



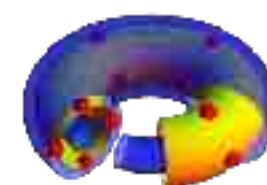
Topological Mechanical Metamaterials

Sebastian Huber

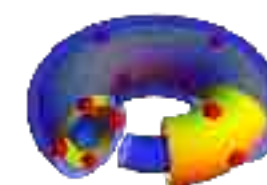
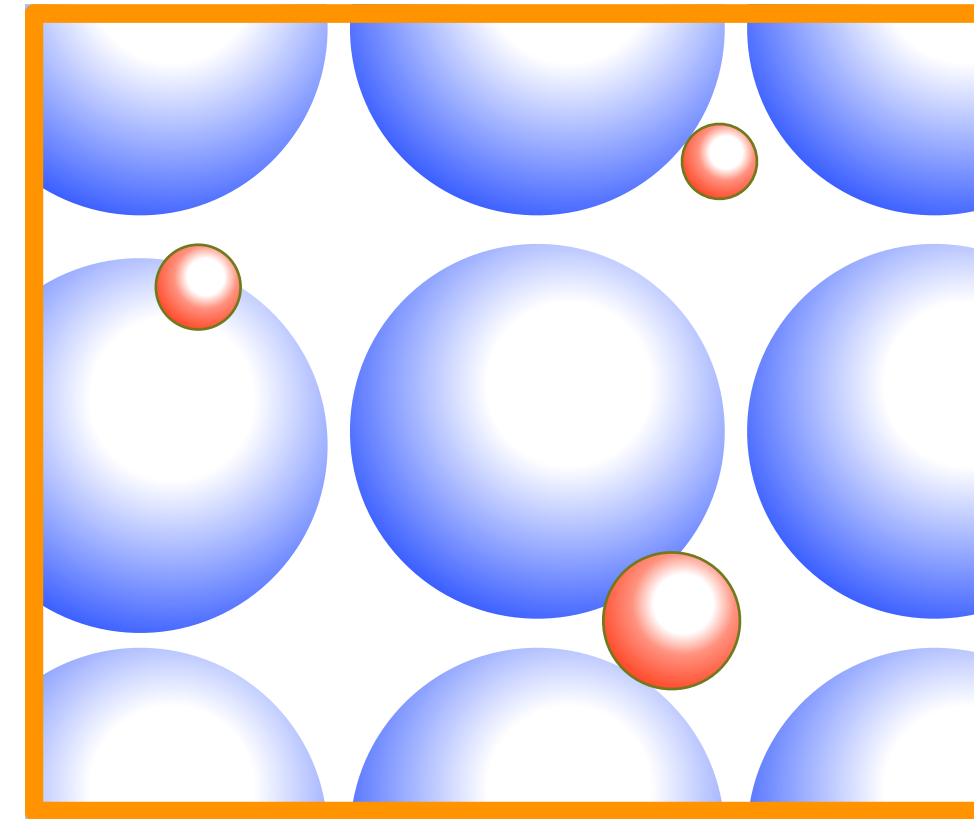
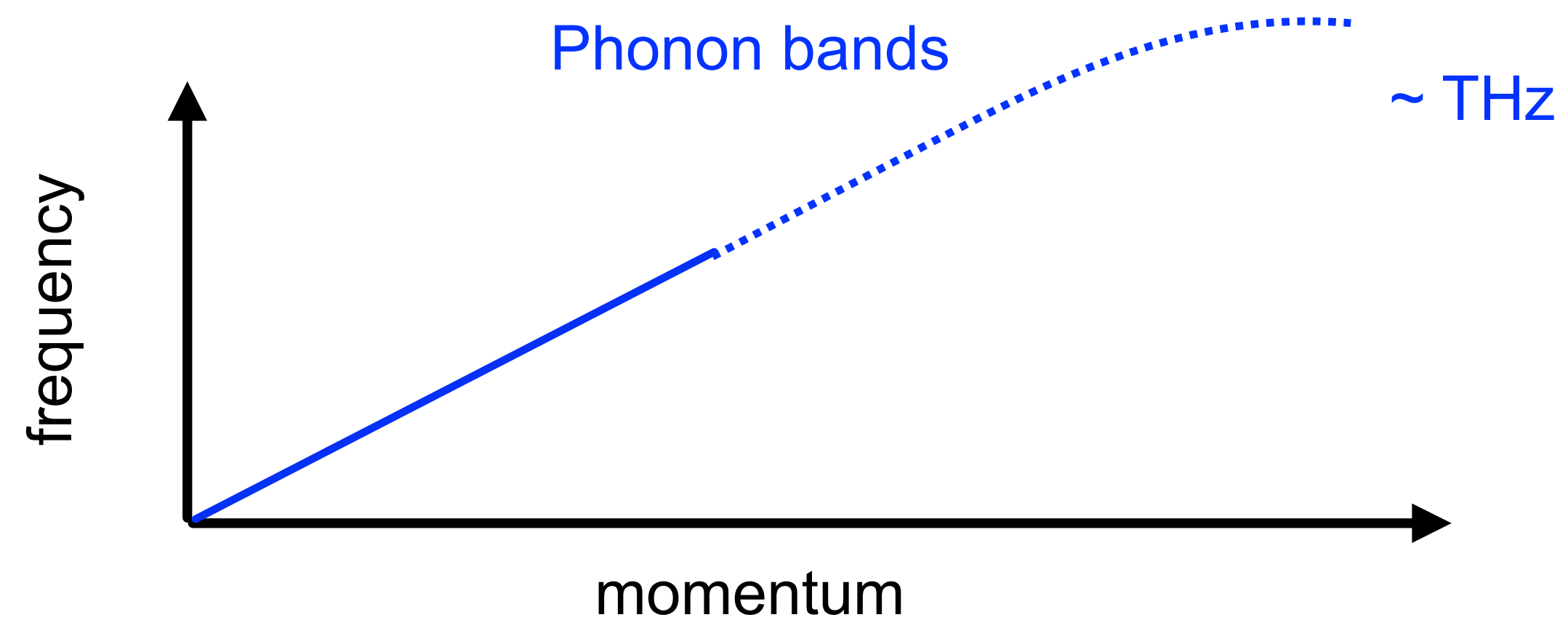
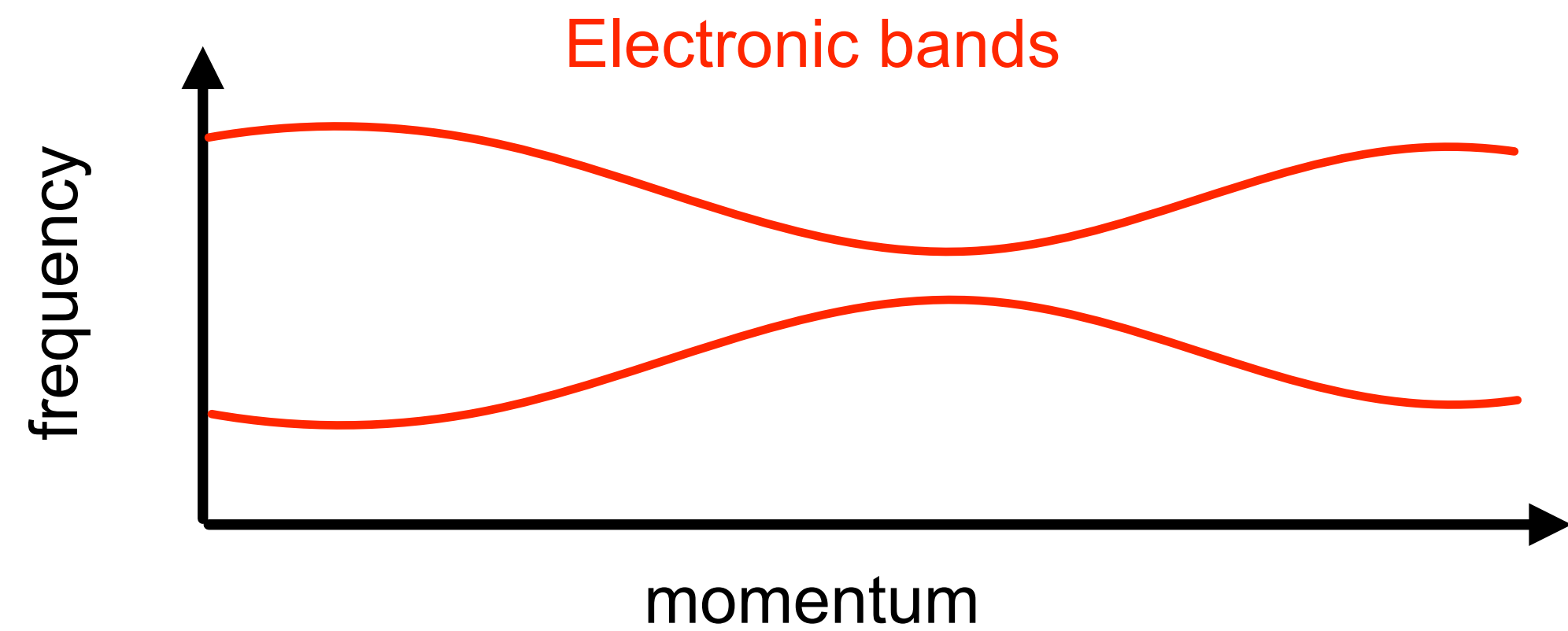
Nature Materials (2018)

Nature (2018)

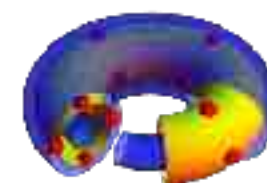
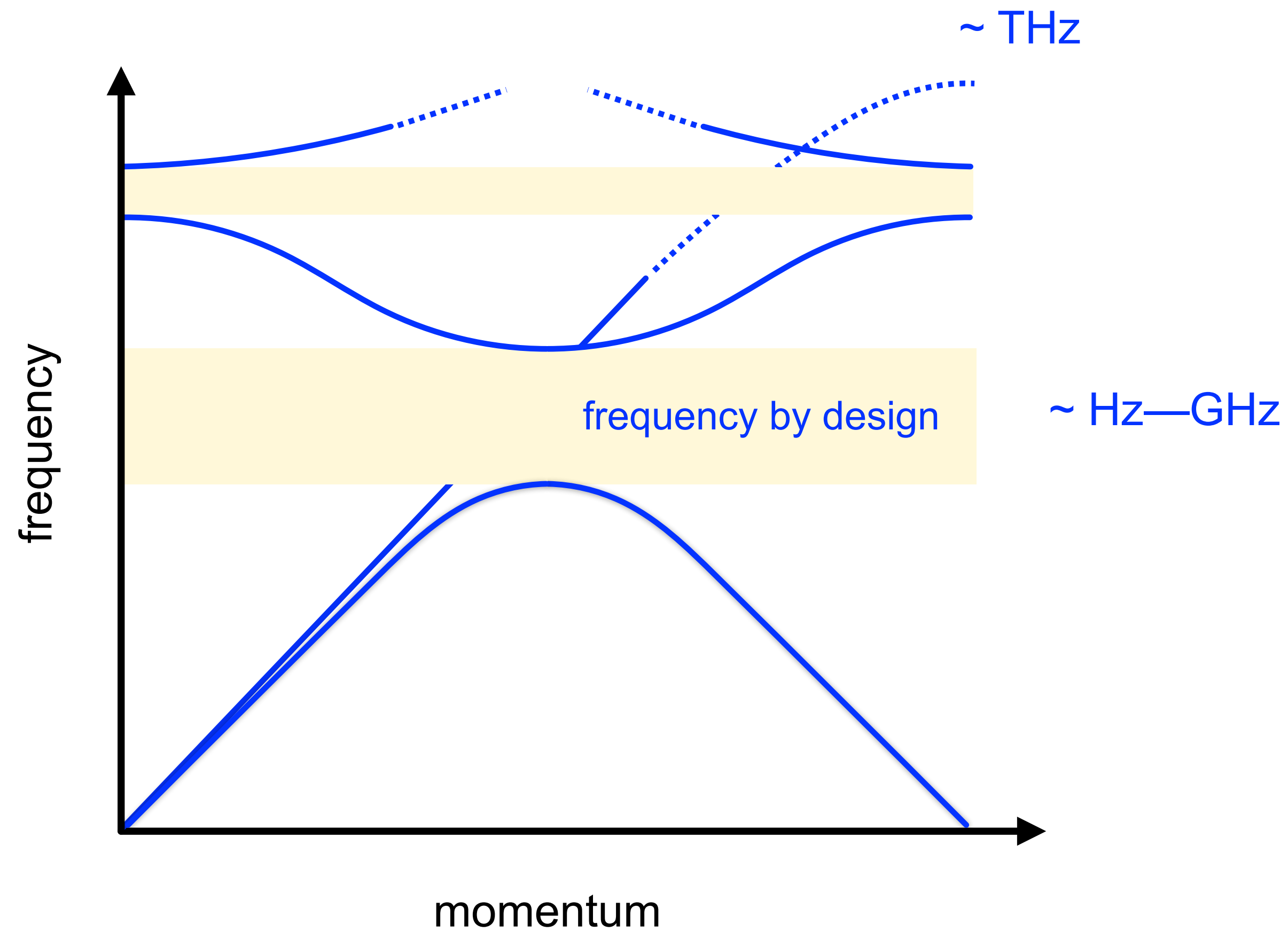
Nature Physics (2016)



A mechanical metamaterial: tailoring the phonons to our liking

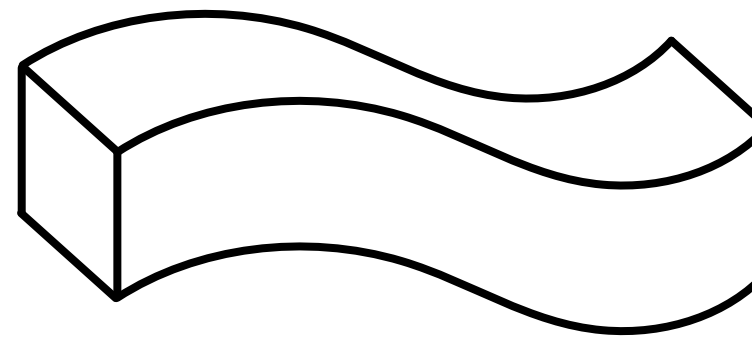


A mechanical metamaterial: tailoring the phonons to our liking

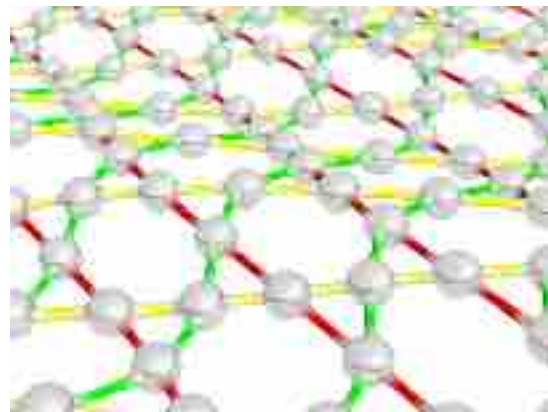


Flavours of topological mechanics

Elastic waves



Mass-spring models

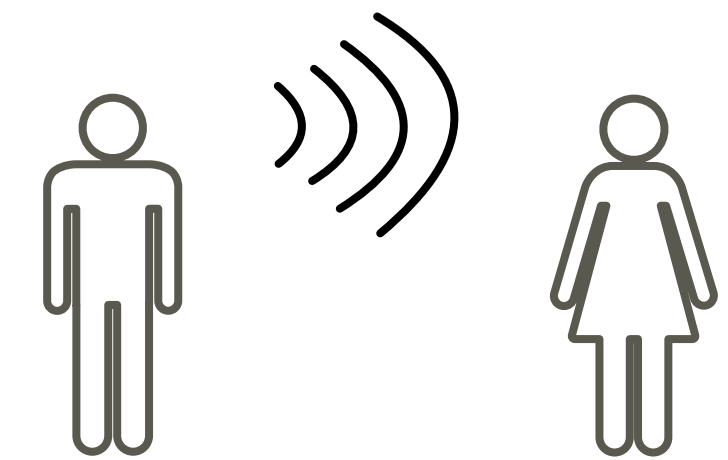


$$m\partial_t^2 u_i^\alpha = -D_{ij}^{\alpha\beta} u_j^\beta$$

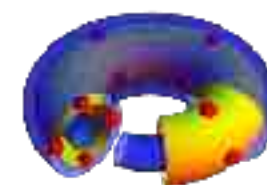
$$\rho\partial_t^2 u_m(\mathbf{x}) = \frac{\partial\tau_{mk}}{\partial x_k}$$

$$\tau_{mk}(\mathbf{x}) = c_{kmij} [\partial_{x_i} u_j(\mathbf{x}) + \partial_{x_j} u_i(\mathbf{x})]$$

Acoustic waves



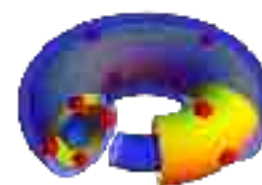
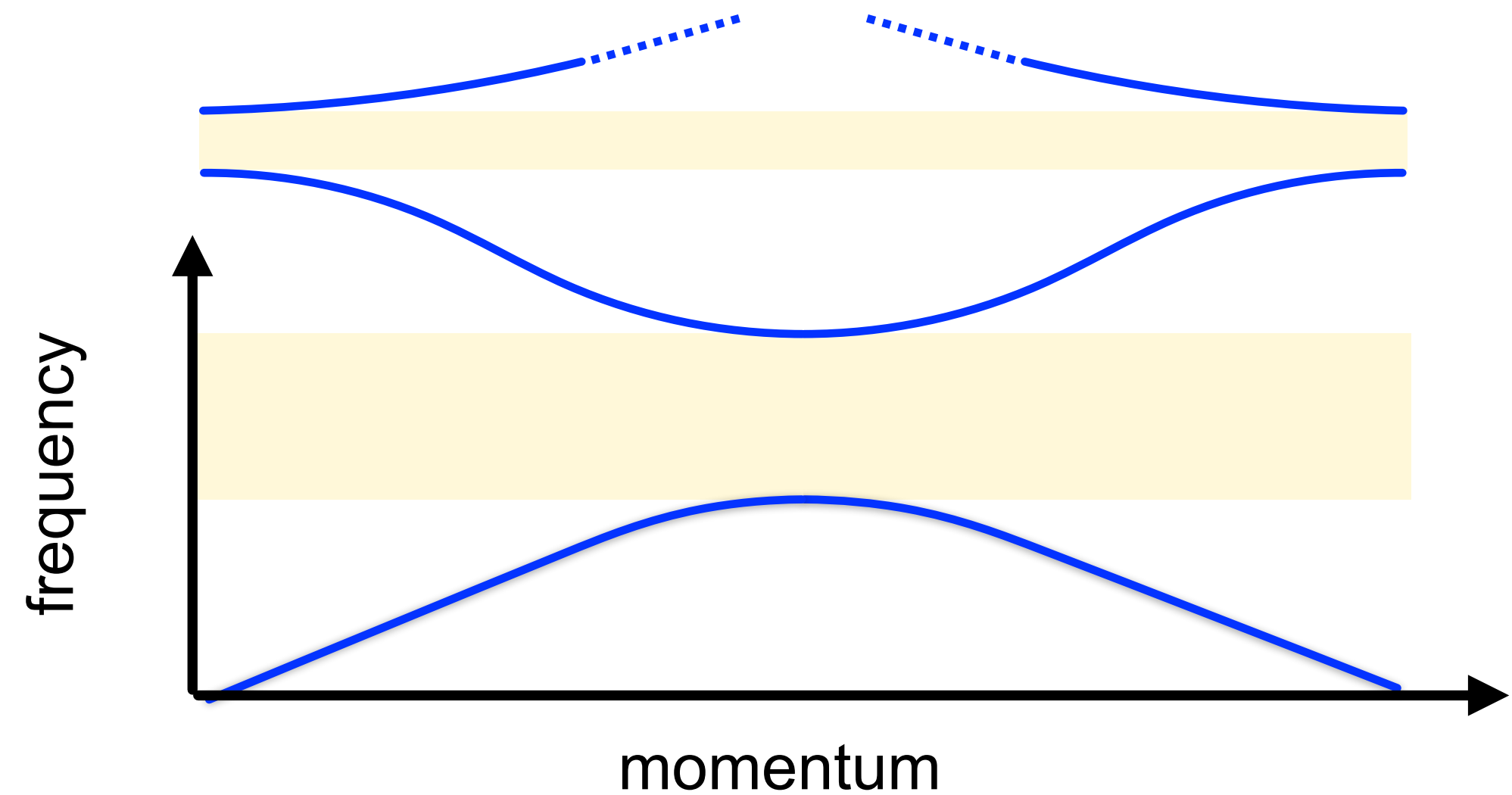
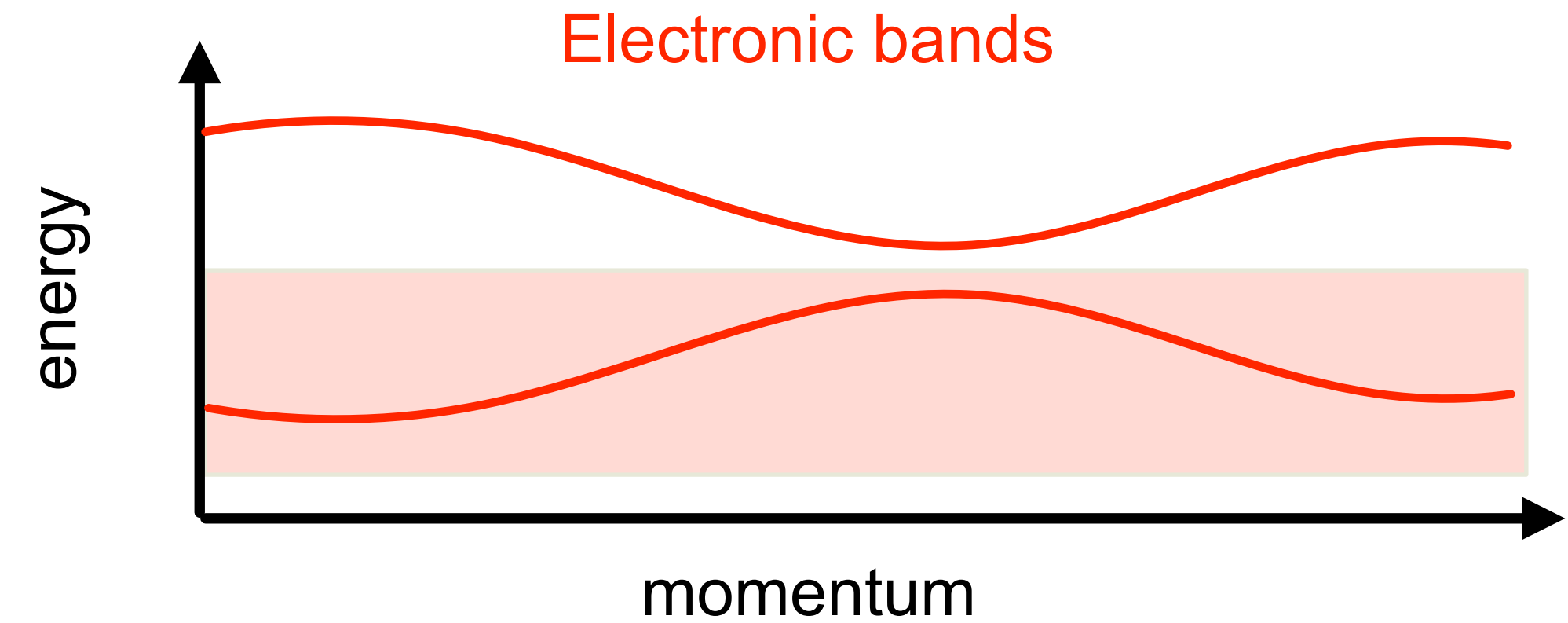
$$\partial_t^2 \rho(\mathbf{x}) = c^2 \nabla^2 \rho(\mathbf{x})$$



