high curvature), this approach will be a good compro-  
 363 mise between precision and efficiency. Being minimal  
 364 paths, two paths belonging to  $\{g^i\}$  may either have an  
 365 empty intersection or merge (note that this is not the  
 366 case for the elements of  $\{\tilde{g}_C^i\}_{i=1\dots n_1}$ ). This particular  
 367 configuration (see Fig. 5(a) for a schematic represen-  
 368 tation) of the network suggests to create sectors and  
 369 interpolate the surface sector by sector (see definition  
 370 below). Let  $s_1$  and  $s_2$  be parameterizations of  $C_1$  and  $C_2$   
 371 defined on the interval  $[0, 1]$ . Points  $\{P_1^i\}$  and  $\{P_2^i\}$  will  
 372 be the intersection points of  $C_1$  and  $C_2$  with the network  
 373  $\{g^i\}$  (see Fig. 5(a)). And  $\{p_1^i\}$  and  $\{p_2^i\}$  two families  
 374 belonging to  $[0, 1]$  satisfying  $C_1(s_1 = p_1^i) = P_1^i$  and  
 375  $C_2(s_2 = p_2^i) = P_2^i$ . For every  $i \in \{1 \dots n\}$  we define a  
 376 sector as the following set of curves  $\{g^i, g^{i+1}, C_1^i, C_2^i\}$   
 377 (as is shown on Fig. 5(b)).  $C_1^i$  and  $C_2^i$  are the restric-  
 378 tions of curves  $C_1$  and  $C_2$  to the intervals  $[p_1^i, p_1^{i+1}]$  and  
 379  $[p_2^i, p_2^{i+1}]$  respectively.

380 Our aim is to generate a parameterized surface  
 381  $S_0 : [0, 1]^2 \rightarrow \mathbb{R}^3; (u, v) \rightarrow S_0(u, v)$ , such that

$\exists \{p^i\}_{1 \leq i \leq n} \in [0, 1]^n$ , verifying 382

$(Cond_1) : \forall i \in \{1 \dots n\} S_0(., v = p^i) \equiv g^i,$   
 $S_0(u = 0, .) \equiv C_1 \quad \text{and} \quad S_0(u = 1, .) \equiv C_2$

meaning that the essential constraint on  $S_0$  is to con- 383  
 384 tain curves  $C_1, C_2$  and all paths  $\{g^i\}$ . Moreover, consider  
 385 the restrictions  $S_0^i$  of  $S_0$  to the sets  $[0, 1] \times [p_1^i, p_1^{i+1}]$ .  
 386 By imposing to  $S_0$  the following condition,  $\forall u \in$  387  
 $[0, 1]$  and  $\forall i = 1 \dots n - 1$ .

$(Cond_2) : \partial_v S_0^i(u, v = p^{i+1}) = \partial_v S_0^{i+1}(u, v = p^{i+1})$

we can build it locally continuously differentiable. In 388  
 389 fact, it is easy to build  $S_0$  of class  $C^1$  in the interior  
 390 of each sector, difficulty arises only on the boundaries. 391  
 392 The analytical construction that follows will guaranty  
 393 that  $S_0$  will stay first order differentiable at the borders  
 394 if they do.

395 The first step of the analytical interpolation is the  
 396 introduction of a common parameterization on  $C_1$  and  
 397  $C_2$  (that will be noted  $v$ ), and another (noted  $u$ ) on all  
 398 paths  $\{g^i\}$ . Parameter  $u$  is easy to find, it will be cho-  
 399 sen as the normalized arc-length on each path  $\{g^i\}$ . In  
 400 order to find  $v$ , let  $\sigma$  be an increasing one-to-one func-  
 401 tion on  $[0, 1]$ , such that for every  $i$ ,  $\sigma(p_1^i) = p_2^i$ . We  
 402 perform a remapping of  $C_2$  by  $\sigma$  and the new curve  
 403  $\tilde{C}_2 = C_2 \circ \sigma$ , satisfies for every  $i \in \{1 \dots n\}$   $\tilde{C}_2(p_1^i) =$   
 404  $C_2 \circ \sigma(p_1^i) = C_2(p_2^i) = P_2^i$ . Which means that the  
 405 same parameter values on  $[0, 1]^n$  ( $\{p_1^i\}$ ) correspond in  
 406 each curve  $C_1$  and  $\tilde{C}_2$  to the intersection points with  
 407 the set  $\{g^i\}$ . This leads us to choosing parameterization  
 408  $v = s_1$  and henceforth working with  $C_1$  and  $\tilde{C}_2$ . Find-  
 409 ing an adequate  $\sigma$  function is a problem of a 1D con-  
 410 straint interpolation (since  $\sigma$  ought to be increasing).  
 411 We use a piecewise cubic hermite interpolation (Fritsch  
 and Carlson, 1980) to solve the problem. This function

