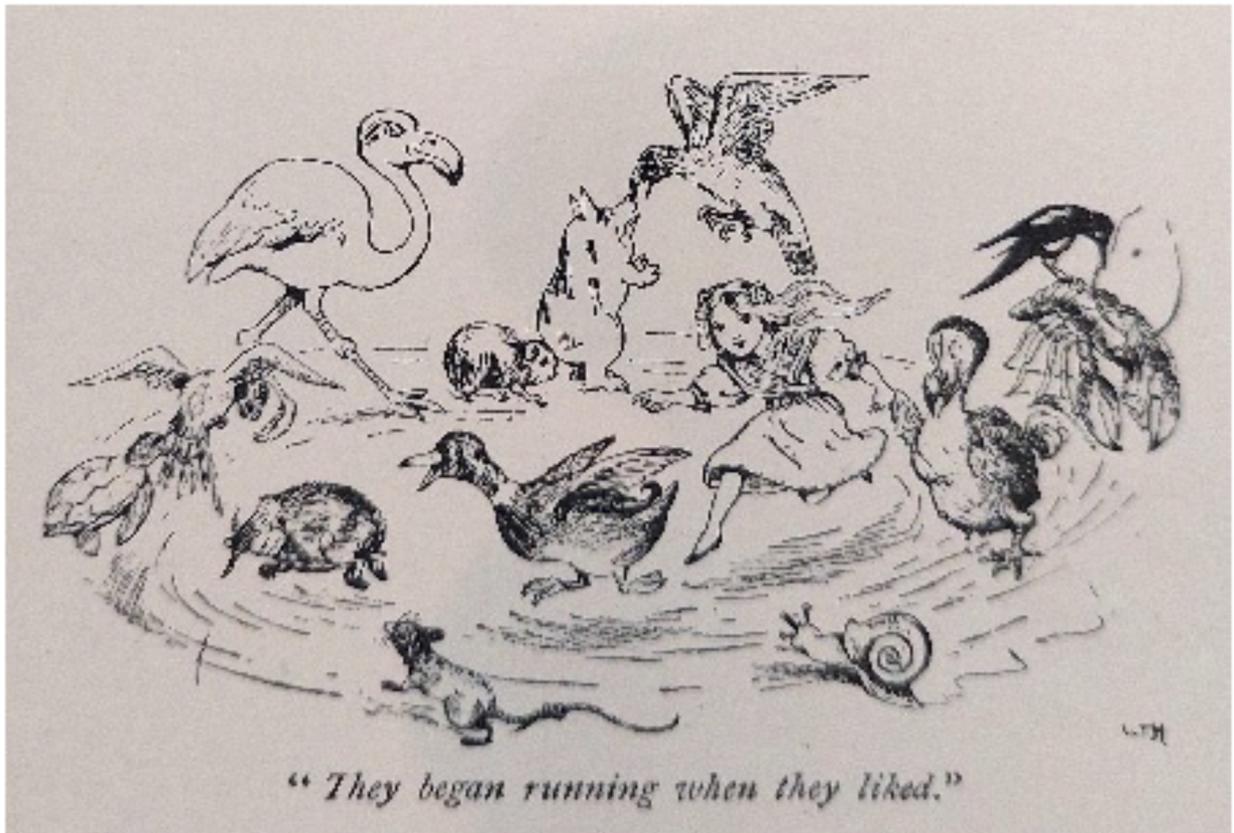


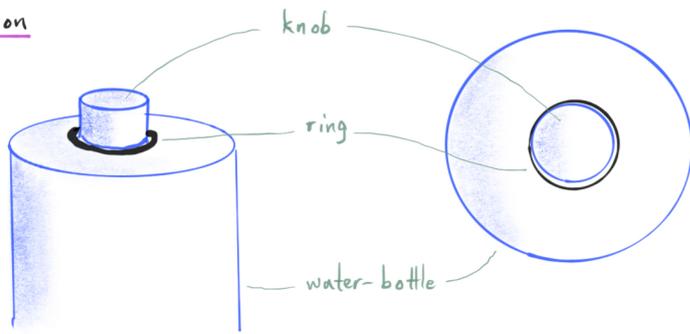
## Mechanical Caucus Race



a report on a surprising new phenomenon  
and a versatile principle for getting out more than we put in

on the occasion of Alain Chenciner's 傘寿  
Tadashi Tokieda

Phenomenon



The ring is loosely fitted around the knob.

On a frictional floor, rotate the bottle (hence the knob) at  $\omega$ . The ring should just follow at  $\omega$ .

But no: in 2019 Cole Graham discovered

the ring spins  $\Omega >$  a few times  $\omega$



(Recall in applied math 'a few' is a technical term meaning half an order of magnitude, i.e. a few  $:= \sqrt{10} \approx 3$  or 4.)

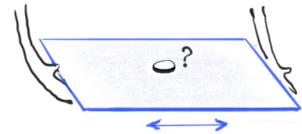
$T^2$  couldn't help generalizing:

Design mechanisms where a substrate is driven gently yet a superstructure goes wild.