From electrons to phonons

$$i\hbar\partial_t\psi = H\psi \quad \longrightarrow \quad \psi_{\text{gs}}(\theta_x, \theta_y)$$

$$\partial_t^2 x = -Dx \quad \longrightarrow$$

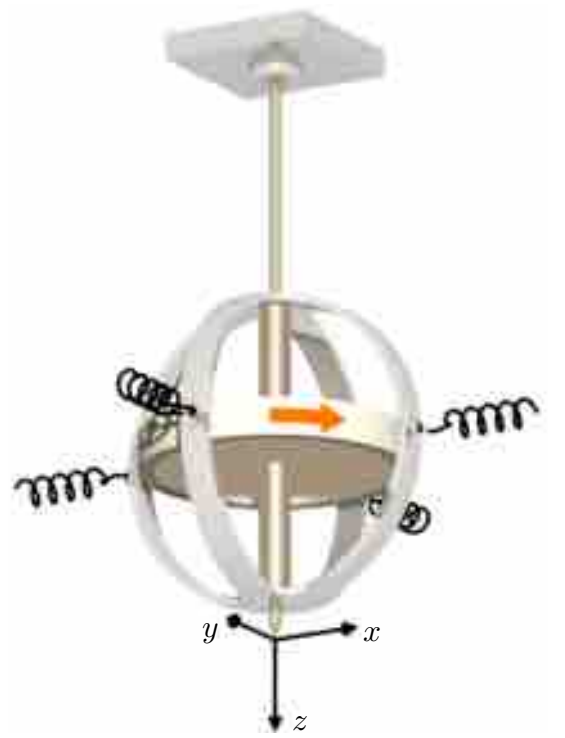


A more concrete mapping

A conservative discrete system

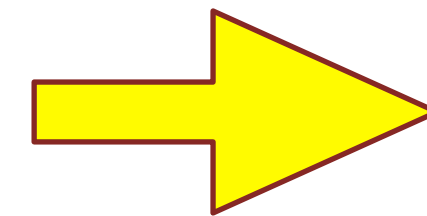
$$\ddot{x}_i(t) = -D_{ij} x_j(t) + \Gamma_{ij} \dot{x}_j(t)$$

$$D^T = D, \quad D > 0, \quad \Gamma^T = -\Gamma$$



First order time derivative

$$\frac{d}{dt} \begin{bmatrix} \mathbf{x}(t) \\ \dot{\mathbf{x}}(t) \end{bmatrix} = \begin{bmatrix} 0 & \mathbb{1} \\ -D & \Gamma \end{bmatrix} \begin{bmatrix} \mathbf{x}(t) \\ \dot{\mathbf{x}}(t) \end{bmatrix}$$

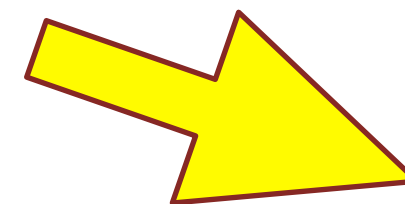


$$\psi = \begin{bmatrix} Q^T & 0 \\ 0 & i\mathbb{1} \end{bmatrix} \begin{bmatrix} \mathbf{x}(t) \\ \dot{\mathbf{x}}(t) \end{bmatrix}$$

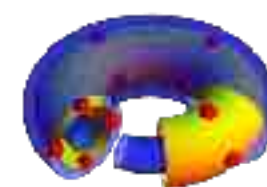
$$D = Q^T Q$$

Formally: a BdG-type problem

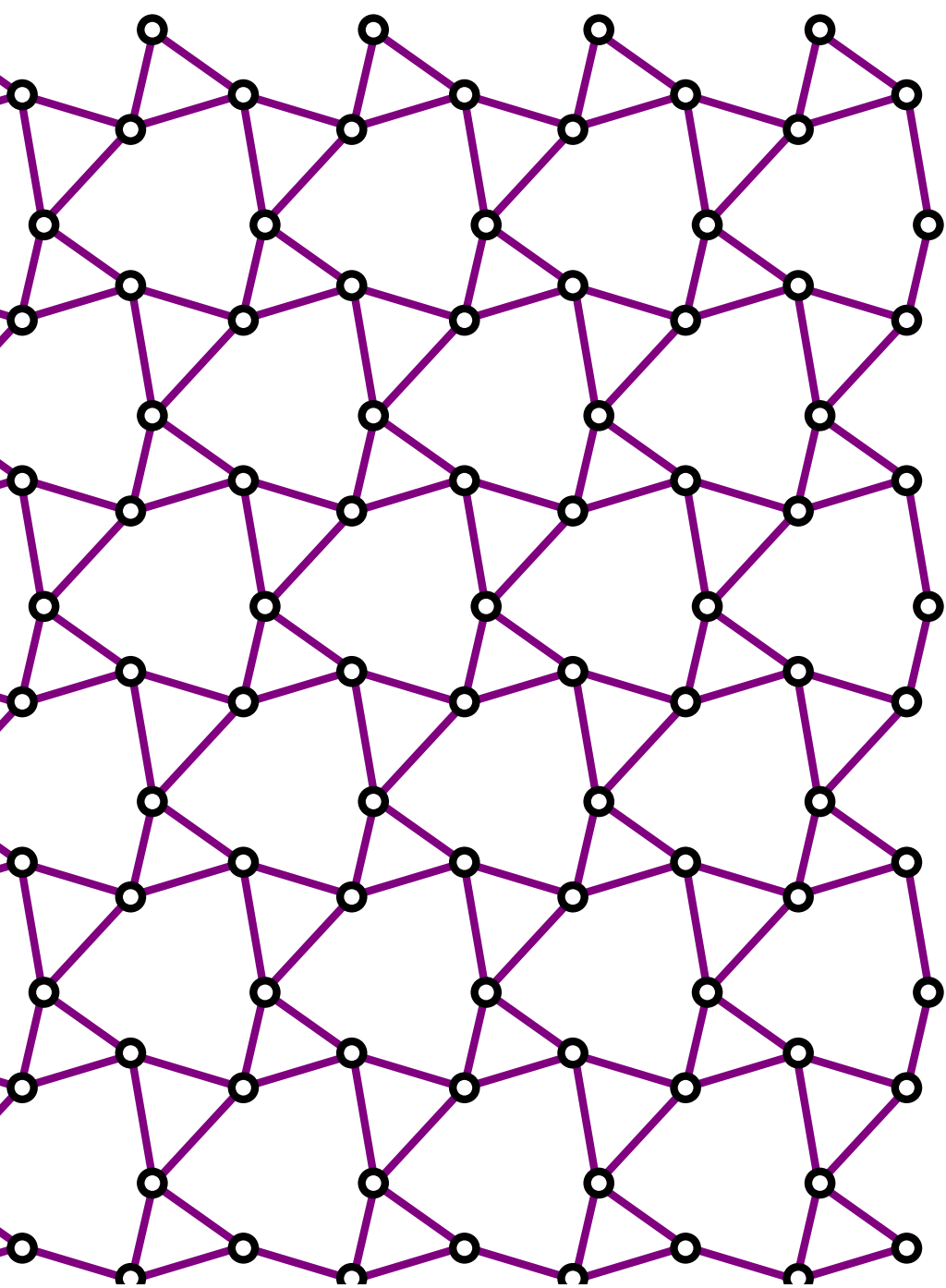
$$i \frac{d}{dt} \psi = \underbrace{\begin{bmatrix} 0 & Q^T \\ Q & i\Gamma \end{bmatrix}}_H \psi$$



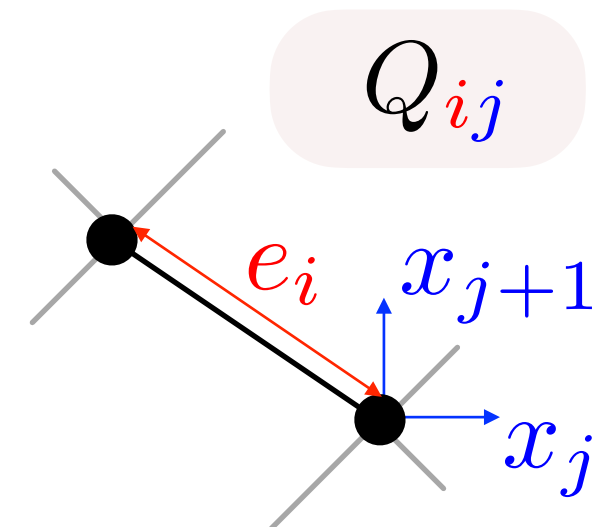
$$U_c = \begin{bmatrix} \mathbb{1} & 0 \\ 0 & -\mathbb{1} \end{bmatrix} K \quad H U_c + U_c H = 0$$



Half the dynamical matrix



Isostatic lattices



$$D = Q^T Q$$

↓

$$Q^T \neq Q$$

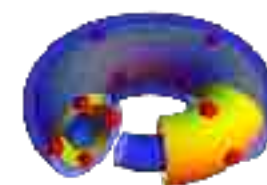
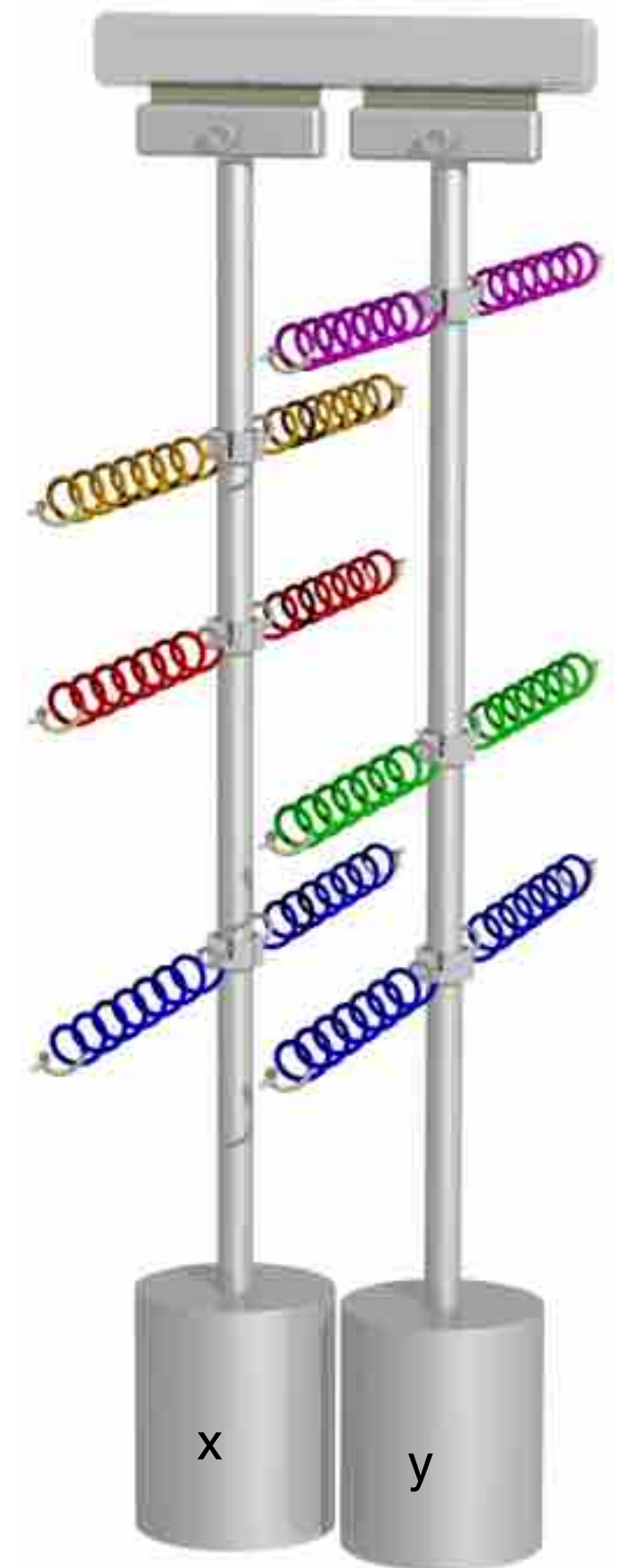
Generic lattices

$$D > 0 \Rightarrow$$

$$Q = \sqrt{D}$$

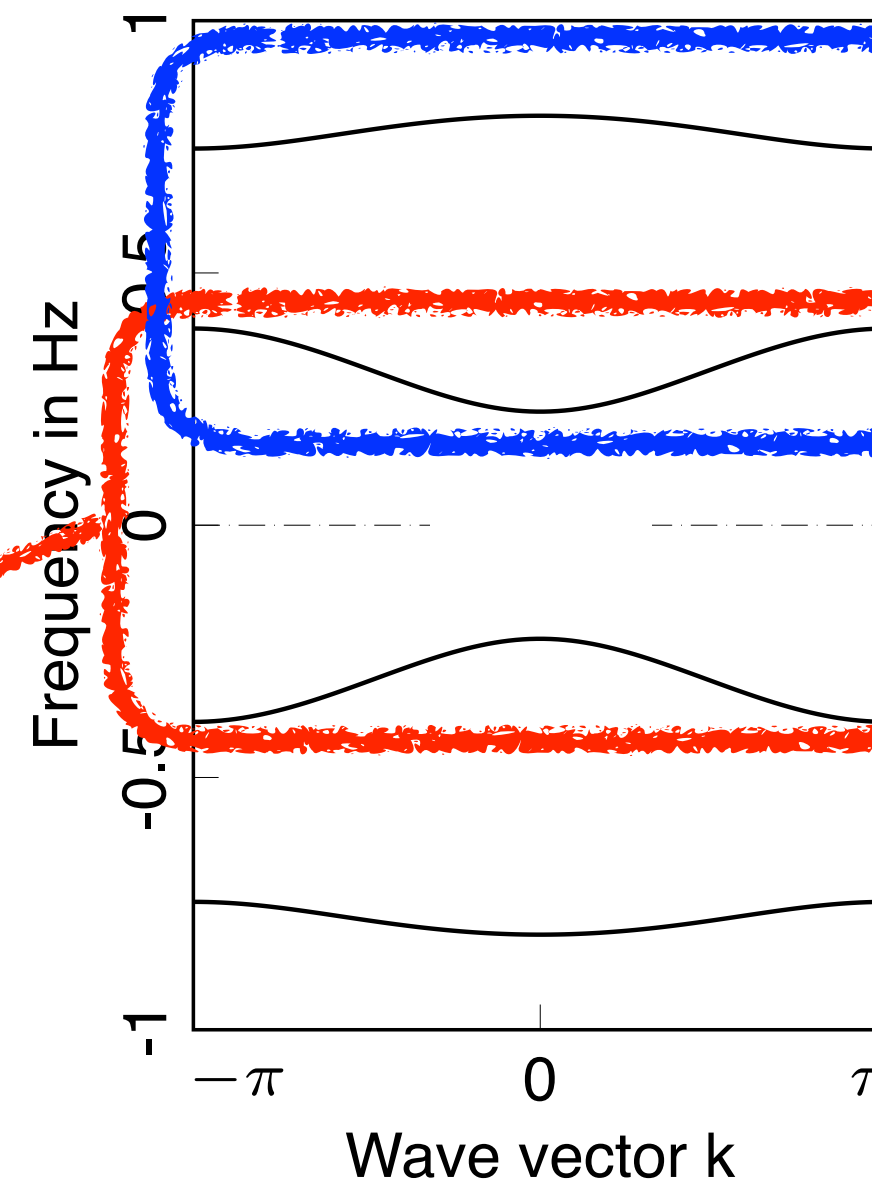
↓

$$Q^T \equiv Q$$



Generic dispersion: flavors of TI's

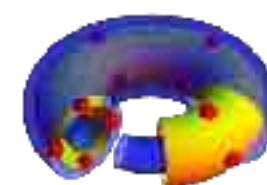
- Isostatic lattices
- Zero-frequency: static properties
- Floppy modes
- States of self-stress



- Generic lattices
- High-frequency properties
- wave guides
- vibration isolation
- heat-diodes

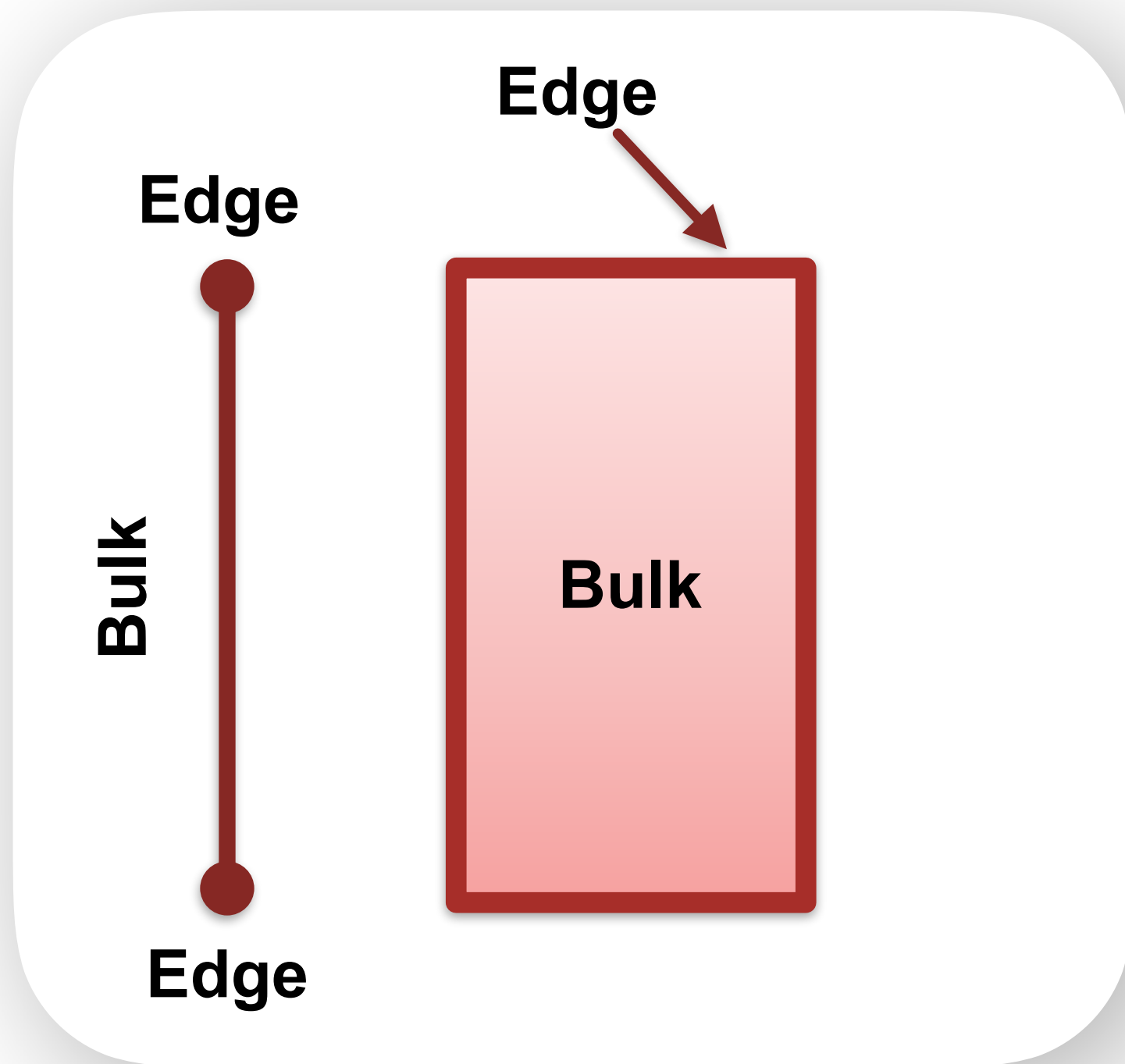
$$i \frac{d}{dt} \psi = \begin{bmatrix} 0 & Q^T \\ Q & i\Gamma \end{bmatrix} \psi$$

$$D = Q^T Q$$

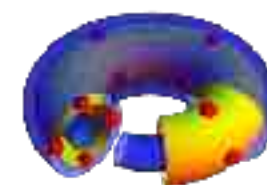
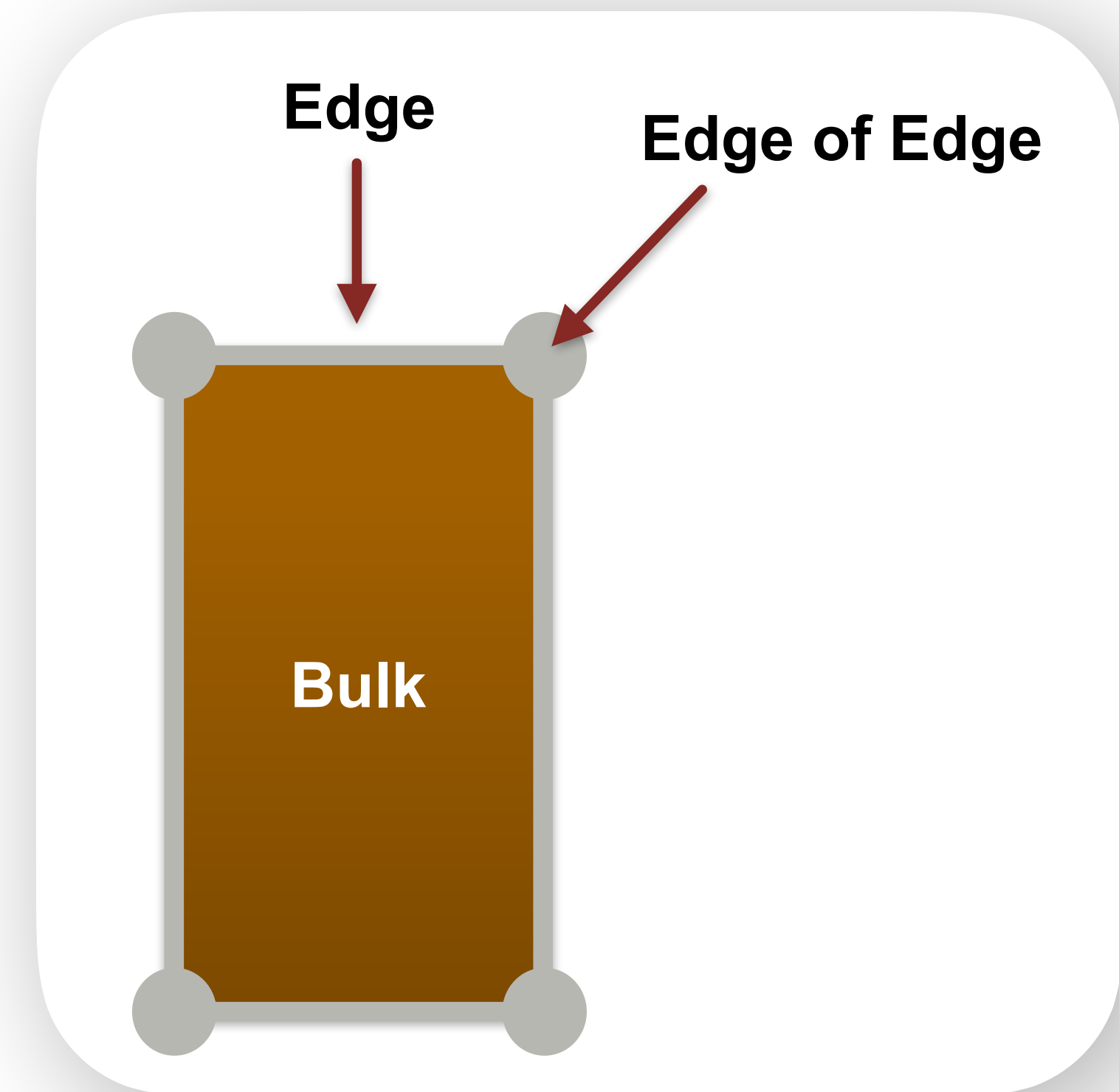