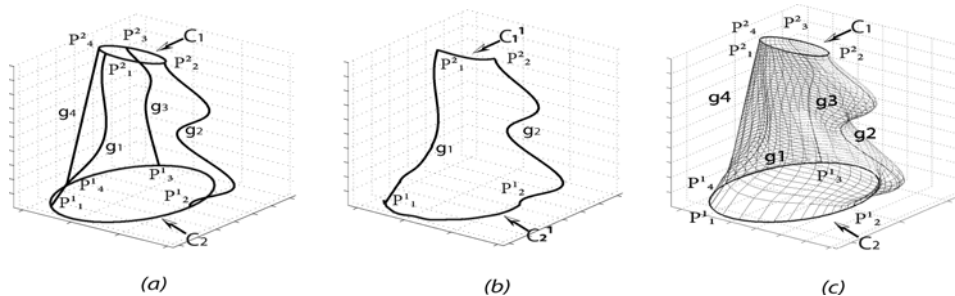


Figure 5. (a) Scheme illustrating a network that satisfies all the conditions for applying the analytical interpolation. (b) Illustrates our definition of a sector and (c) shows the interpolated surface, generated with our analytical method.

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412 reflects the correspondence generated by the minimal  
 413 paths between the two curves. We are now able to give  
 414 an analytical expression of function  $\mathcal{S}_0$  that satisfies  
 415 conditions  $(Cond_1)$  and  $(Cond_2)$ . For each sector  $i$ , we  
 416 define the  $x$ -coordinate of the restriction of  $\mathcal{S}_0$  by

$$\mathcal{S}_{0x}^i(u, v) = C_x^i(u, v) + (1 - \alpha_x^i(u, v))(g_x^i(u) - C_x^i(u, p^i)) + \alpha_x^i(u, v)(g_x^{i+1}(u) - C_x^i(u, p^{i+1}))$$

417 where  $C_x^i(u, v) = (1 - f(u))C_{1x}^i(v) + f(u)\tilde{C}_{2x}^i(v)$  (con-  
 418 vex combination of the given curves). Function  $f$  can  
 419 be chosen among all the differentiable functions on  
 420  $[0, 1]$  and must satisfy  $f(0) = 0$  and  $f(1) = 1$  (take for  
 421 example  $f(u) = u$ ). Each scalar  $\alpha_x^i$  is the  $x$ -coordinate  
 422 of a function  $\alpha^i$ , which is tailored for satisfying  $(\mathcal{P}_1)$   
 423 and  $(\mathcal{P}_2)$ ; it is defined on the interval  $[p_1^i, p_1^{i+1}]$  by

$$\alpha_x^i(u, v) = \frac{v - p^i}{p^{i+1} - p^i} \left( 1 + \frac{p^{i+1} - v}{p^{i+1} - p^i} \left( \frac{v - p^i}{p^{i+1} - p^i} [2 - (G_x^{i+1}(u) + G_x^{i-1}(u))] + (G_x^{i-1}(u) - 1) \right) \right)$$

with

$$G_x^i(u) = g_x^{i+1}(u) - g_x^i(u) - (C_x^i(u, p^{i+1}) - C_x^i(u, p^i)), \quad \forall i \in \{1 \dots n - 1\}$$

$$G_x^n(u) = G_x^0(u) = g_x^1(u) - g_x^n(u) - (C_x^n(u, p^1) - C_x^n(u, p^n))$$

$$G_x^{n+1}(u) = G_x^1(u)$$

424 The other two coordinates are obtained using the same  
 425 formulas replacing  $x$  by  $y$  and then by  $z$ . Figure 5(c)  
 426 shows the interpolated mesh generated from the set of  
 427 curves in Fig. 5(a). A major advantage of this interpo-  
 428 lation method is its calculation speed. Only elementary  
 429 calculations are needed to generate the surface (there  
 430 is no matrix inversion) and both information from the  
 431 paths and from the initial curves are integrated in the  
 432 process.

433 In Fig. 6 we show two interpolated surfaces gener-  
 434 ated by this method. Fig. 6(a) and (b) illustrate the fact  
 435 that the interpolation combines both information com-  
 436 ing from the network and from curves  $\mathcal{C}_1$  and  $\mathcal{C}_2$ . Even

when taking only four paths, the obtained surface is  
 coherent with the shape of the user given curves. Fig-  
 ure 6(c) shows set  $\{g^i\}$  obtained from a left ventricle  
 image, Fig. 6(d) illustrates the interpolated surface.