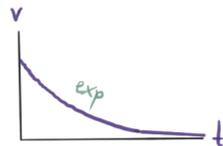
Ex Place a coin on a tray. Shake the tray symmetrically sideways.

Surely the coin shakes symmetrically?

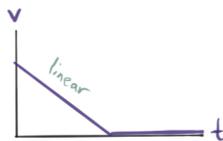
But no: depending on the initial condition, the coin can drift asymmetrically.



Key Drag in viscous flow  $\dot{v} \propto -v$



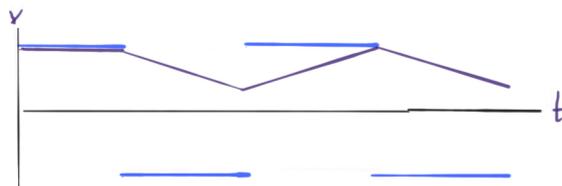
in dry friction  $\dot{v} \propto -\text{const}$



Suppose we shake the tray



The coin can be made to respond



..... coin average  $> 0$

..... tray average  $= 0$

We call this mechanism stick-slip.

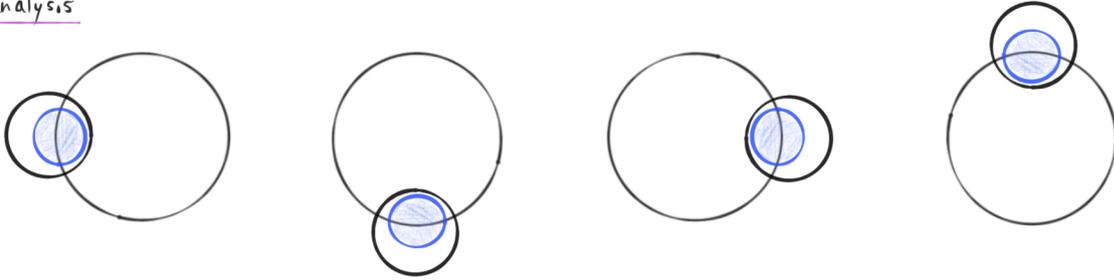
Attempted analysis of ring on knob — perhaps rotational stick-slip?



But in 2022 Ian Madden's data showed that stick-slip accounted for only a small part of the ring's spin.

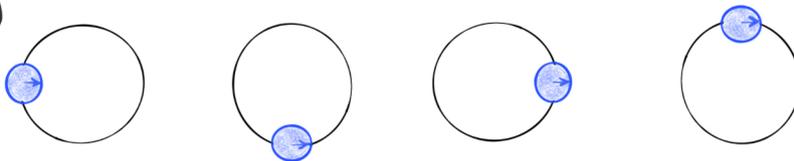


Correct analysis

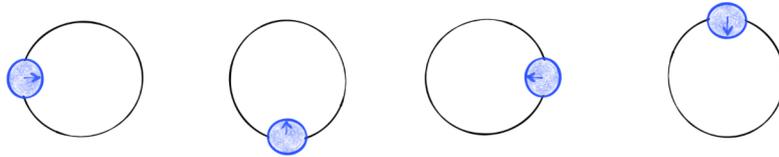


In the first instance, consider pure swirling by the knob. It causes the ring to spin centrifugally.

Scholium Swirl ( $\approx$  touiller)



is not to be confused with spin ( $\approx$  tourner)



Why swirl?

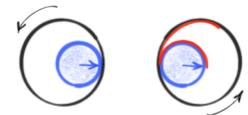
Because the bottle's bottom rubs against the floor and rattles the bottle.

As the knob swirls, by how much does the ring spin?

Another key Let  $R =$  radius of ring  $>$  radius of knob  $= r$

$\Theta =$  angle of ring  $<$  angle of knob  $= \Theta$

Smear the knob with paint. As the ring rolls, it too gets colored by paint, and



$$1 = \frac{\text{paint on ring}}{\text{paint on knob}} = \frac{R\theta}{r\Theta} \Rightarrow \theta = \Theta \frac{r}{R}$$

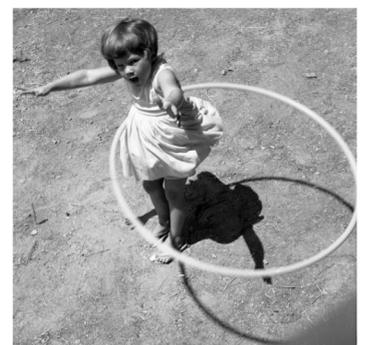
This  $\theta$  is how much the ring's spin lags behind the knob's swirl  $\Theta$ . Therefore the ring's spin entrained by the knob's swirl is

$$\dot{\Theta} \left(1 - \frac{r}{R}\right)$$

Check When  $r = R$  (ring tightly stuck on knob), the ring gains no spin.

When  $r = 0$  (knob reduced to needle), the ring spins a full circle.