A selection of topological effects

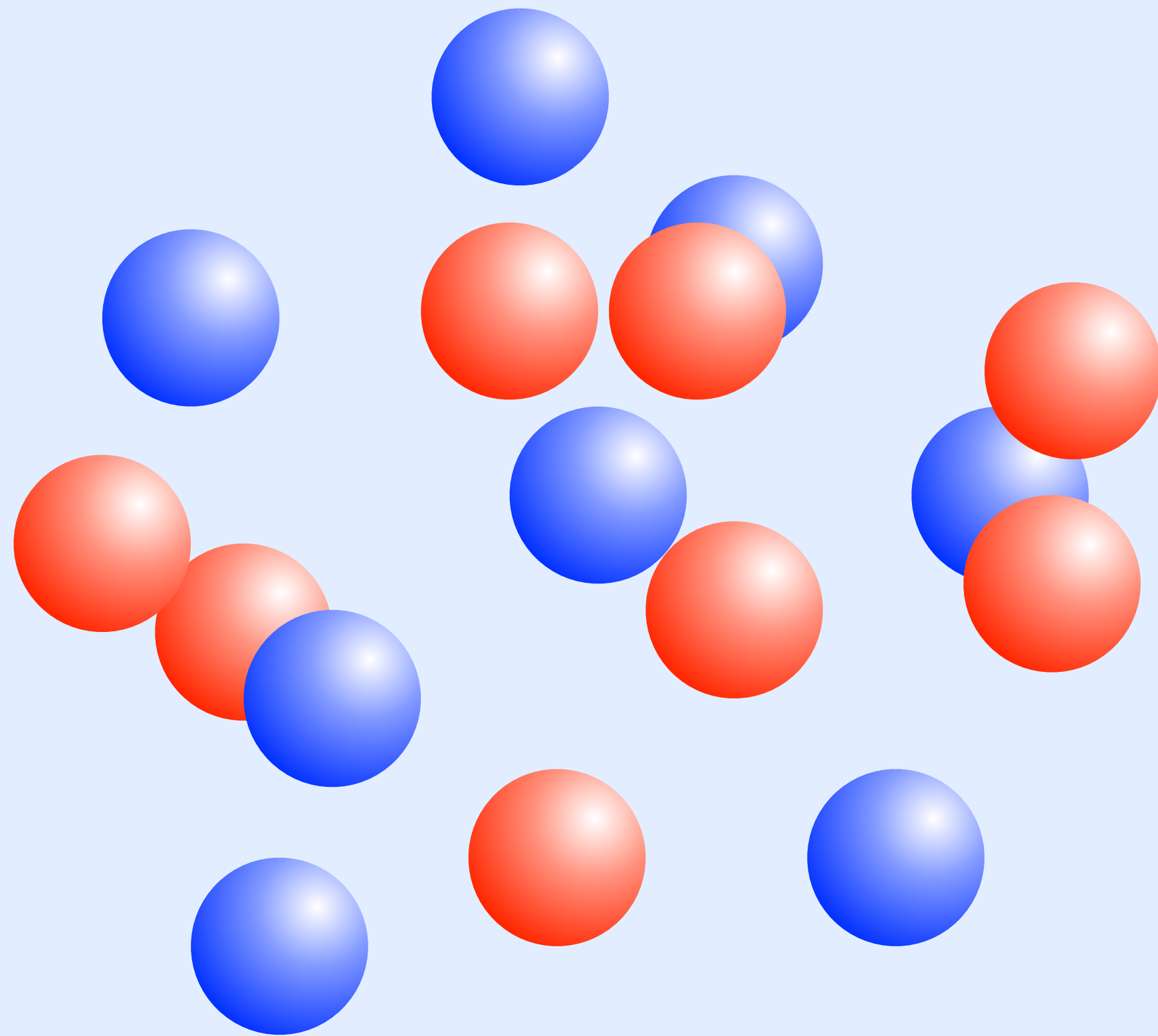
Separate channels in real space



Separate them even "further"

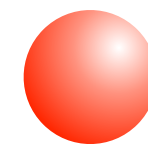


Multipole expansion



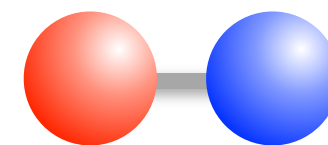
$$\varphi(\mathbf{r}) = \frac{1}{4\pi} \int d\mathbf{r}' \frac{\rho(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|}$$

$$\varphi(\mathbf{r}) = \frac{1}{4\pi} \frac{Q}{r} + \frac{1}{4\pi} P_i \frac{r_i}{r^3} + \frac{1}{4\pi} Q_{ij} \frac{3r_i r_j - r^2 \delta_{ij}}{2r^5} + \dots$$



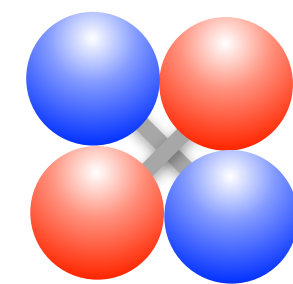
$$Q = \int d\mathbf{r} \rho(\mathbf{r})$$

Monopole



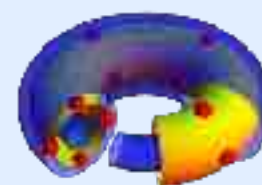
$$P_i = \int d\mathbf{r} r_i \rho(\mathbf{r})$$

Dipole



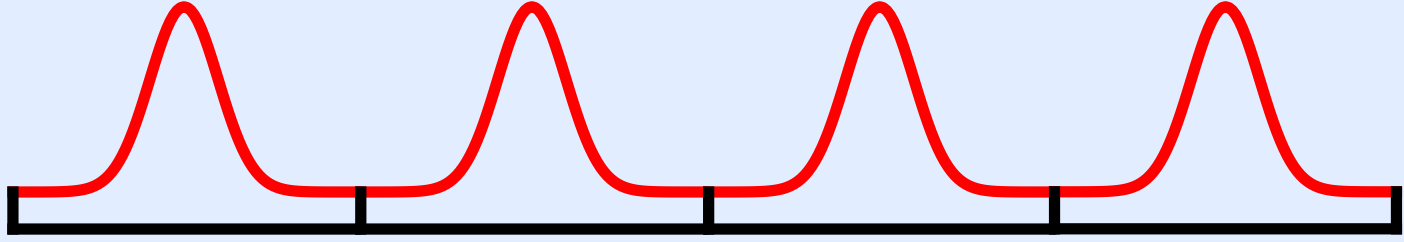
$$Q_{ij} = \int d\mathbf{r} r_i r_j \rho(\mathbf{r})$$

Quadrupole



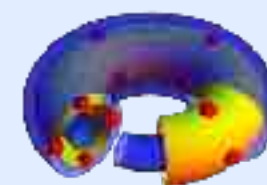
Dipole density

- $P = \int dr \, r \rho(r) \quad \Rightarrow \quad P = \langle \Psi | r | \Psi \rangle$

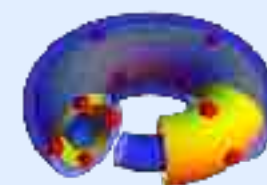
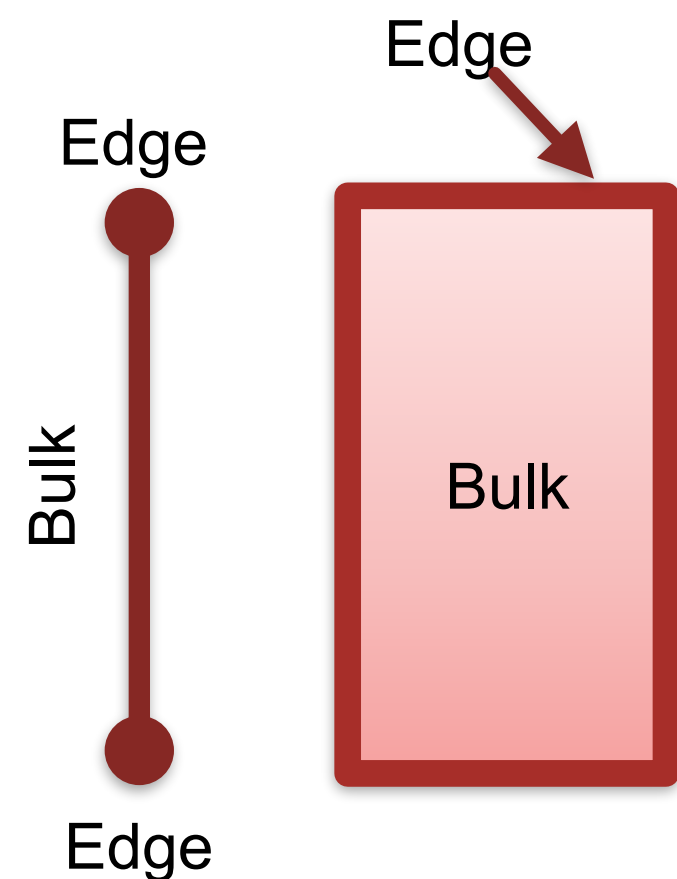
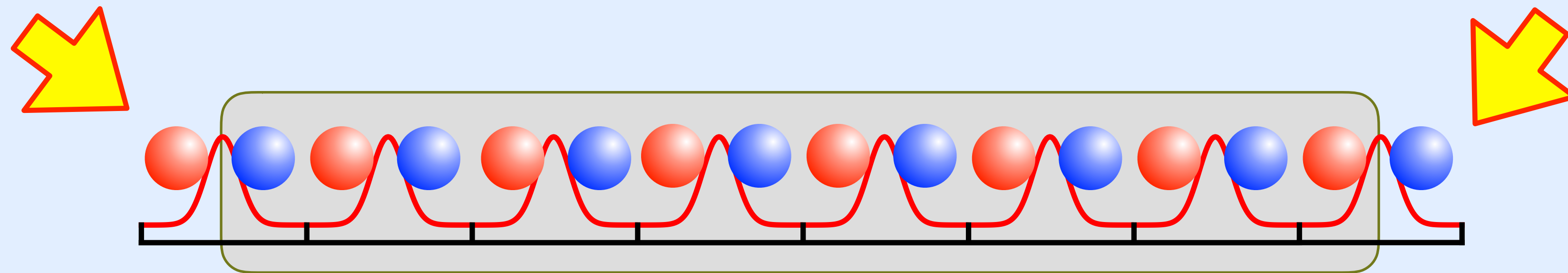
-  $|W_R(r)\rangle = \frac{1}{2\pi} \int_{-\pi}^{\pi} dk \, e^{ik(R-r)} |\phi_k\rangle$

- $$p = \int dr \, \langle W_0(r) | r | W_0(r) \rangle = \frac{1}{(2\pi)^2} \int \int_{-\pi}^{\pi} \int_{-\pi}^{\pi} dr dk dq \, e^{ir(k-q)} \langle \phi_k | i \partial_q | \phi_q \rangle$$

$$= \frac{i}{2\pi} \int_{-\pi}^{\pi} dk \, \langle \phi_k | \partial_k | \phi_k \rangle = \frac{1}{2\pi} \int_{-\pi}^{\pi} dk \, \mathcal{A}_x(k)$$

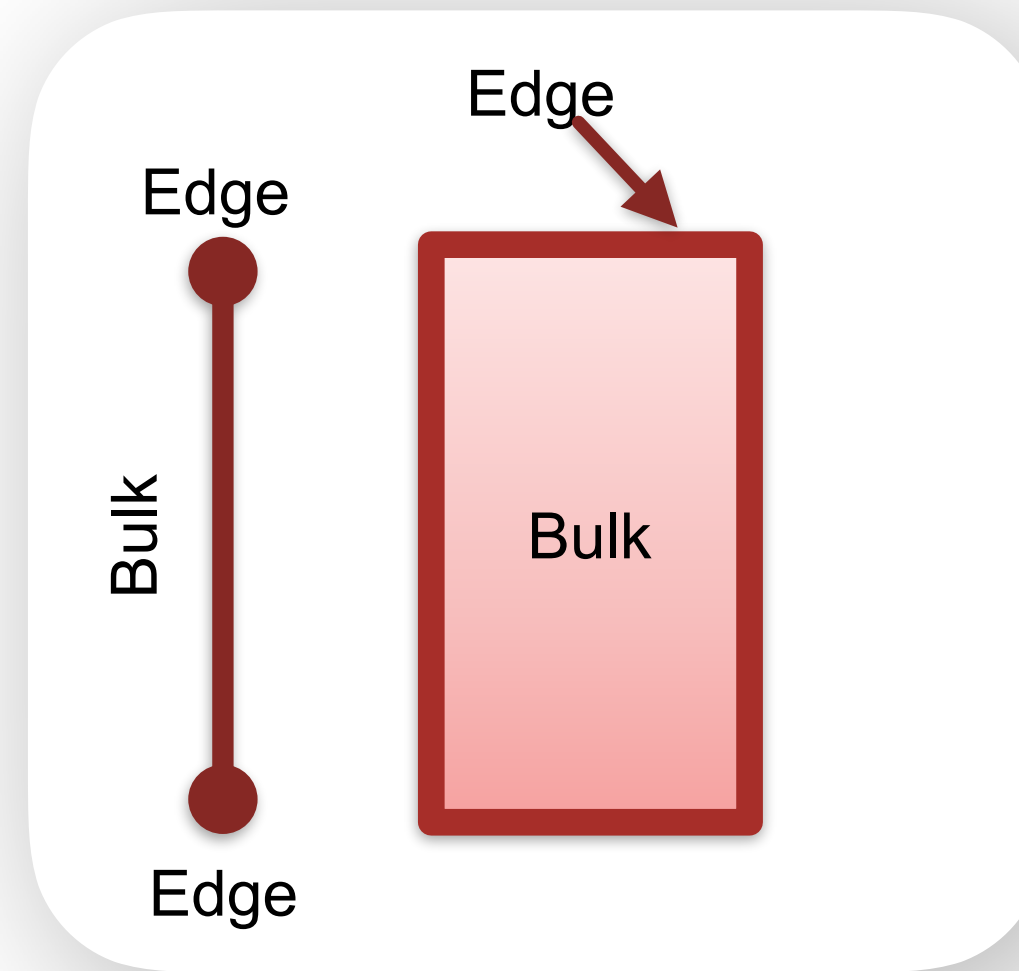


Dipole based topological systems

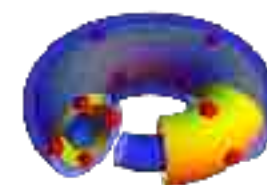


$$\rho = \partial_i p_i$$

$$\sigma = n_i p_i$$



A conventional topological system

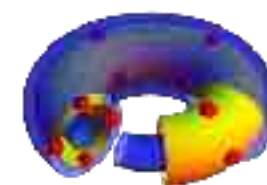


Two copies of a Chern insulator

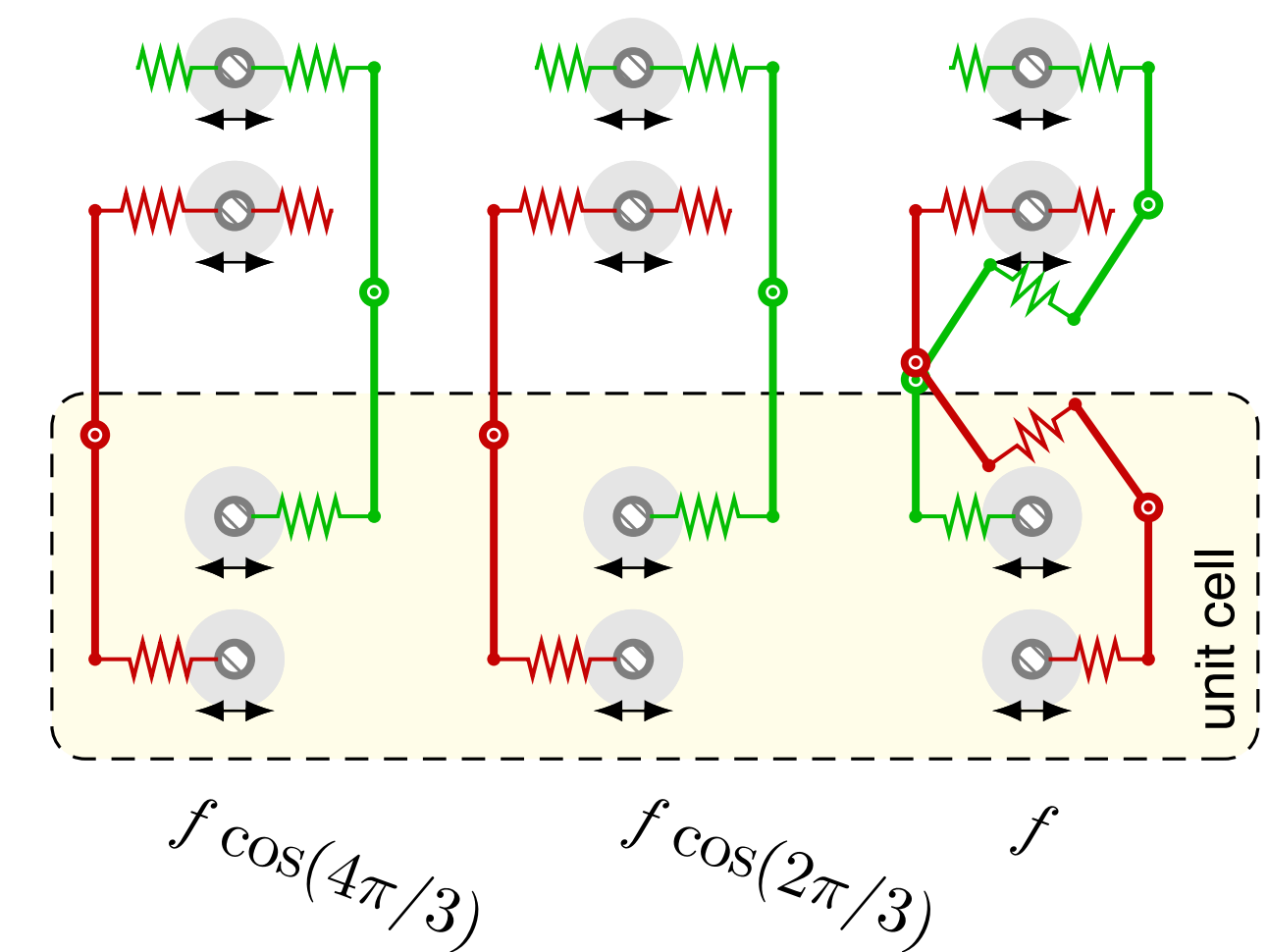
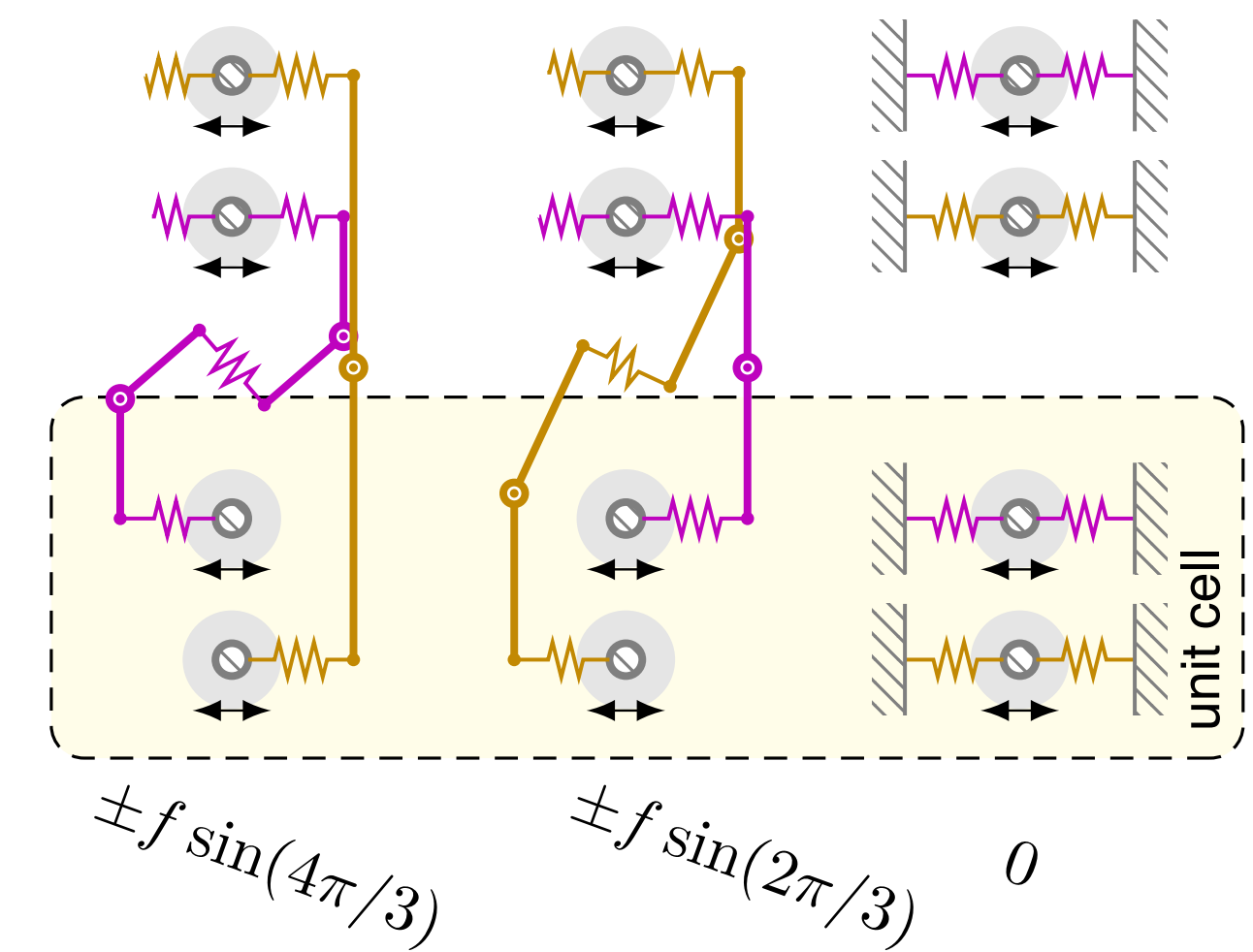
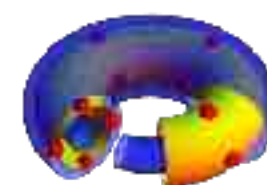
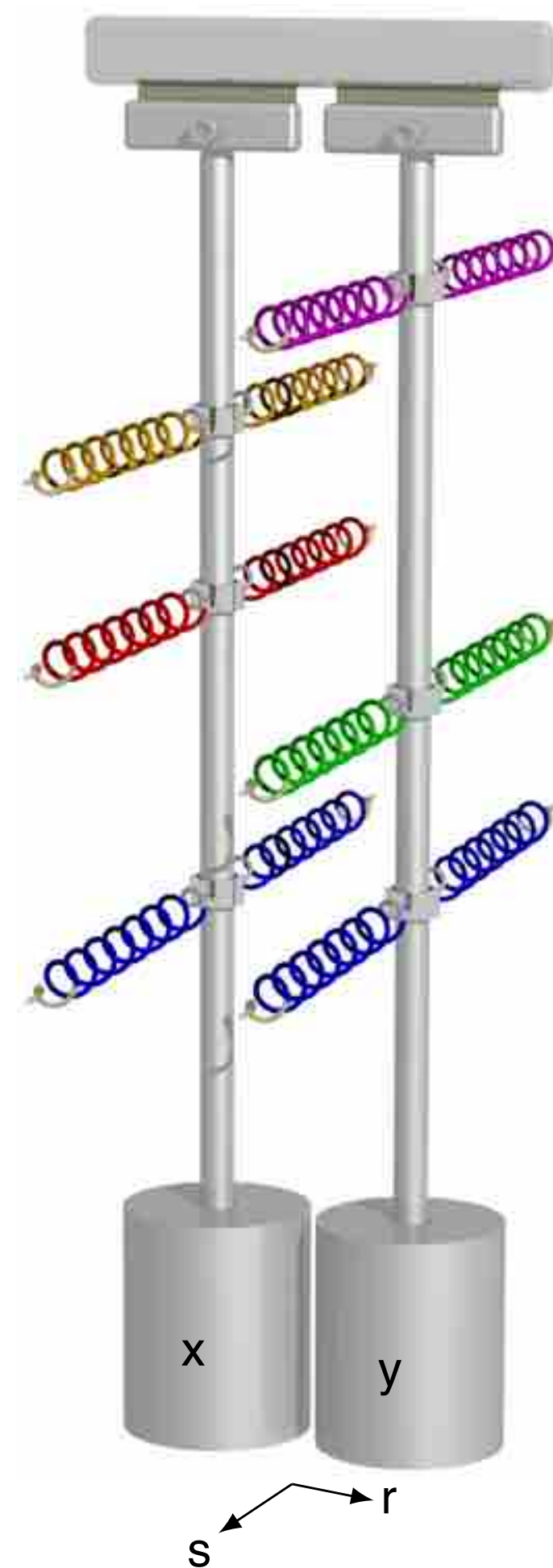
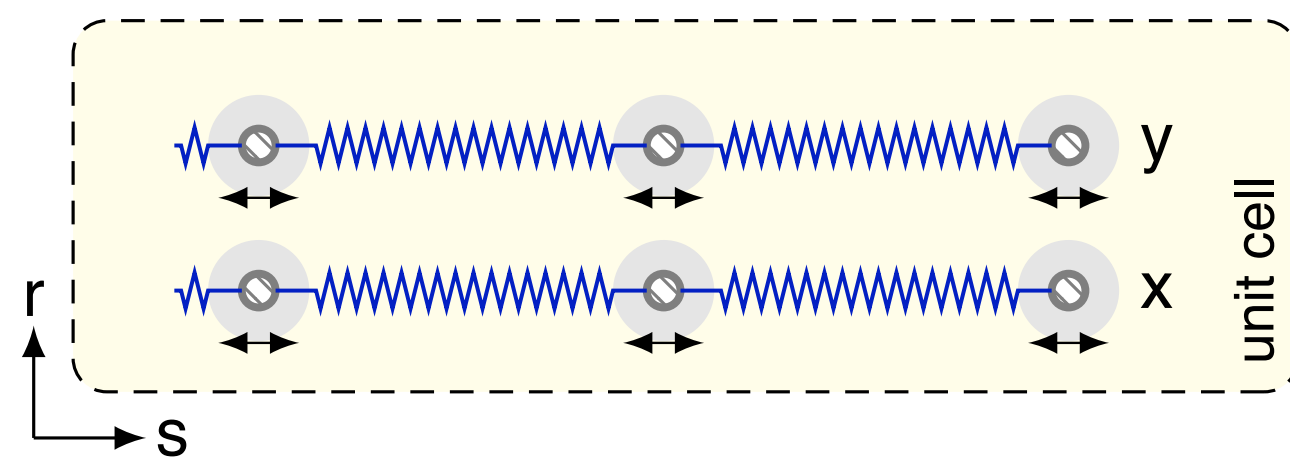
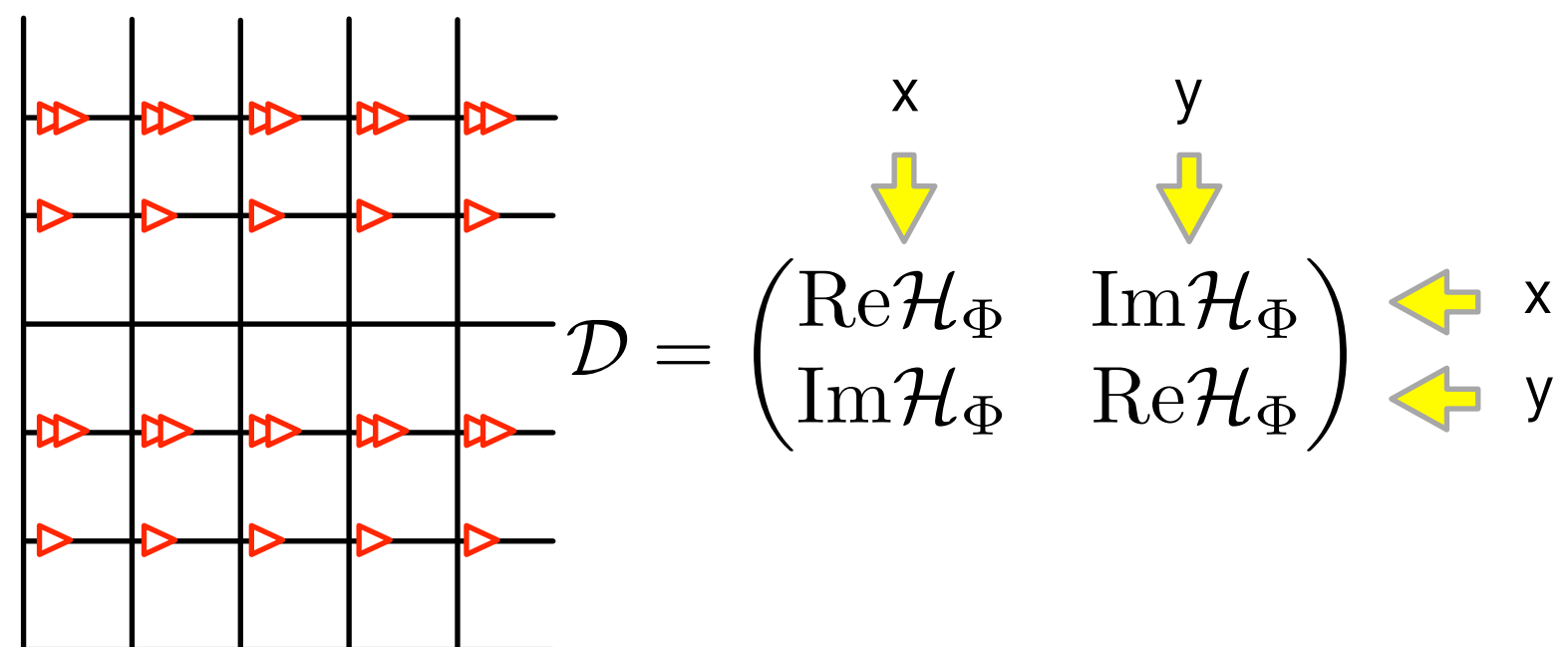
$$\mathcal{H} = \begin{pmatrix} \mathcal{H}_\Phi & 0 \\ 0 & \mathcal{H}_{-\Phi} \end{pmatrix} = \begin{pmatrix} \mathcal{H}_\Phi & 0 \\ 0 & \mathcal{H}_\Phi^* \end{pmatrix}$$