#### 4.2. Variational Interpolation

As was pointed out earlier, the analytical interpolation  
 method can only be applied with the unprojected net-  
 work  $\{g_{\mathcal{C}_1}^i\}_{i=1 \dots n_1}$ , since its particular structure (paths  
 cannot cross without merging) is necessary. Neverthe-  
 less, considering the projected networks can improve  
 results (see Fig. 4(b)). Unfortunately, a sector by sec-  
 tor approach can no longer be considered, for paths  
 can cross without merging. In these situations one can  
 hardly exploit the structure of the network, hence, a  
 scattered data points interpolation has to be considered.  
 We use the method proposed by Zhao et al. in Zhao,  
 et al. (2001). We compute from the network  $\{\tilde{g}_{\mathcal{C}_1}^i\}_{i=1 \dots n_1}$   
 a distance function  $d$  and we look for the surface  $\mathcal{S}_0$  that  
 minimizes energy  $E(S) = \int \int_S (d(x, y))^2 dx dy$ . This is  
 done by a gradient descent method similar to Eq. (2)  
 and we have used a level set implementation. When us-  
 ing projected networks, this method gives satisfactory  
 results since one can control the density of the paths by  
 varying the number of points on  $\mathcal{C}_1$  and  $\mathcal{C}_2$ .

#### 5. Initializing Active Surface with $\mathcal{S}_0$ , Applications

Having generated  $\mathcal{S}_0$  by any of the previous methods,  
 we may use it as the initial condition of the evolution  
 Eq. (2). We have chosen a level set method for our im-  
 plementations. If the analytical interpolation method is  
 used, the construction of a higher dimensional func-  
 tion,  $\phi_0 : \mathbb{R}^3 \rightarrow \mathbb{R}$  such that  $\phi_0^{-1}(0) = \mathcal{S}_0$ , is needed.

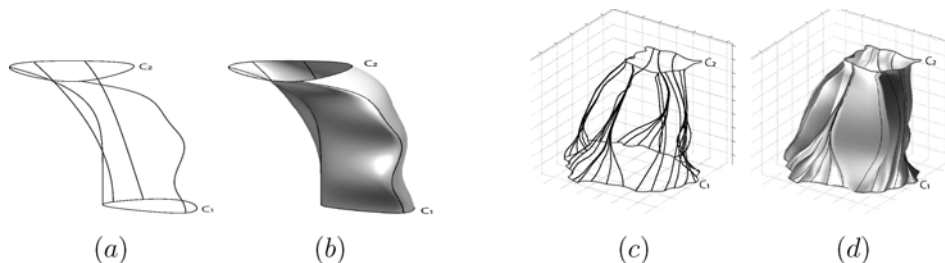


Figure 6. (a) Test network of four paths synthetically produced,  $\mathcal{C}_1$  and  $\mathcal{C}_2$  are the lower and upper curves. (b) Interpolated surface. (c) is the network of minimal paths obtained from an ultrasound image of the left ventricle. The user initialized the model by drawing the upper and lower closed curves. (d) is the analytically interpolated surface.