We call this mechanism hula-hoop.



Altogether we should observe

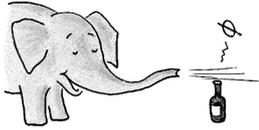
$$\Omega \approx \omega + \dot{\Theta} \left(1 - \frac{r}{R}\right) + \dot{\varphi}$$

ring spin   knob spin   hula-hoop   stick-slip

Estimates

$\frac{r}{R}$  : I measured  $r \approx 13 \text{ mm}$ ,  $R \approx 13.5 \text{ mm}$   $\Rightarrow \frac{r}{R} \approx 0.96$

$\dot{\Theta}$  : I guessed middle C (i.e.  $C_4$ )  $\approx 261.63 \text{ Hz}$



$\approx 40 \frac{\text{swirls}}{\text{sec}}$  because I'd hear a few rattles per half-swirl

$\Rightarrow \dot{\Theta} \approx 80 \pi \frac{\text{rad}}{\text{sec}}$

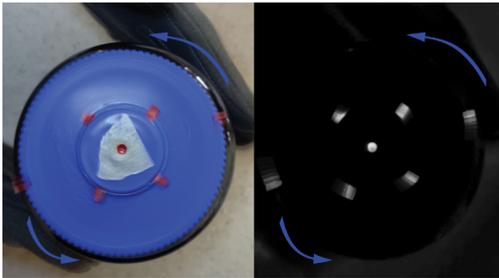
This was confirmed by a high-speed camera.

$\omega$  : This I control, half  $\frac{\text{turn}}{\text{sec}}$

$\Rightarrow \omega \approx \pi \frac{\text{rad}}{\text{sec}}$  on average

$\dot{\varphi}$  : at most amplitude of fluctuations of  $\omega$

$\Rightarrow \dot{\varphi} \approx \frac{\omega}{\text{a few}} \approx 1 \frac{\text{rad}}{\text{sec}}$



no rattle : pure stick-slip



rattle : stick-slip plus hula-hoop

Thus the model predicts

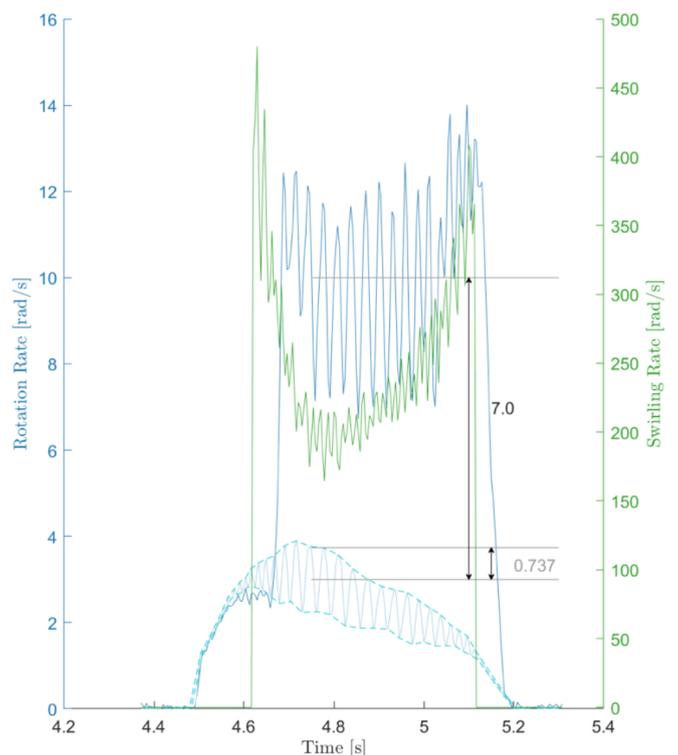
hula-hoop  $\dot{\Theta} \left(1 - \frac{r}{R}\right) \approx 10 \frac{\text{rad}}{\text{sec}}$

stick-slip  $\dot{\varphi} \approx \frac{1}{10} \dot{\Theta}$

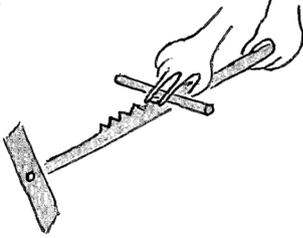
ring faster than knob by  $\frac{\Omega - \omega}{\omega} \approx \text{a few times}$

while the data reveal →  
LOOK!

... in superb agreement for so artisanal a model.



## Application



A propeller is loosely nailed to the head of a rod ; the rod is lined with notches.  
Rub on the notches with a transverse stick.  
This makes the rod vibrate, and there's no reason for the propeller to gain any spin.  
Yet I can control the propeller to spin in either way, at will.

Many claim that the propeller begins to spin in one of the ways by chance, and rubbing supplies the resonant power.  
This is incorrect : I can reverse the spin, at will.

Many feel that rubbing forward or backward differentiates the spins.  
This too is incorrect : I rub symmetrically, forward and backward.

Hmm? 