$$\begin{pmatrix} x_{r,s} \\ y_{r,s} \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & -i \\ 1 & i \end{pmatrix} \begin{pmatrix} \psi_{r,s}^+ \\ \psi_{r,s}^- \end{pmatrix}$$

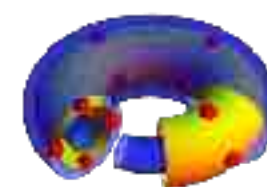
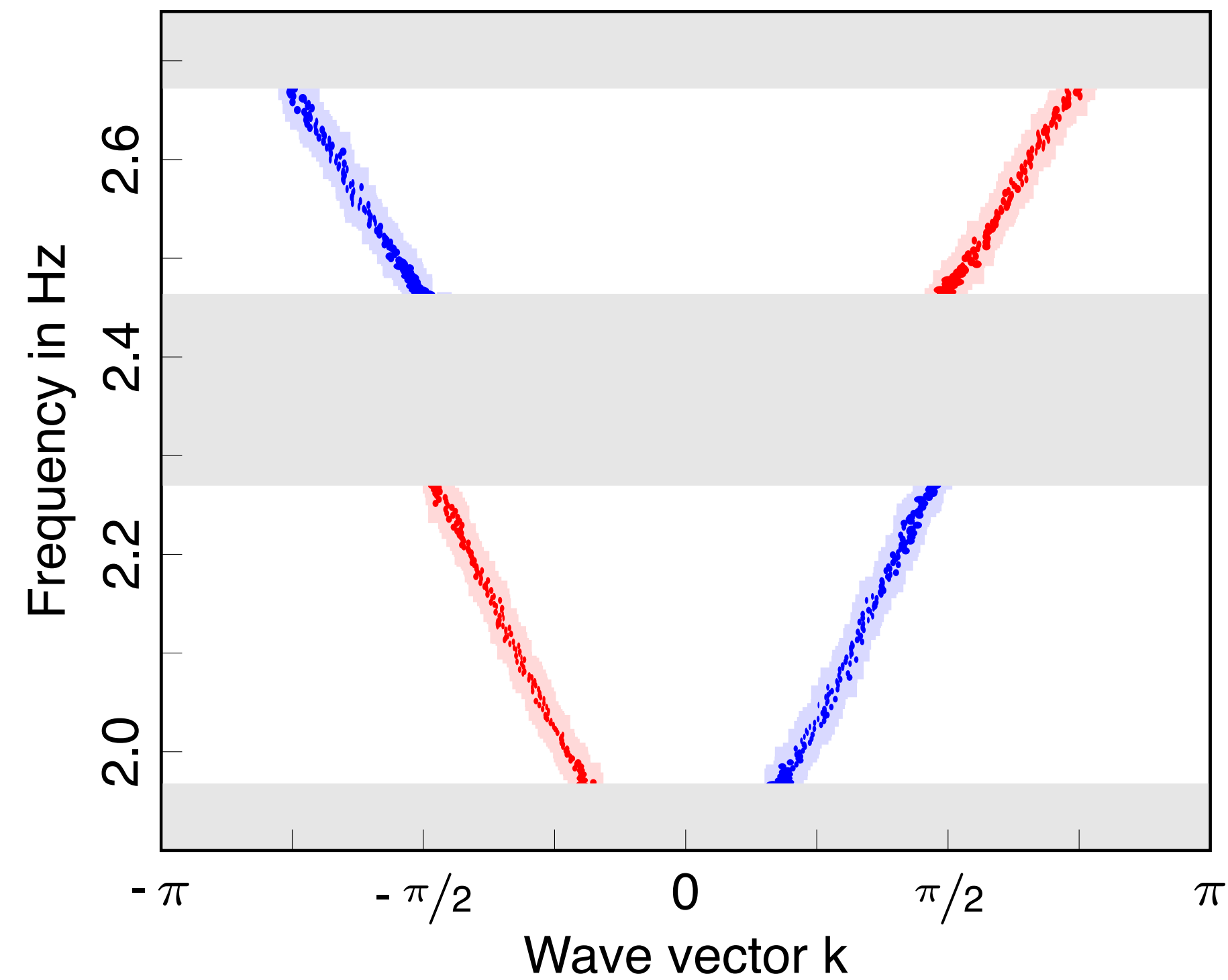
$$U^\dagger \mathcal{H} U = \mathcal{D} = \begin{pmatrix} \text{Re} \mathcal{H}_\Phi & \text{Im} \mathcal{H}_\Phi \\ \text{Im} \mathcal{H}_\Phi & \text{Re} \mathcal{H}_\Phi \end{pmatrix}$$



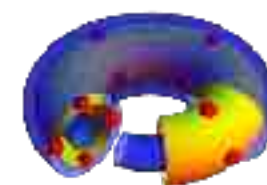
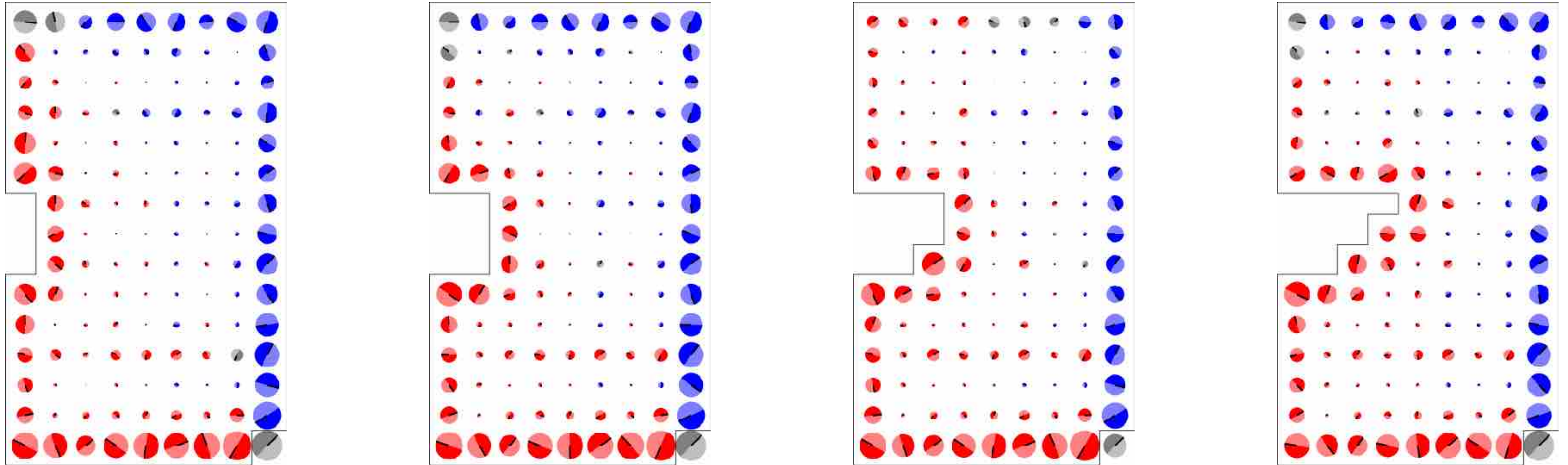
Our implementation

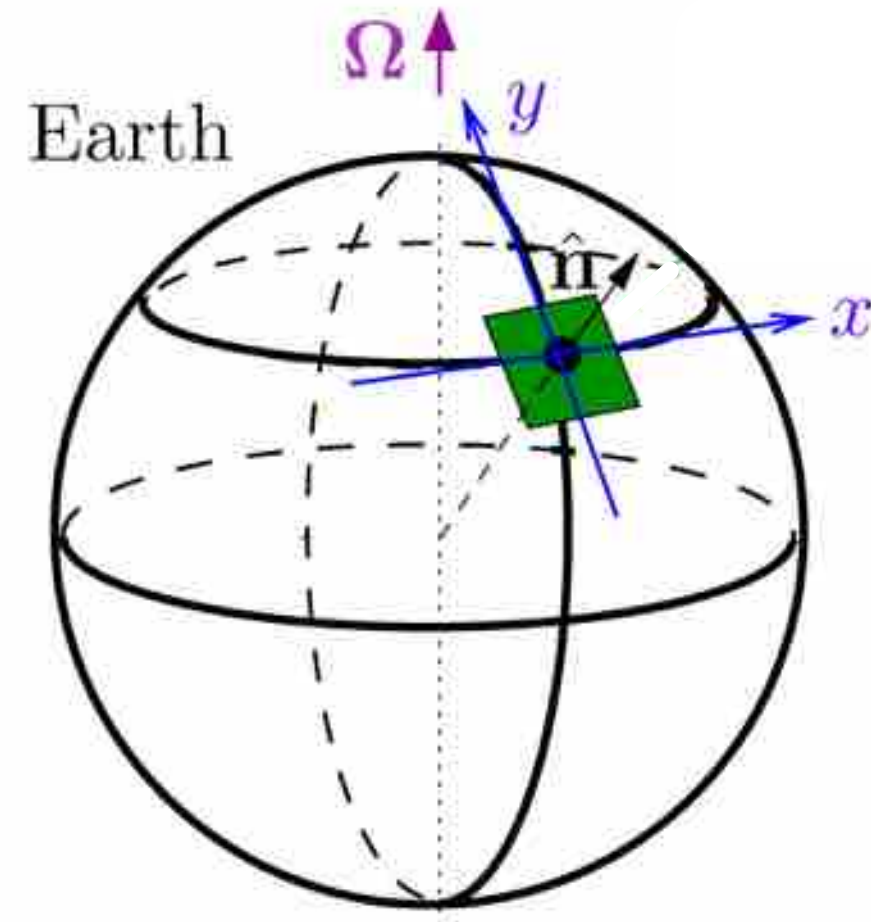
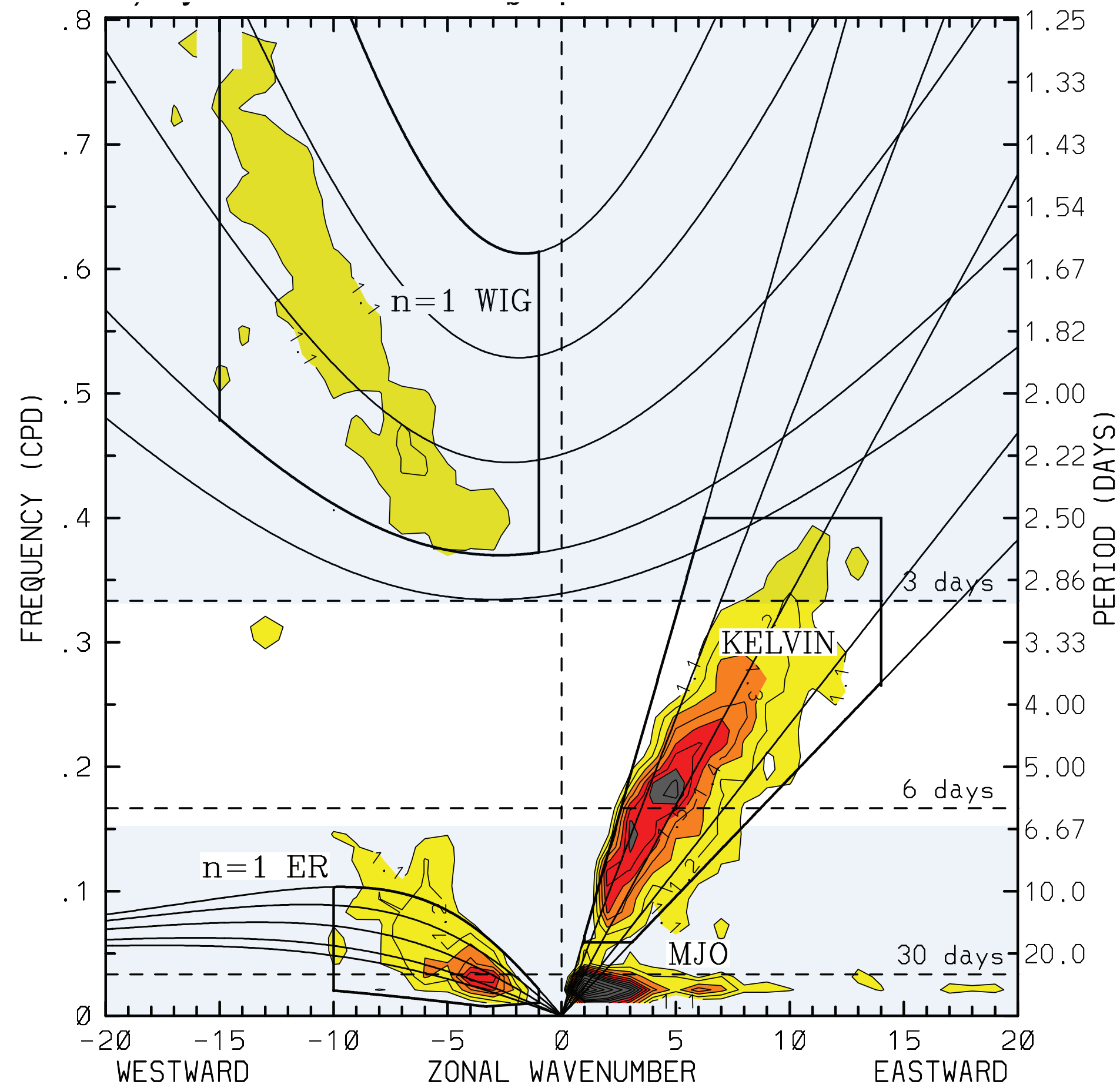


Helical edge modes



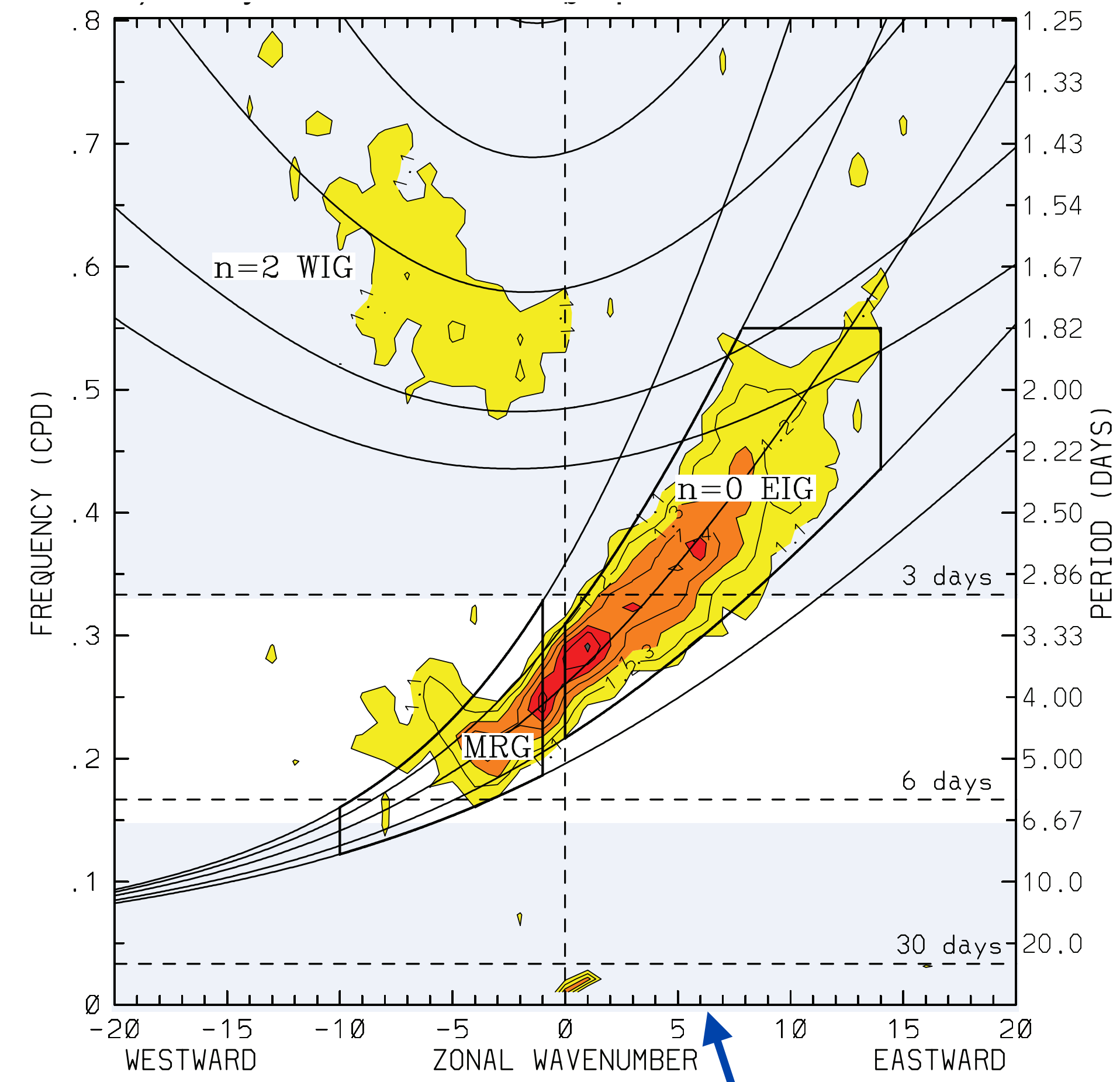
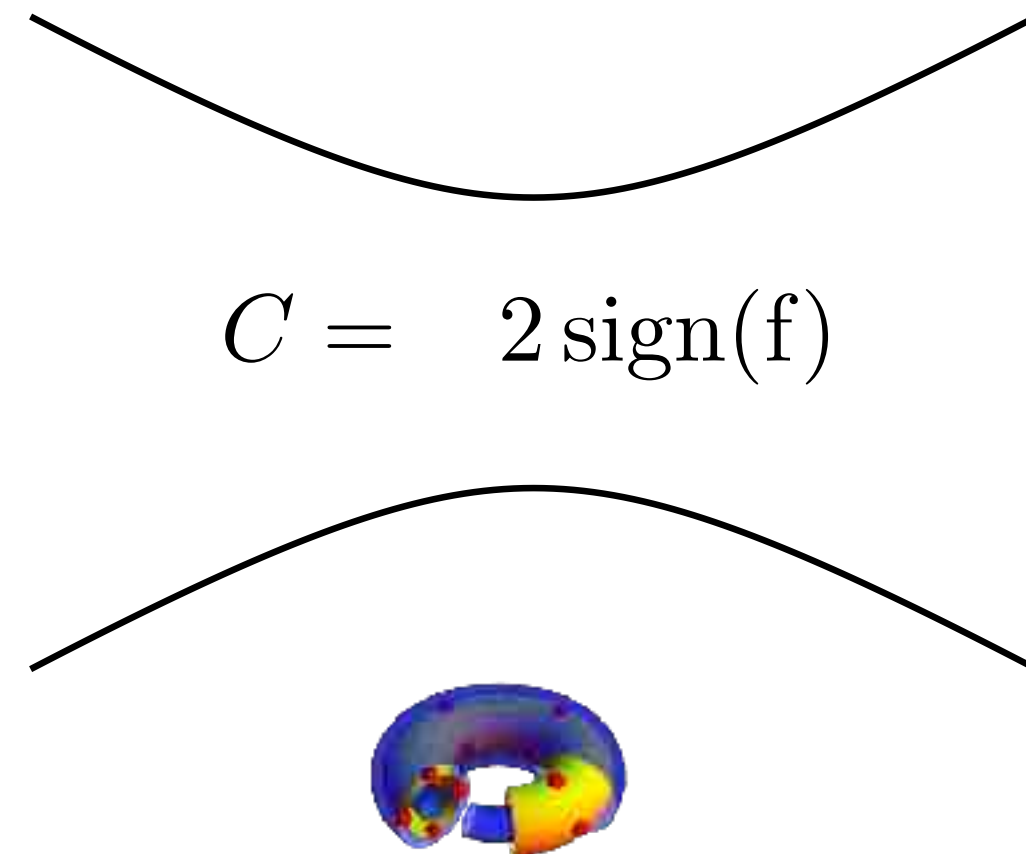
Not “just” a whispering gallery mode