Fast Constrained Surface Extraction by Minimal Paths

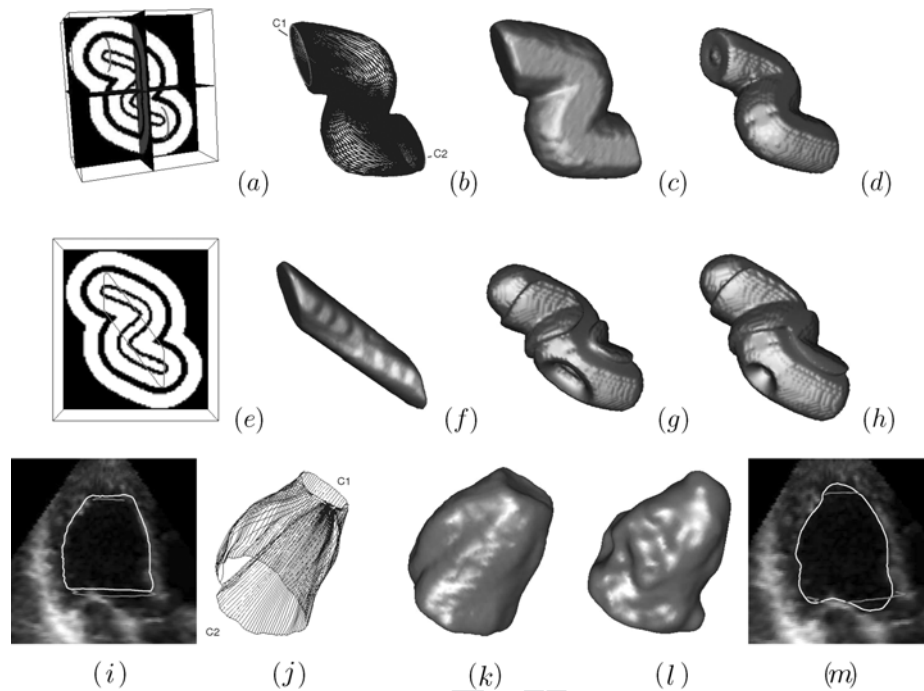


Figure 7. (a) View of different intersecting planes of a 3D volume with the two constraining curves drawn on it. (b) Network of paths obtained with our method. (c) Interpolated surface. (d) Surface after a few iterations of a level set. (e) and (f) Simple initialization of an active object. (g) surface after 150 iterations and (h) after 500 iterations. (i) A slice of the 3D ultrasound image, we also have drawn the projection of the user given curves and the intersection of our interpolated surface with this plane. (j) Set of paths. (k) Interpolated surface. (l) final segmentation after a few iterations of the level set, (m) Planar view of the same slice, intersection with the model evolved as a level set.

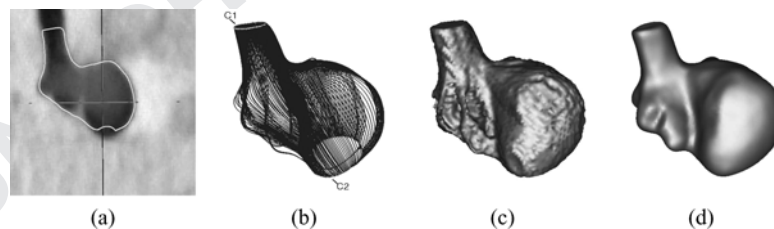


Figure 8. (a) Slice of a 3D MR image of an aneurysm. (b) Set of paths. (c) Interpolated surface. (d) final segmentation after a few iterations of a level set.

468  $\phi_0$  can be computed as a signed distance map using Fast  
 469 marching initialized with  $\mathcal{S}_0$ . The evolution of the level  
 470 set will be done following  $\frac{\partial \phi}{\partial t} = \text{div}(\mathcal{P} \cdot \frac{\nabla \phi}{\|\nabla \phi\|}) - \|\nabla \phi\|$ ,  
 471 which is exactly the gradient descent of the geodesic  
 472 active surface (1) in its level set formulation. For con-  
 473 vergence, few iterations of  $\phi$  will be needed, since  $\mathcal{S}_0$   
 474 is already close to image features. Compared to using  
 475 a level set approach from the beginning, our approach  
 476 is much faster, needs no tedious 3D initializations, and  
 477 avoids local minima by exploiting curves  $\mathcal{C}_1$  and  $\mathcal{C}_2$ .  
 478 Figure 7(a) presents a good example of a difficult to

segment image because of the presence of many local 479  
 minima. It is generated by three ‘S’ shaped tubes 480  
 one inside the other. If one wishes to obtain the middle 481  
 ‘S’ shaped tube, classical variational methods will fail 482  
 (unless a very close initialization is given). Our method 483  
 manages to extract the object when initialized by two 484  
 curves given on the surface to extract. We compare it 485  
 with the result of a geodesic active surface initialized 486  
 with a cylinder (Fig. 7(e) and (f), and we observe in 487  
 Fig. 7(g) and (h) that the model gets trapped by other 488  
 local minima. Concerning ultrasound heart imaging, 489

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our method only needs two slices in order to build the entire volume of the left ventricle; this two curves can be, for example, two short axis segmentations as in Fig. 1(a) and (b). Figures 7(i) to (j) show the segmentation obtained. For this image of size  $128^3$ , the generation of  $S_0$  took 25 seconds, the final segmentation 20 seconds more, on a 1.4 Ghz machine (512 MBy of RAM). In Fig. 8(a) to (d), we show results on a MR image of an aneurysm. As for other previous examples, the user simply initialized the model by drawing two curves on two (non parallel) slices of the 3D image. On this image ( $192 \times 168 \times 152$ ), the total segmentation took 70 seconds on the same machine.

## 6. Conclusion

In this paper we have presented a method that generalizes globally minimal paths to surfaces. Our method allows to greatly simplify the initialization process of active surfaces. The model is initialized by two curves (eventually a curve and a well positioned point) instead of a volume. Our approach takes a maximum advantage of the information given by the user through the initialization curves, since the surface it generates is constrained to include those curves. Our method uses globally minimal paths to define and generate a surface which is a final segmentation or an initialization of an active surface model. Hence, in both cases, the final surface is not concerned by the problem of the local minima traps as all other active objects approach do. It is particularly well suited for medical image segmentation, in particular for ultrasound images segmentation. In cases where the image quality is very poor, our approach handles the introduction of additional information coming from the practitioner in a very natural manner. A few 2D segmentations can be enough to generate a coherent complete surface. We have also presented a novel interpolation method which is characterized by its simplicity and its efficiency.

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