$$\omega_{\pm}(\mathbf{k}) = \pm \sqrt{f^2 + c^2 k^2}$$

$$\omega_0(\mathbf{k}) = 0 \quad f = \hat{\mathbf{n}} \cdot \Omega$$



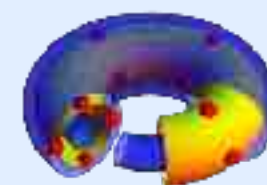
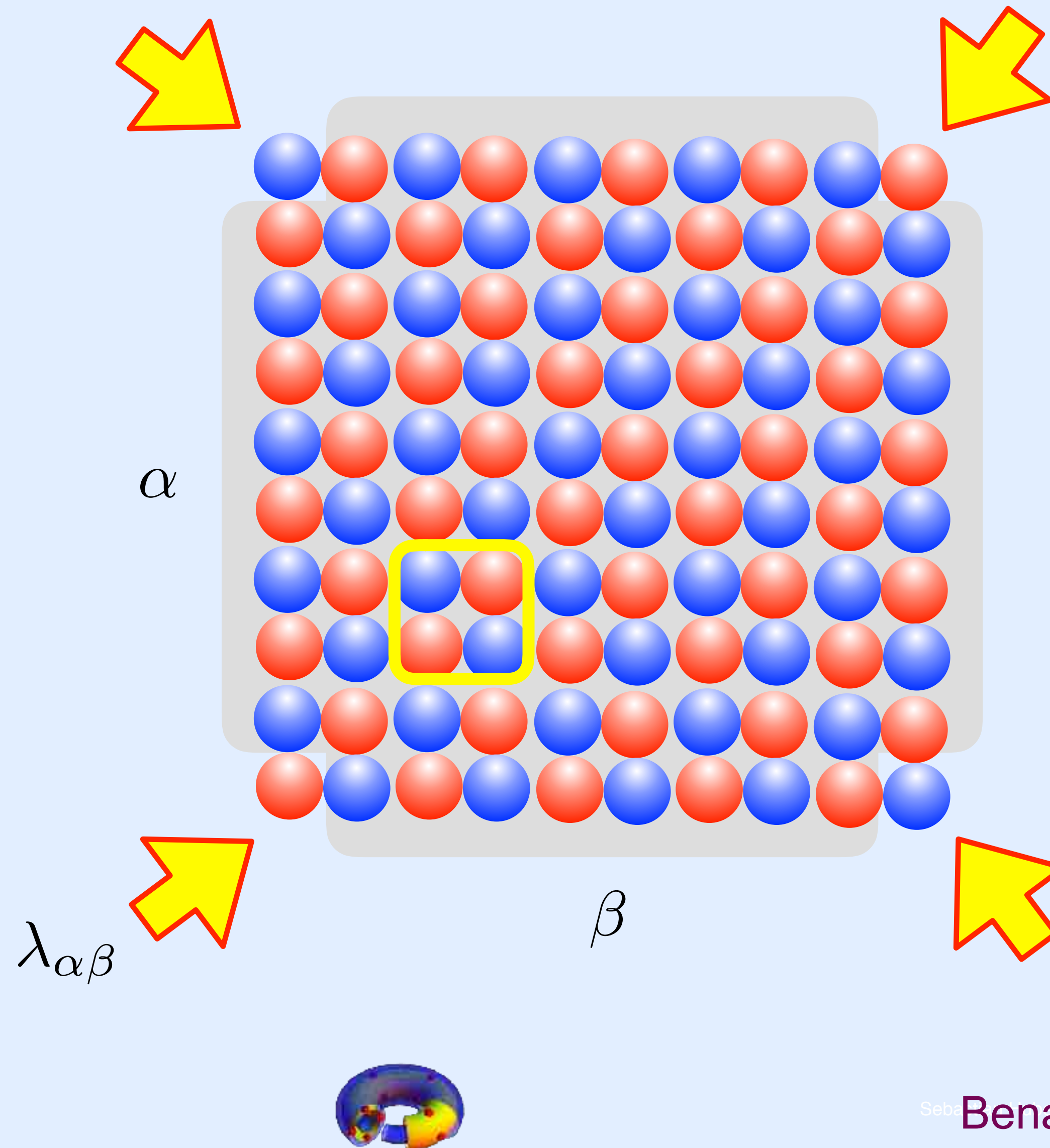
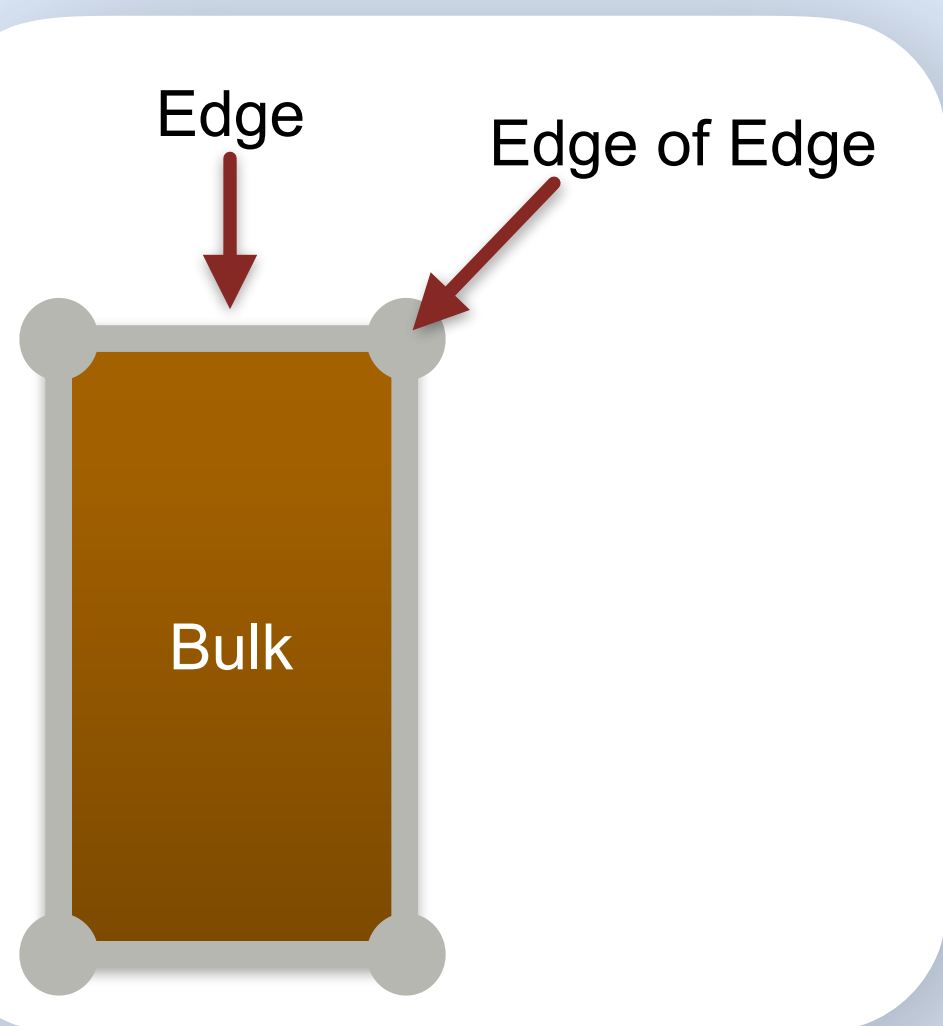
$\lambda = 6000 \text{ km}$

Quadrupole based topological system

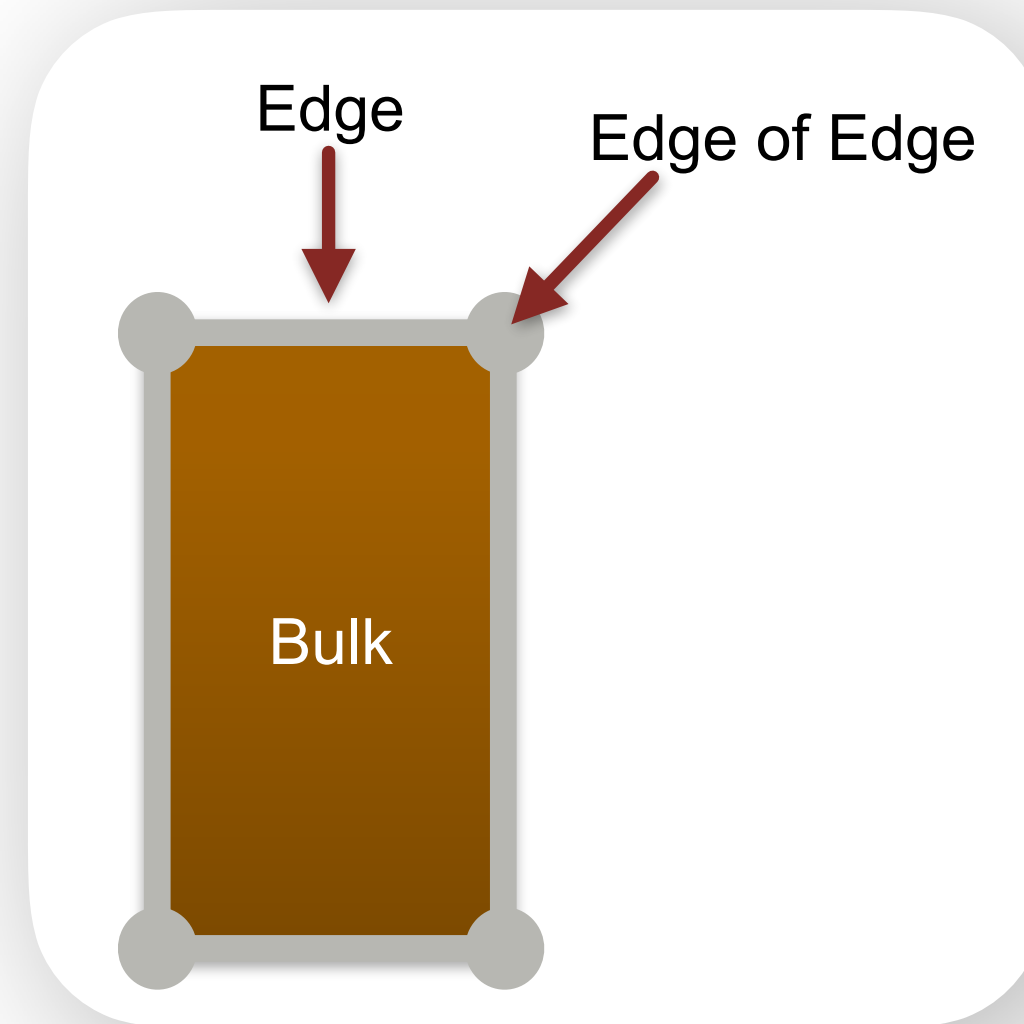
$$\rho = \frac{1}{2} \partial_i \partial_j q_{ij}$$

$$\sigma^\alpha = -\partial_j (n_i^\alpha q_{ij})$$

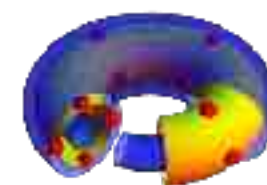
$$\lambda^{\alpha\beta} = n_i^\alpha n_j^\beta q_{ij}$$



Benalcazar et al. Science (2017)
Schindler et al. Sci. Adv. (2018)
Trifunovic and Brouwer, arXiv (2018)
Schindler et al. Nature Phys. (2018)



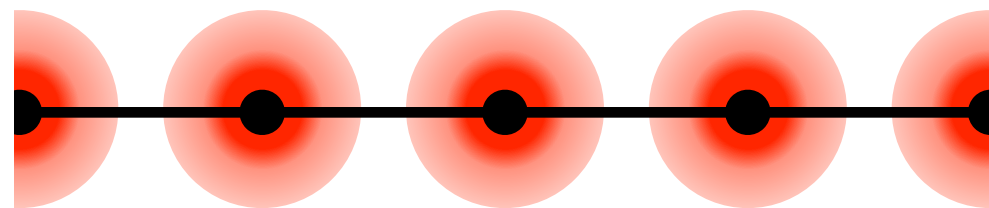
A higher-order topological system



From dipoles to quadrupoles

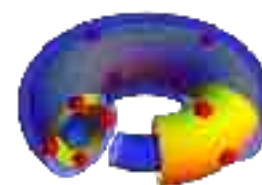
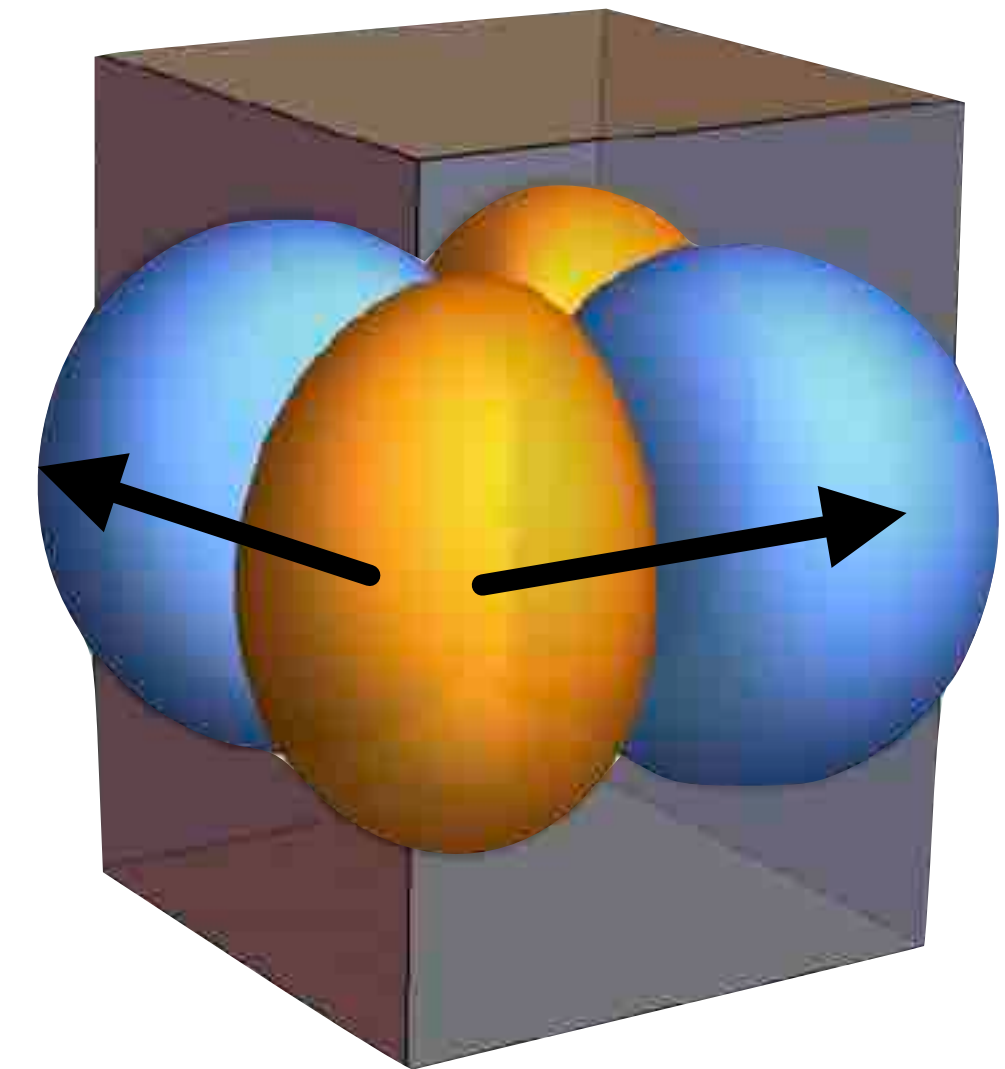
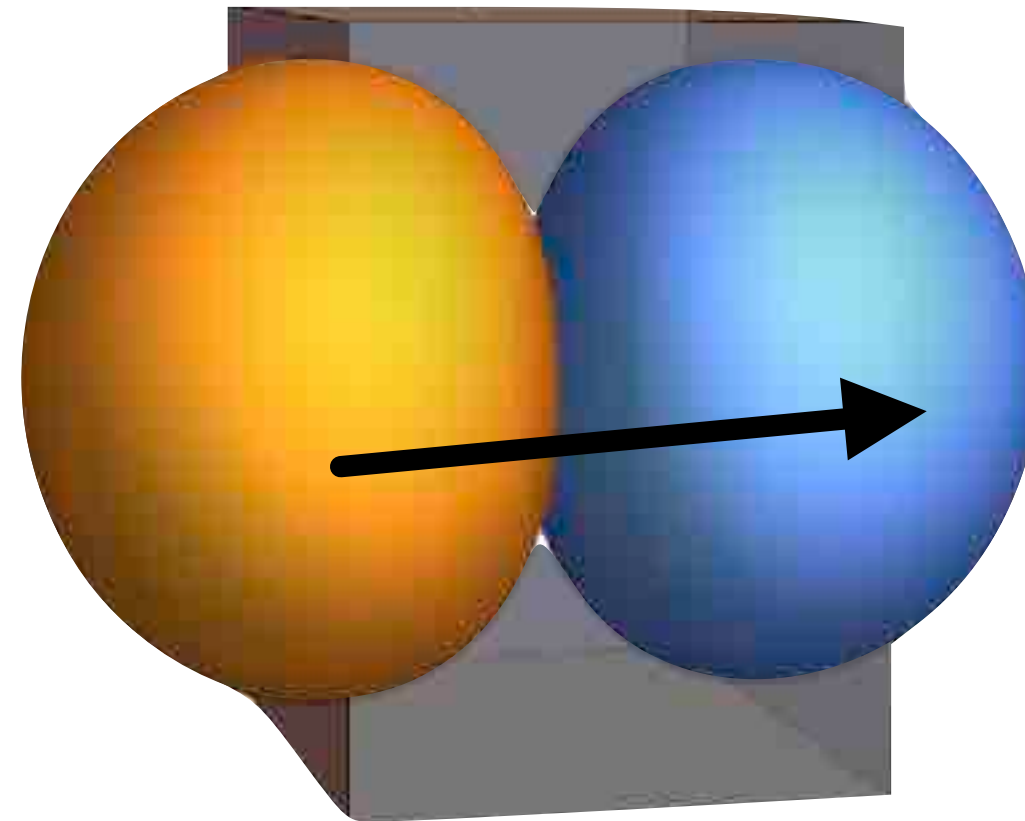
$$p_\alpha = -\frac{1}{4\pi^2} \int_K d\mathbf{k} \operatorname{Tr}[\mathcal{A}_{\alpha,\mathbf{k}}]$$

$$\mathcal{A}_{\alpha,\mathbf{k}} = -i \langle u_{\mathbf{k}}^m | \partial_{k_\alpha} | u_{\mathbf{k}}^n \rangle$$



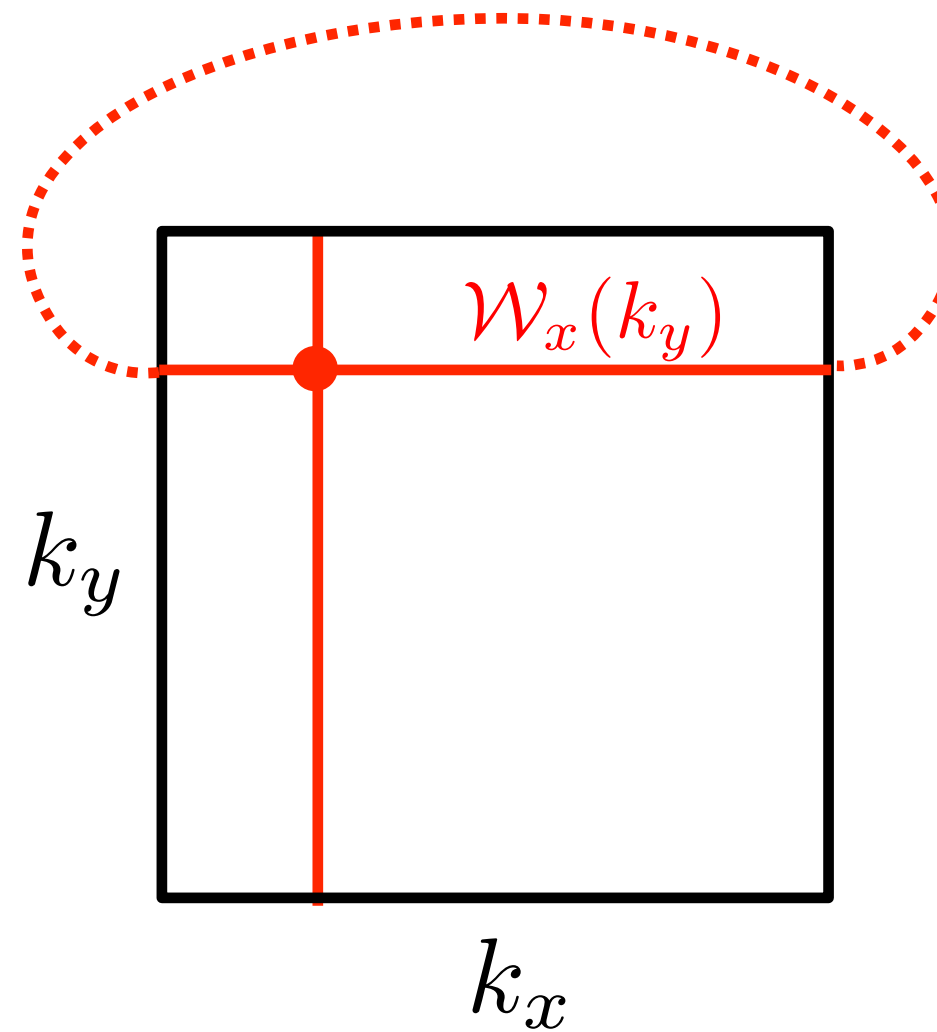
With, e.g., inversion symmetry:

$$p_\alpha = \begin{cases} 0 & \text{trivial} \\ 1/2 & \text{non-trivial} \end{cases}$$



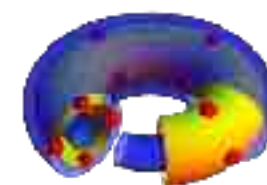
Formalizing the quadrupole moment: Wilson loops

$$\mathcal{W}_x(k_y) = \text{Tr} \exp \left(-i \oint_{\mathcal{C}} dk_x \langle u_{\mathbf{k}}^n | \partial_{k_x} | u_{\mathbf{k}}^m \rangle \right)$$



$$p_\alpha = -\frac{1}{4\pi^2} \int_K d\mathbf{k} \text{Tr}[\mathcal{A}_\alpha, \mathbf{k}]$$

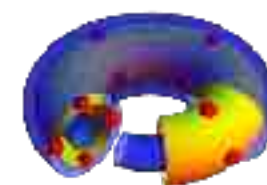
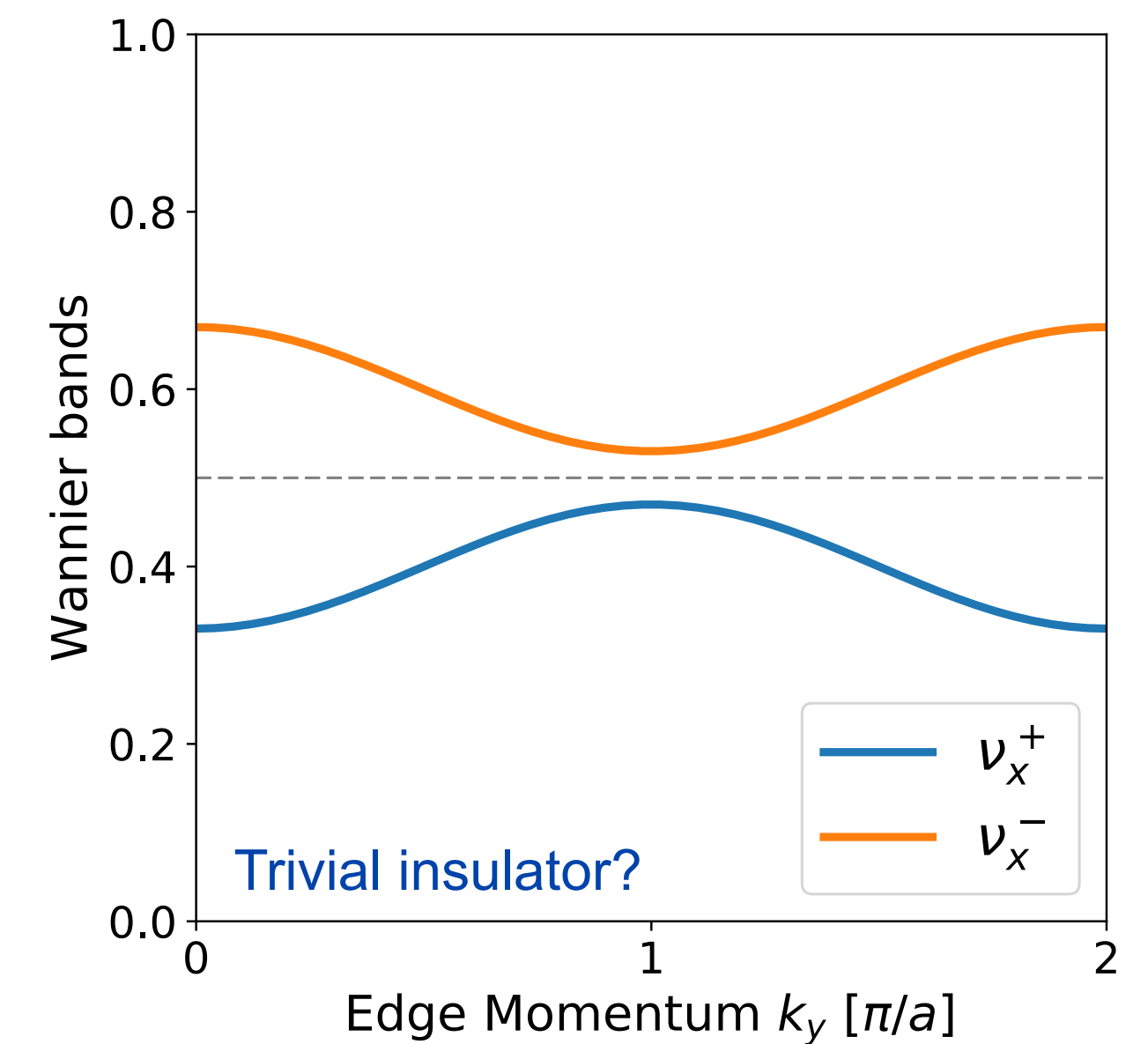
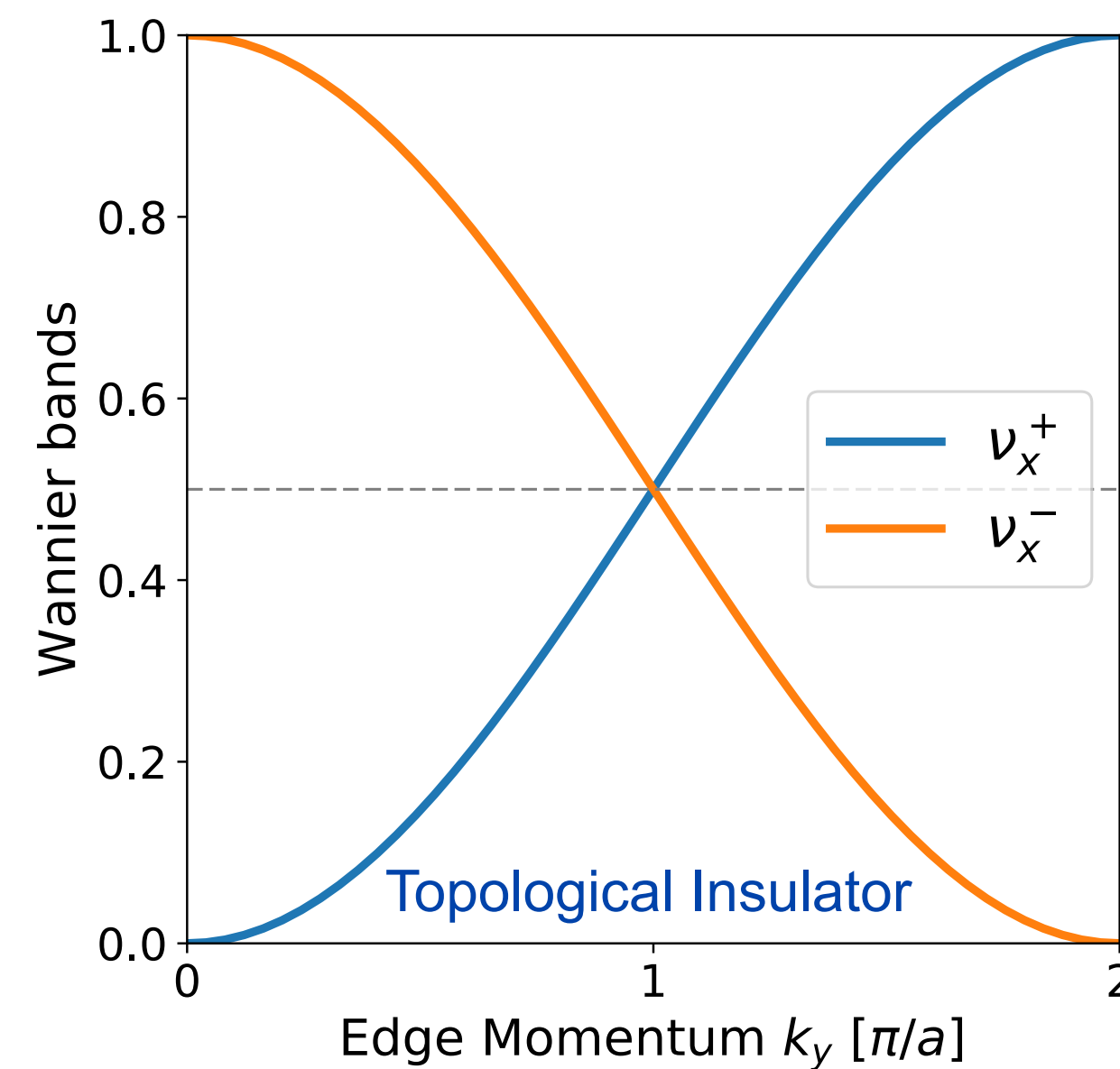
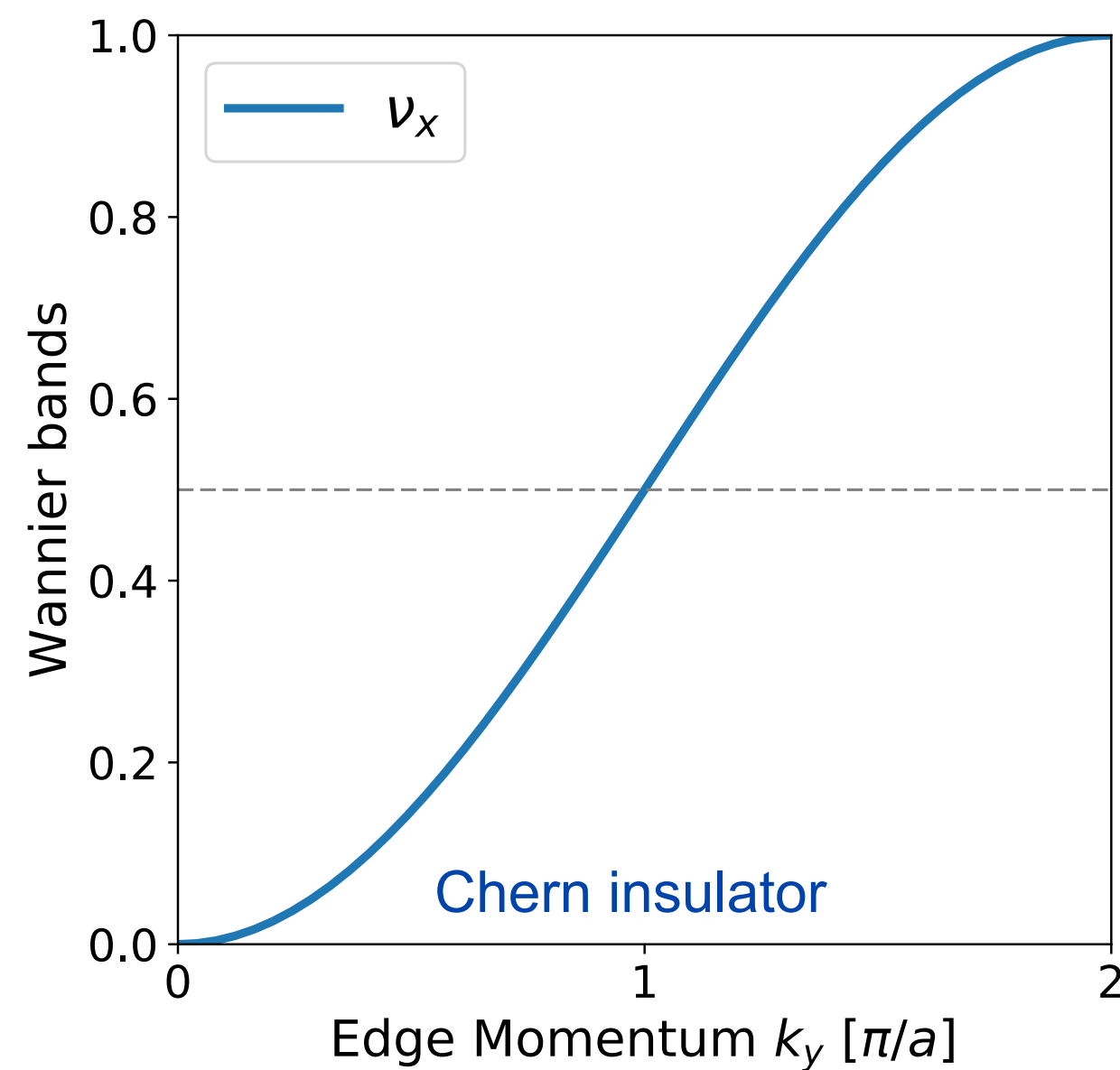
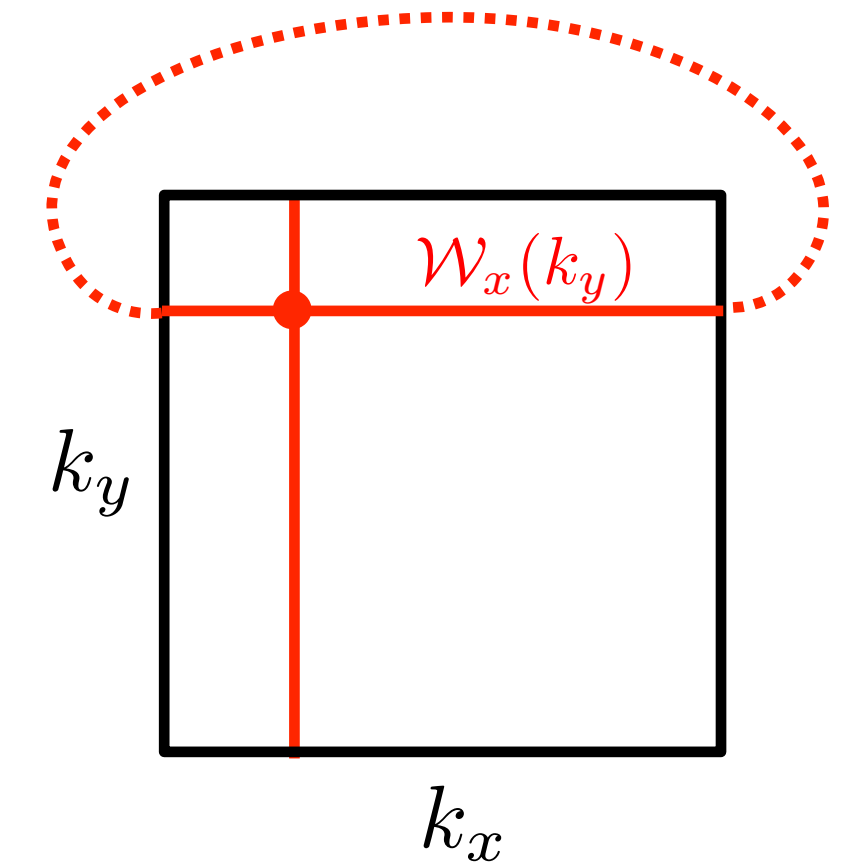
$$\mathcal{A}_\alpha, \mathbf{k} = -i \langle u_{\mathbf{k}}^m | \partial_{k_\alpha} | u_{\mathbf{k}}^n \rangle$$



Wilson loops encode the surface physics

$$\mathcal{W}_x(k_y) = T_C \exp \left(-i \oint_C dk_x \langle u_{\mathbf{k}}^n | \partial_{k_x} | u_{\mathbf{k}}^m \rangle \right)$$

eigenvalues: $\exp[2\pi i \nu_x^\pm(k_y)]$, eigenvectors: $\mathbf{v}^\pm$



Nested Wilson loops capture the quadrupole

$$\mathcal{W}_x(k_y) = \text{Tr} \exp \left(-i \oint_{\mathcal{C}} dk_x \langle u_{\mathbf{k}}^n | \partial_{k_x} | u_{\mathbf{k}}^m \rangle \right)$$

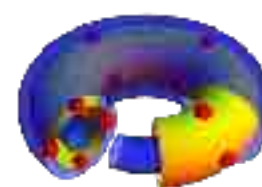
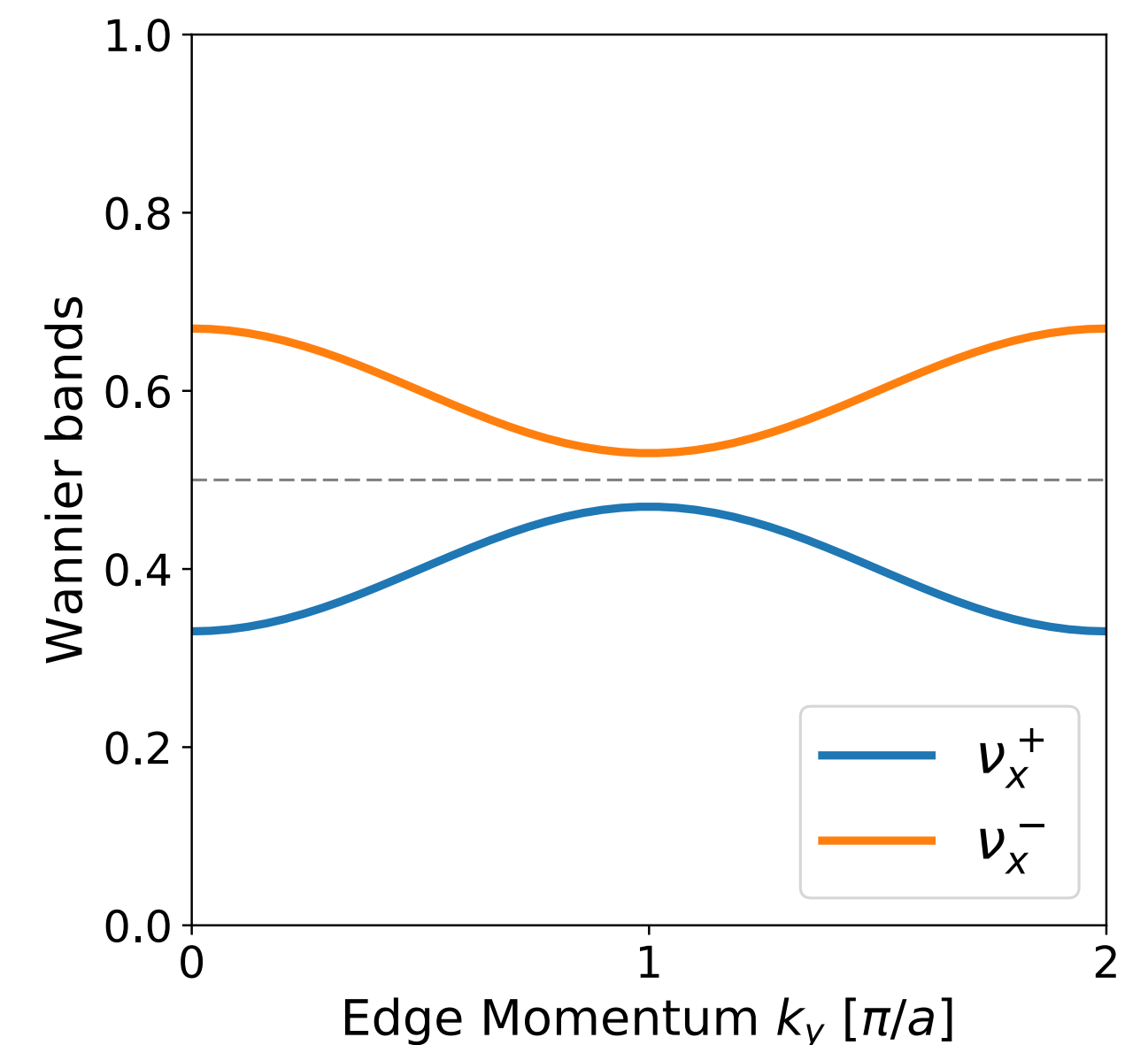
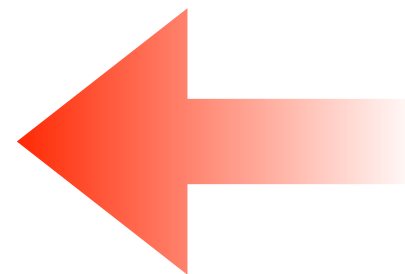
eigenvalues: $\exp[2\pi i \nu_x^\pm(k_y)]$, eigenvectors: $\mathbf{v}^\pm$

$$|\tilde{w}_{x,\mathbf{k}}^\pm\rangle = \sum_m v_m^\pm |u_{\mathbf{k}}^m\rangle$$

$$\tilde{p}_\alpha^\pm = -\frac{1}{4\pi^2} \int_K d\mathbf{k} \text{Tr}[\tilde{\mathcal{A}}_{\alpha,\mathbf{k}}^\pm]$$

$$\tilde{\mathcal{A}}_{\alpha,\mathbf{k}}^\pm = -i \langle \tilde{w}_{\mathbf{k}}^\pm | \partial_{k_\alpha} | \tilde{w}_{\mathbf{k}}^\pm \rangle$$

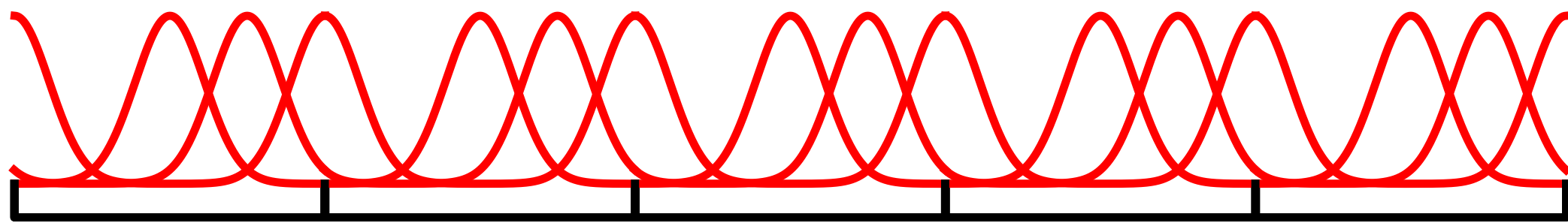
split the filled bands



Quantizing the quadrupole moment: symmetries

$$p_\alpha = -\frac{1}{4\pi^2} \int_K d\mathbf{k} \operatorname{Tr}[\mathcal{A}_{\alpha,\mathbf{k}}]$$

$$\mathcal{A}_{\alpha,\mathbf{k}} = -i \langle u_{\mathbf{k}}^m | \partial_{k_\alpha} | u_{\mathbf{k}}^n \rangle$$



With, e.g., inversion symmetry:

$$p_\alpha = \begin{cases} 0 & \text{trivial} \\ 1/2 & \text{non-trivial} \end{cases}$$

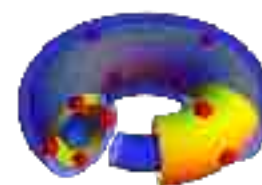
Symmetries

$$[M_x, H] = [M_y, H] = 0$$

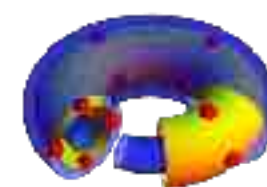
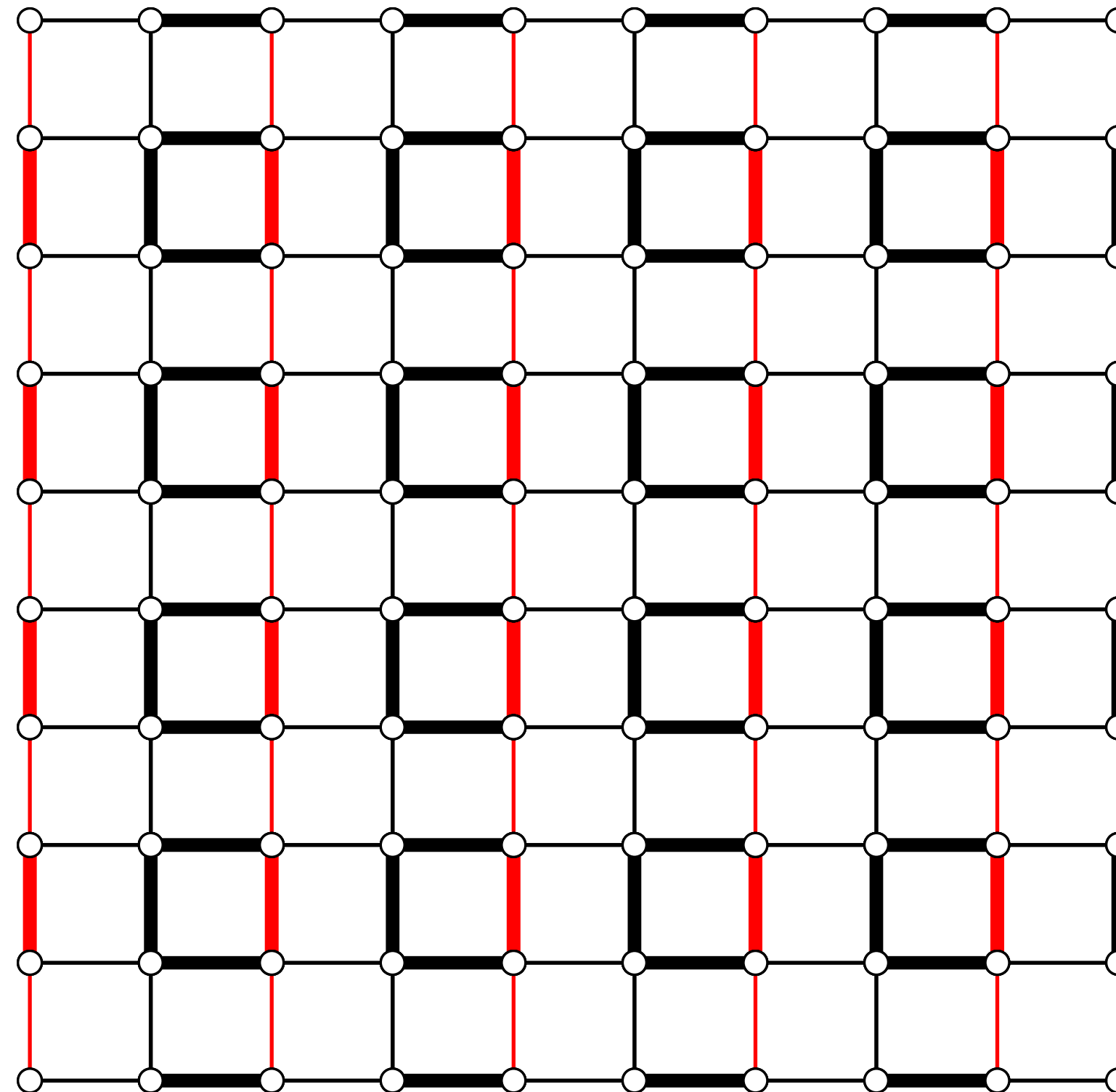
$$[M_x, M_y] \neq 0$$



$$(\tilde{p}_y^\pm, \tilde{p}_x^\pm) = (1/2, 1/2)$$

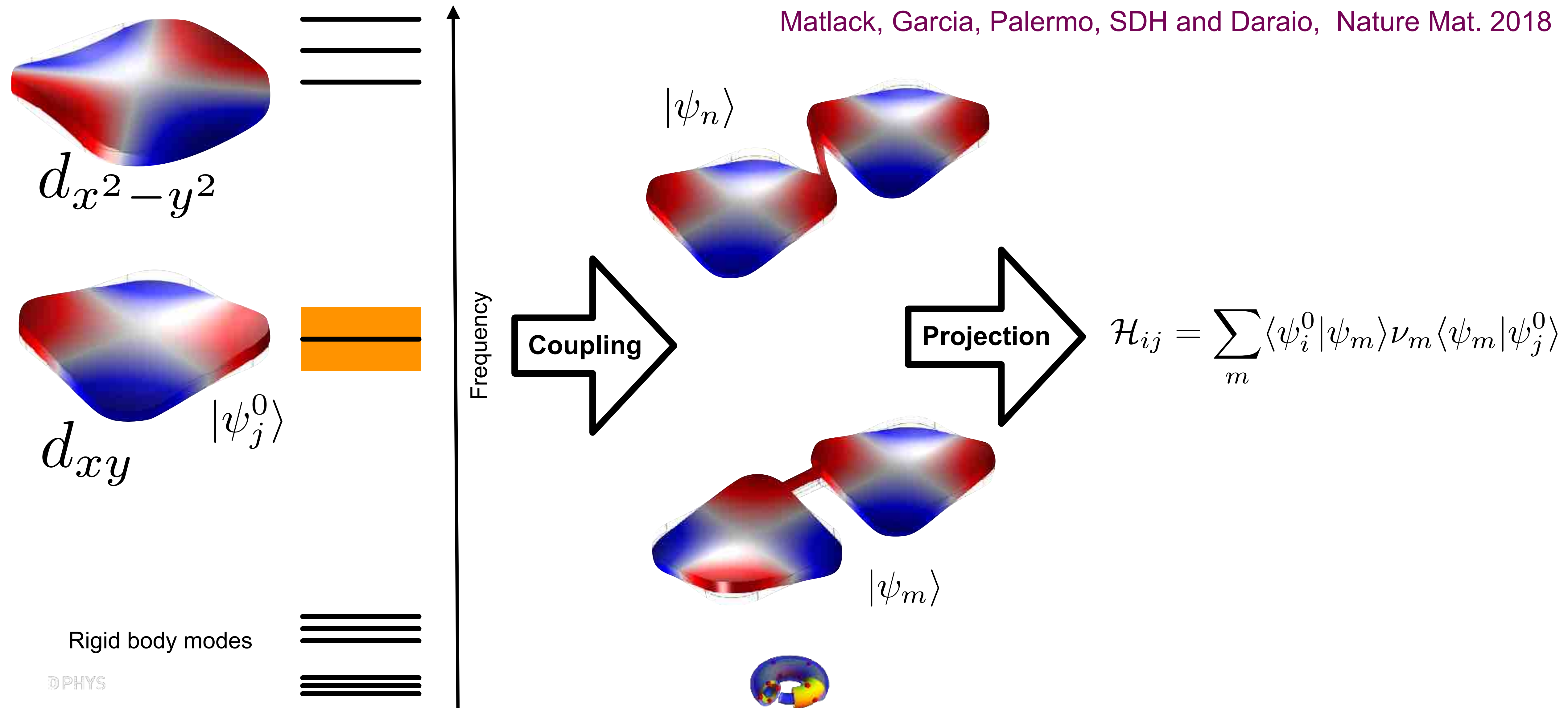


A model

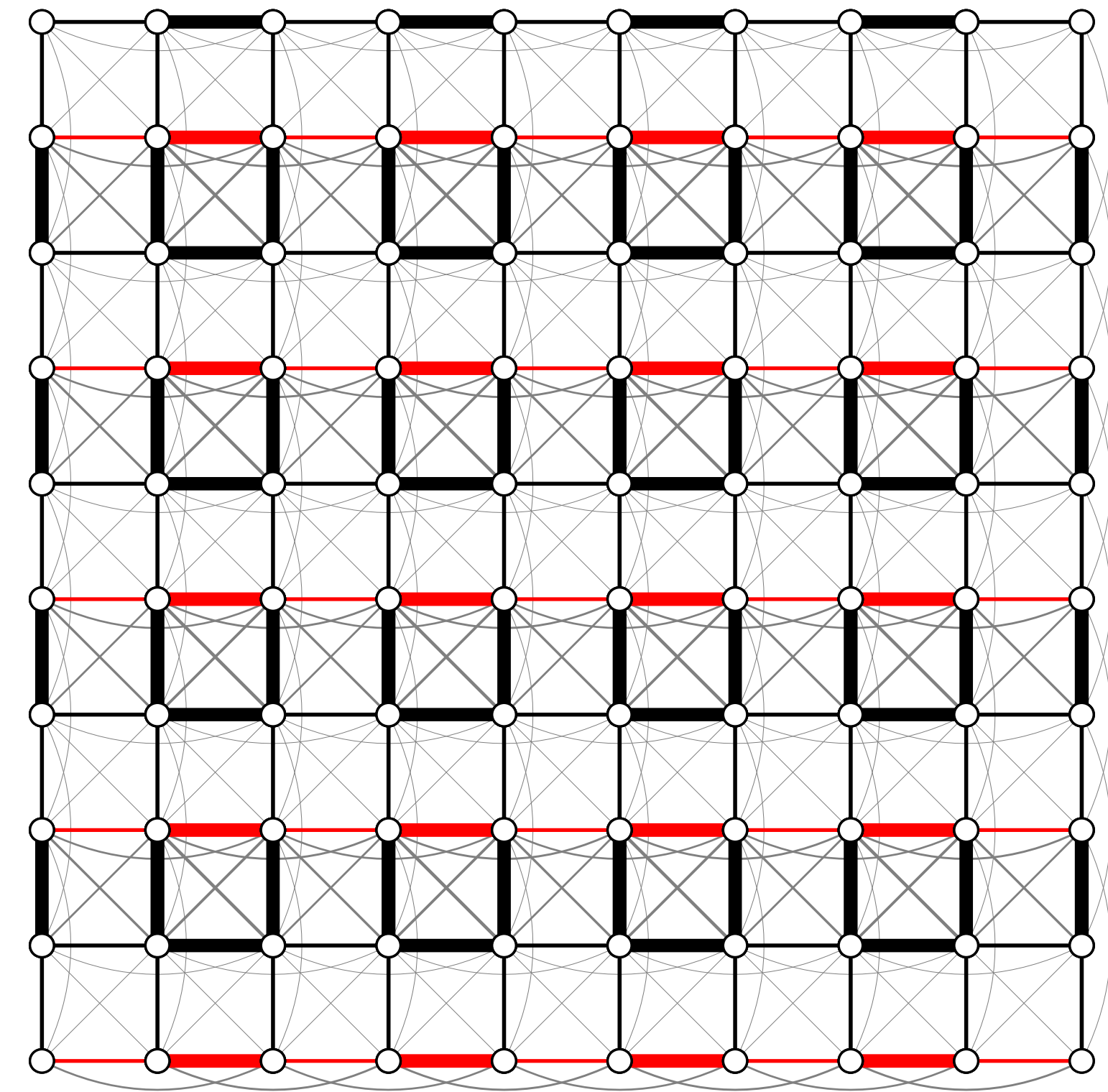
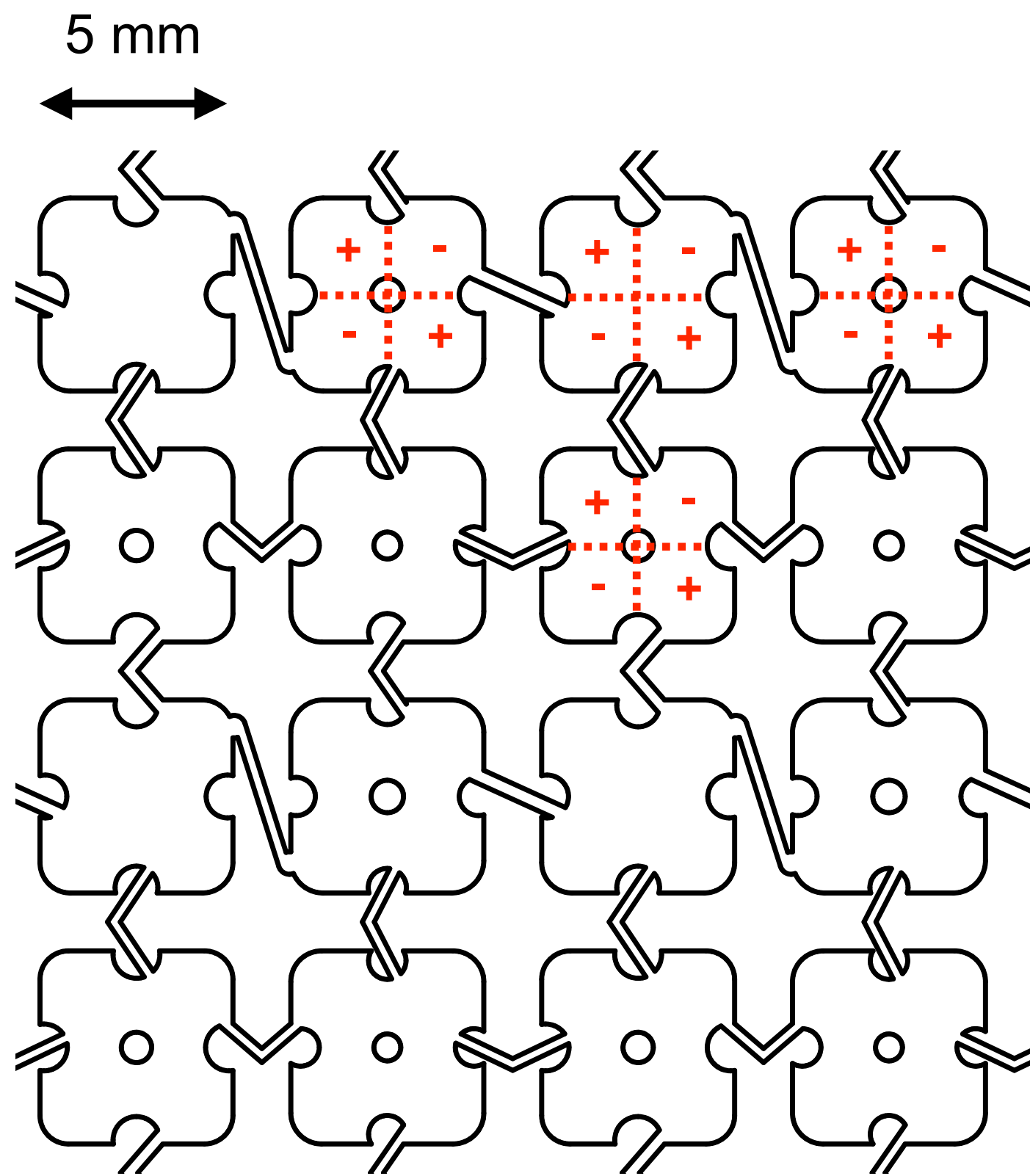


Design principle: Perturbative metamaterials

Matlack, Garcia, Palermo, SDH and Daraio, Nature Mat. 2018



Design and tight-binding approximation



Silicon wafer, [100], 350 μ m thickness, TTV < 1 μ m

Matlack, Serra-Garcia, Palermo, SDH and Daraio, Nature Mat. 2018

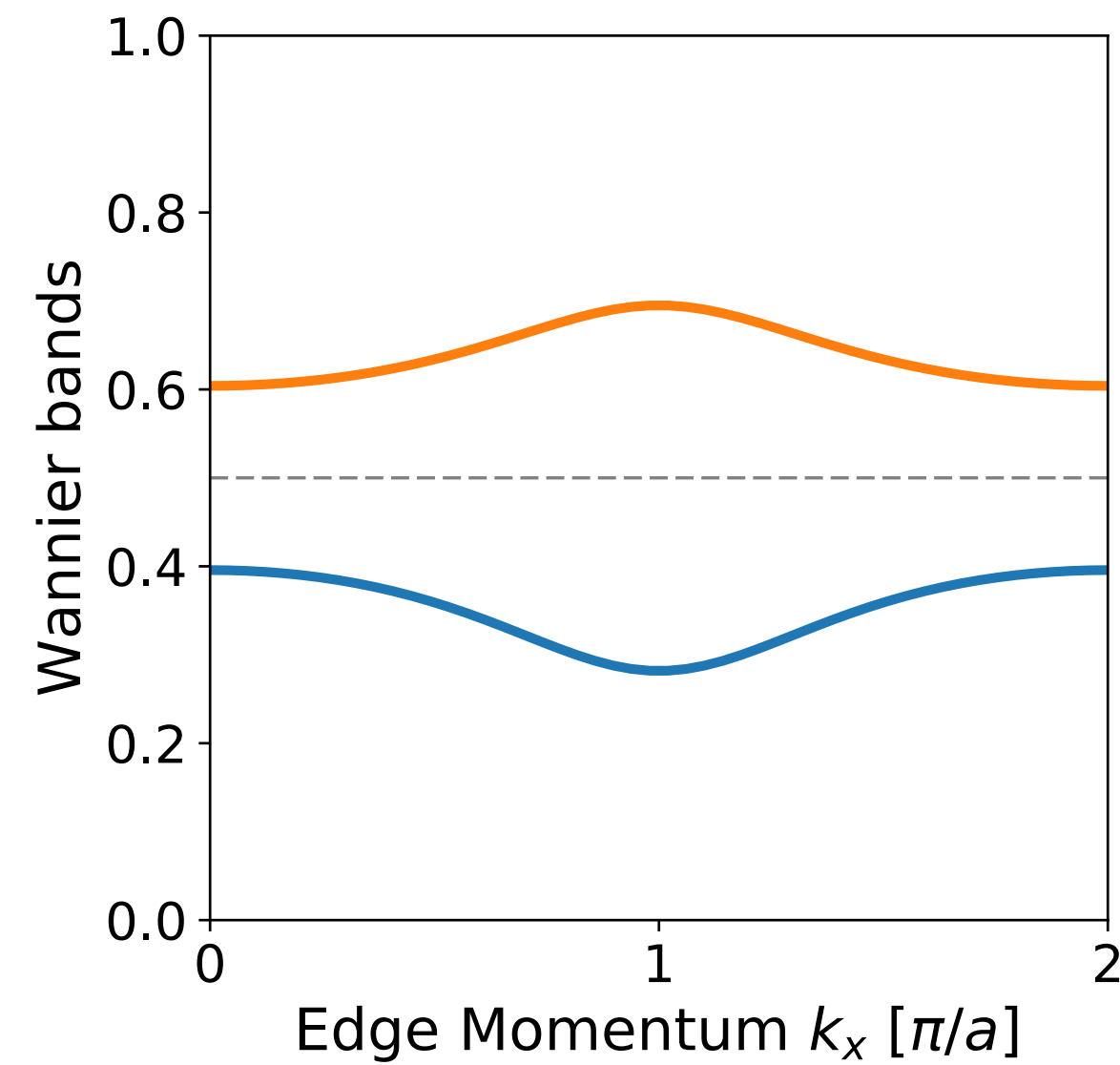
Serra-Garcia et al. Nature (2018)



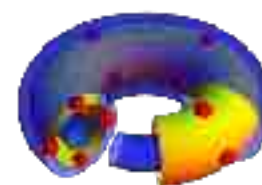
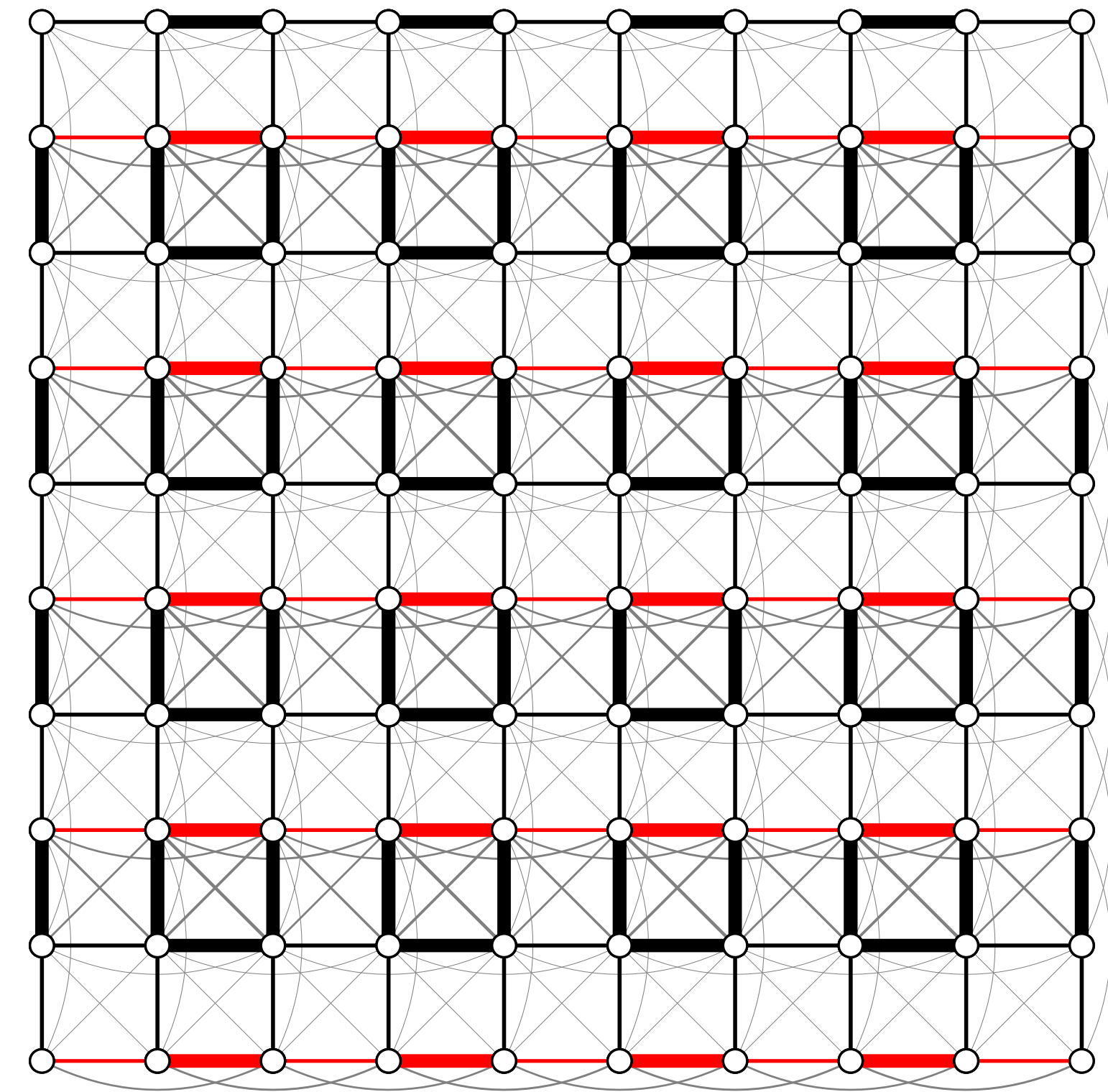
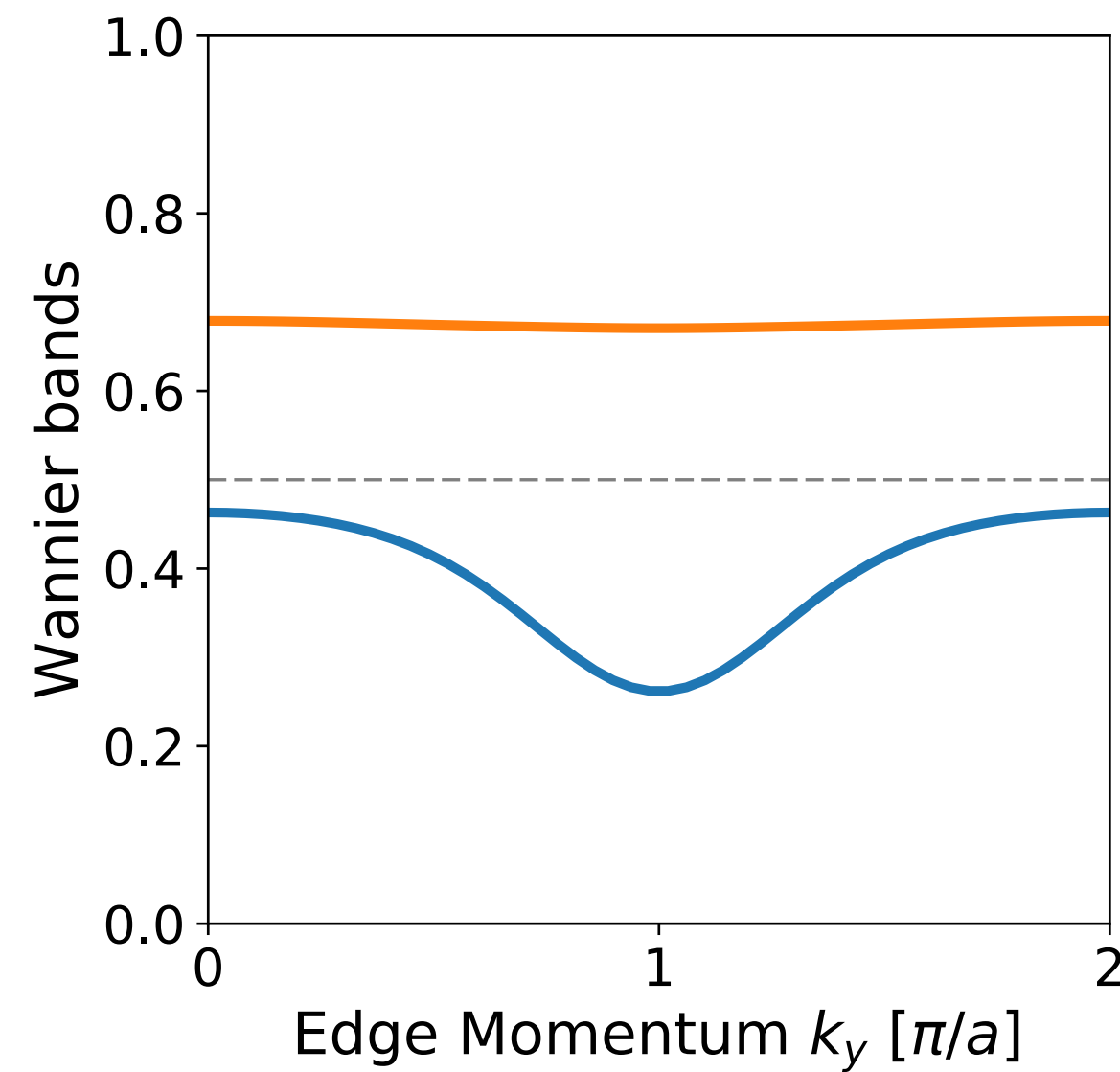
Assessment of design quality

$$(p_x, p_y) = (0.06, 0.01)$$

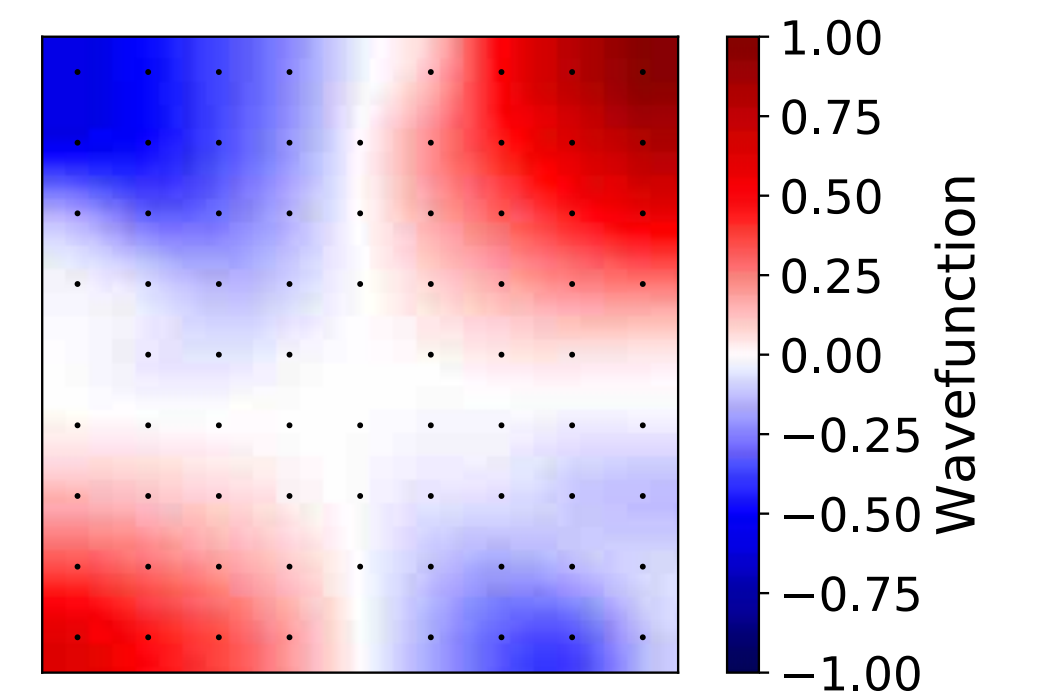
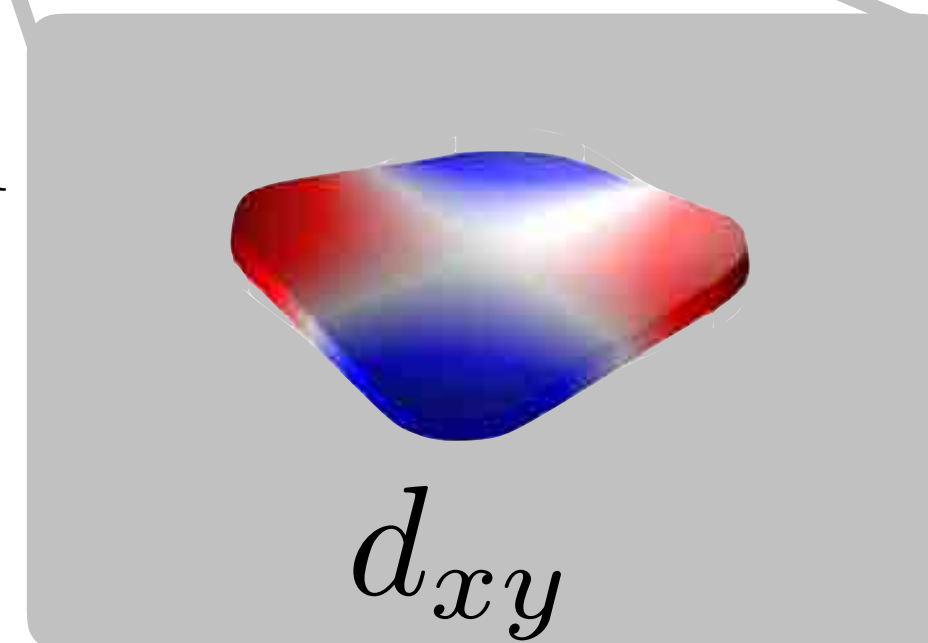
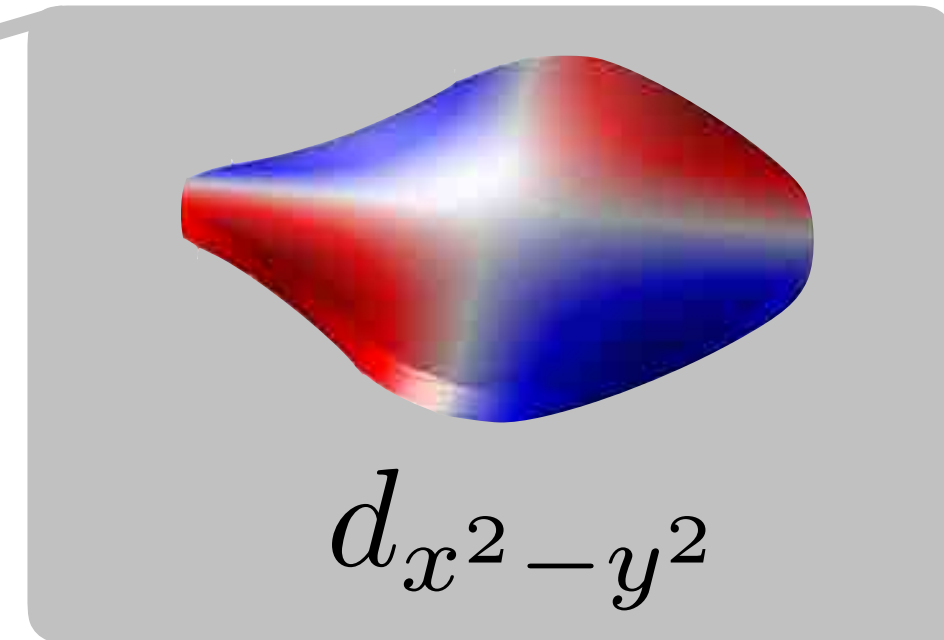
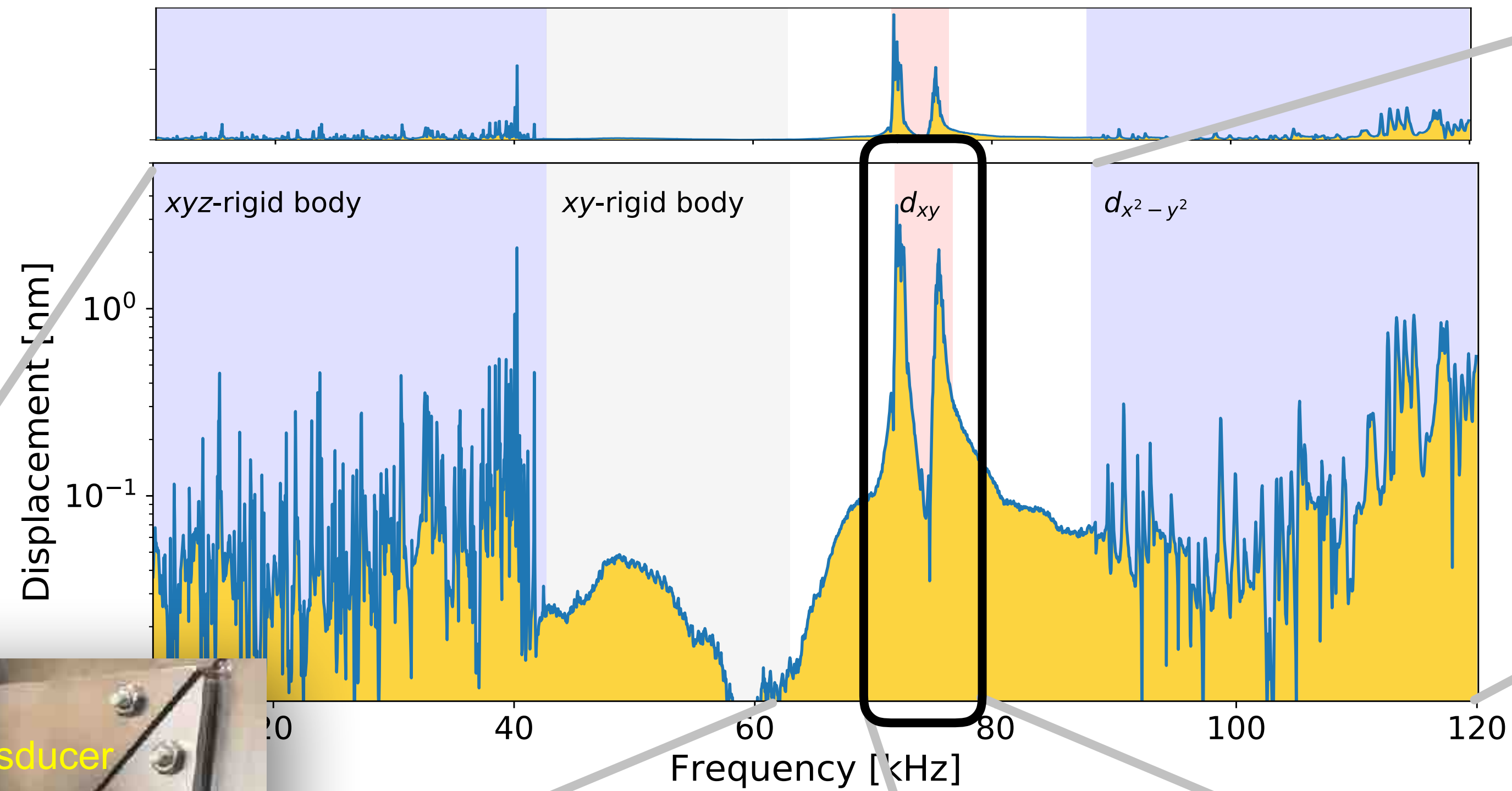
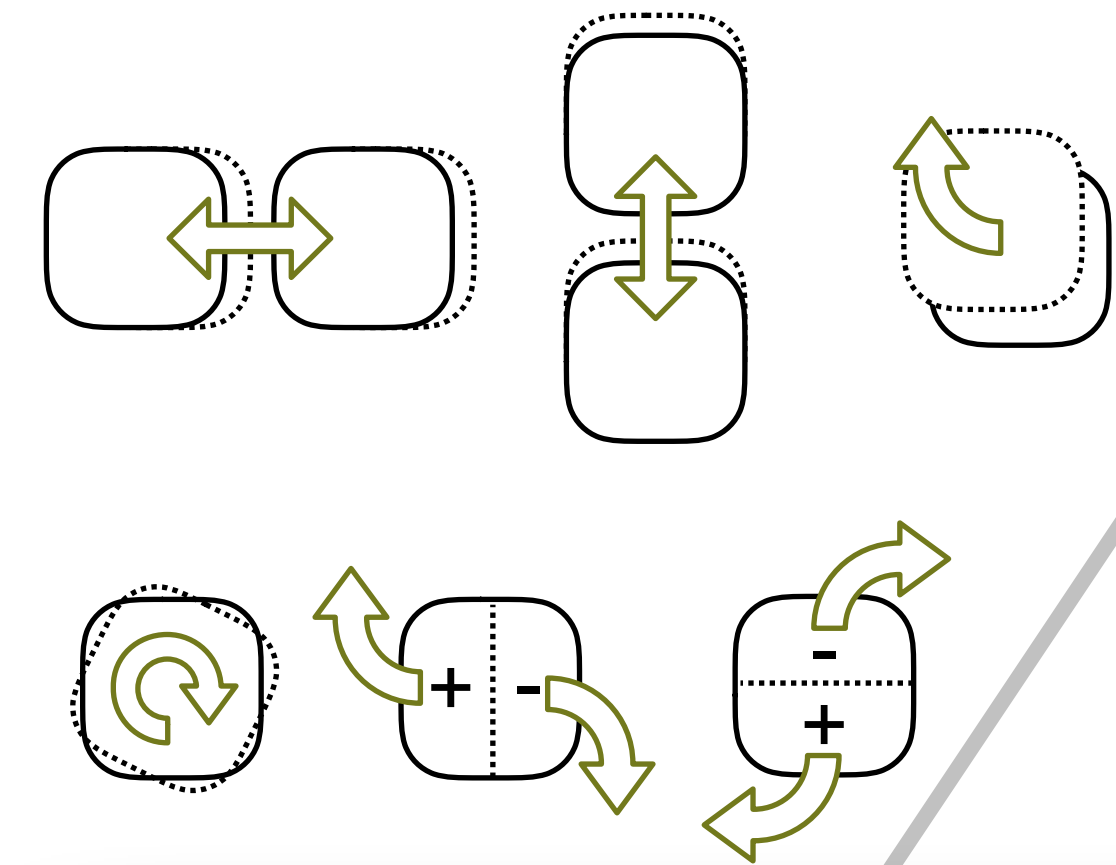
$$(\tilde{p}_y^+, \tilde{p}_y^-) = (0.58, 0.57)$$



$$(\tilde{p}_x^+, \tilde{p}_x^-) = (0.50, 0.50)$$

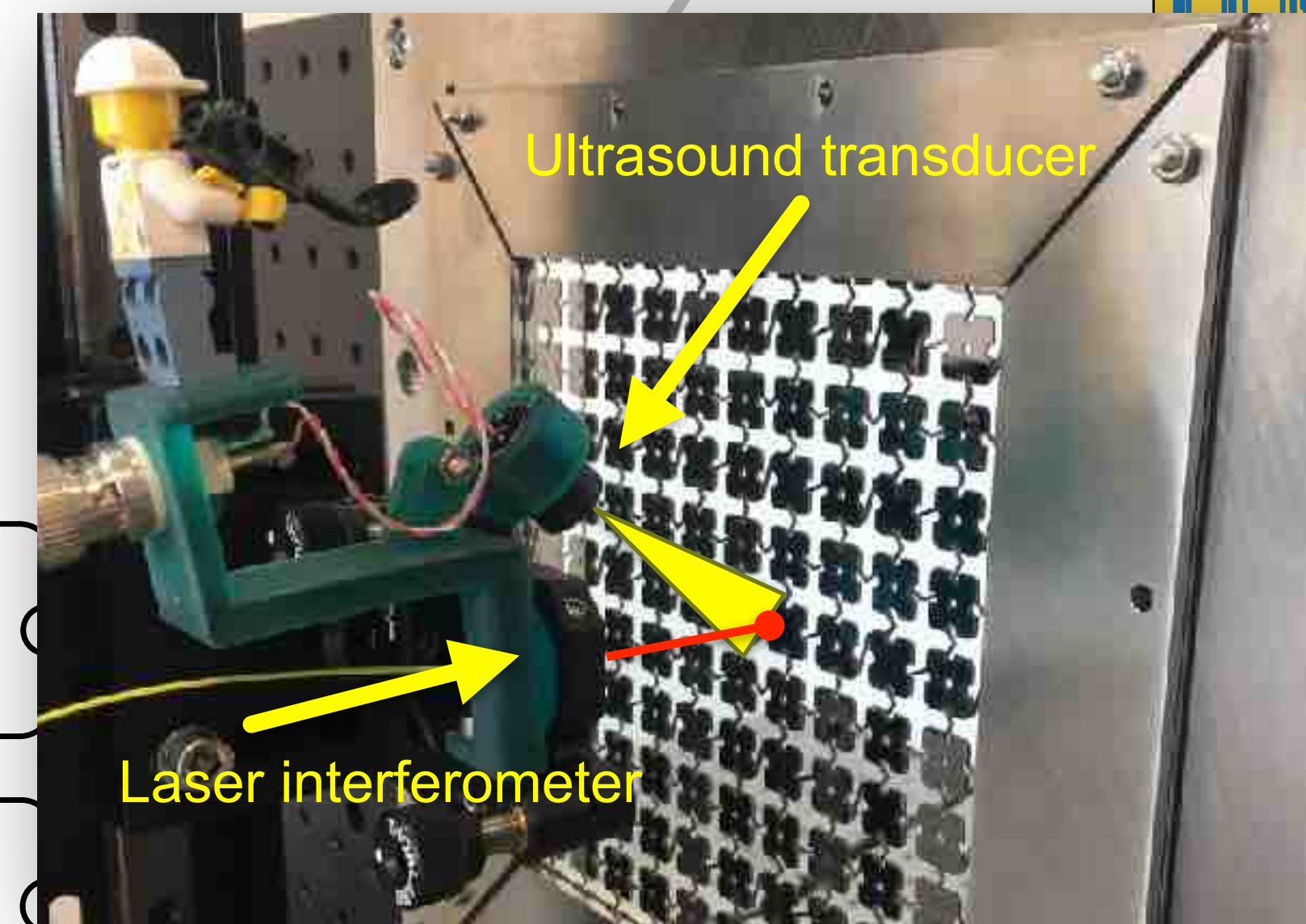
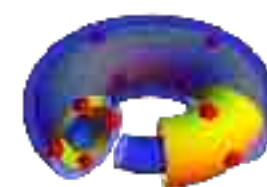


Embedding

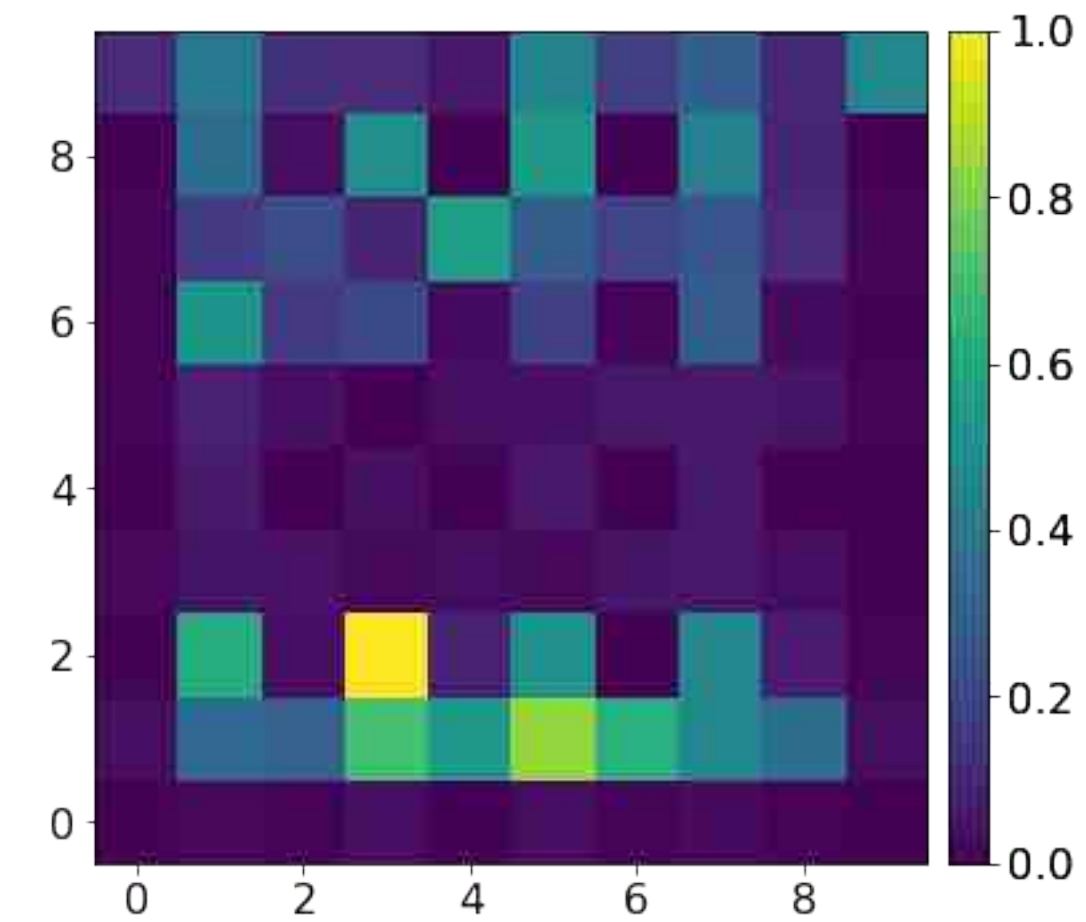


$$\Delta z(\omega) \propto \psi_i^2(\omega) \sim 20 \text{ pm}$$

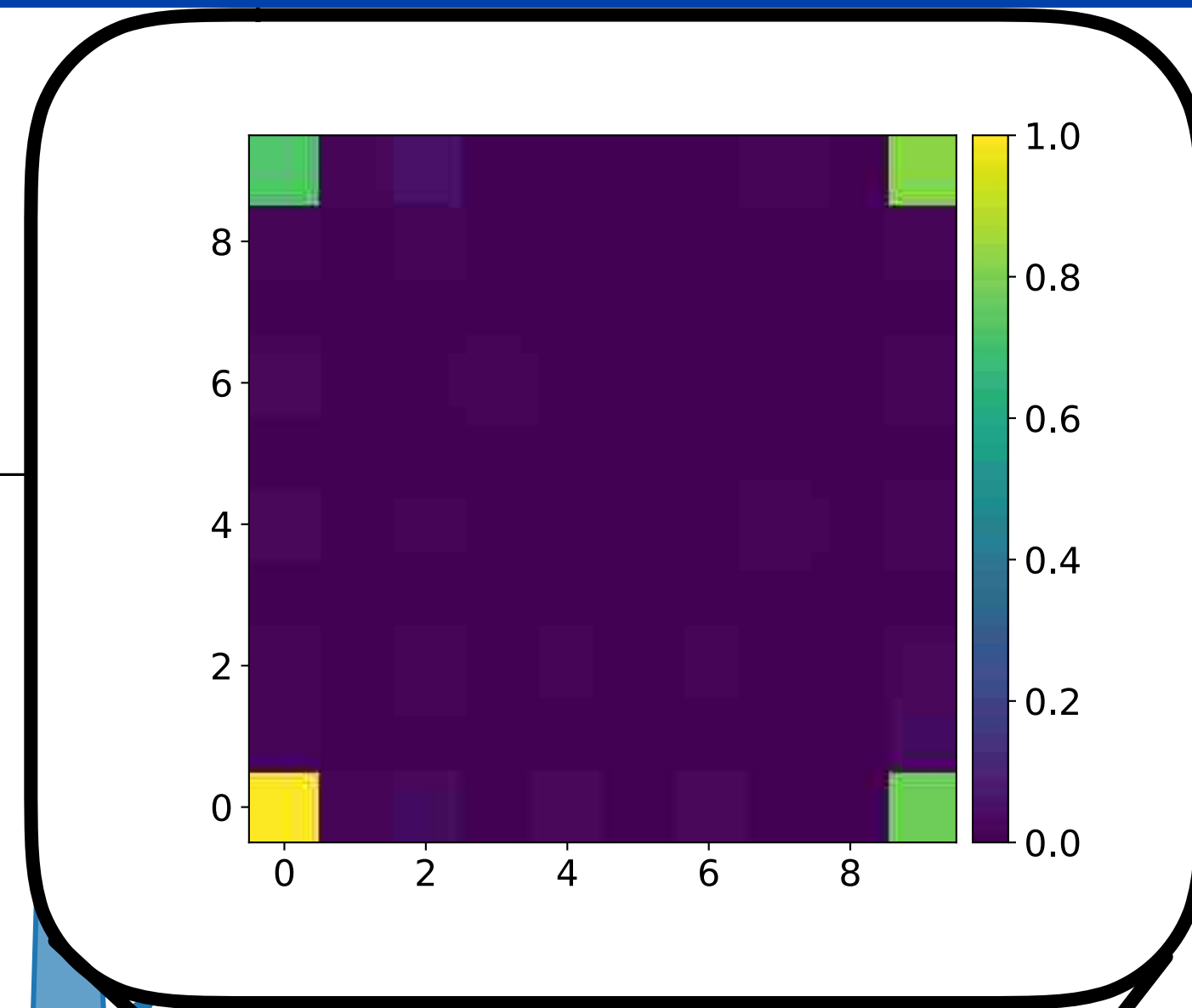
$$\text{Energy} \propto \Delta z^2(\omega)$$



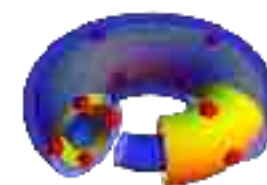
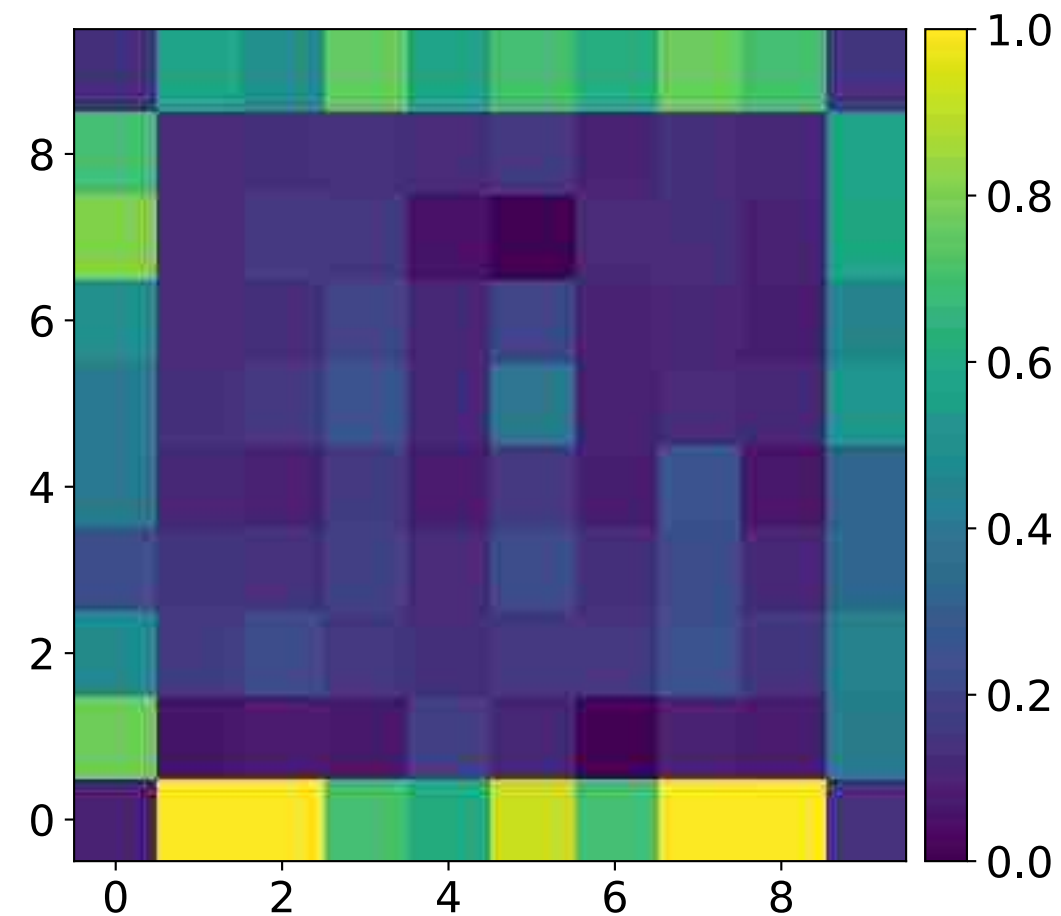
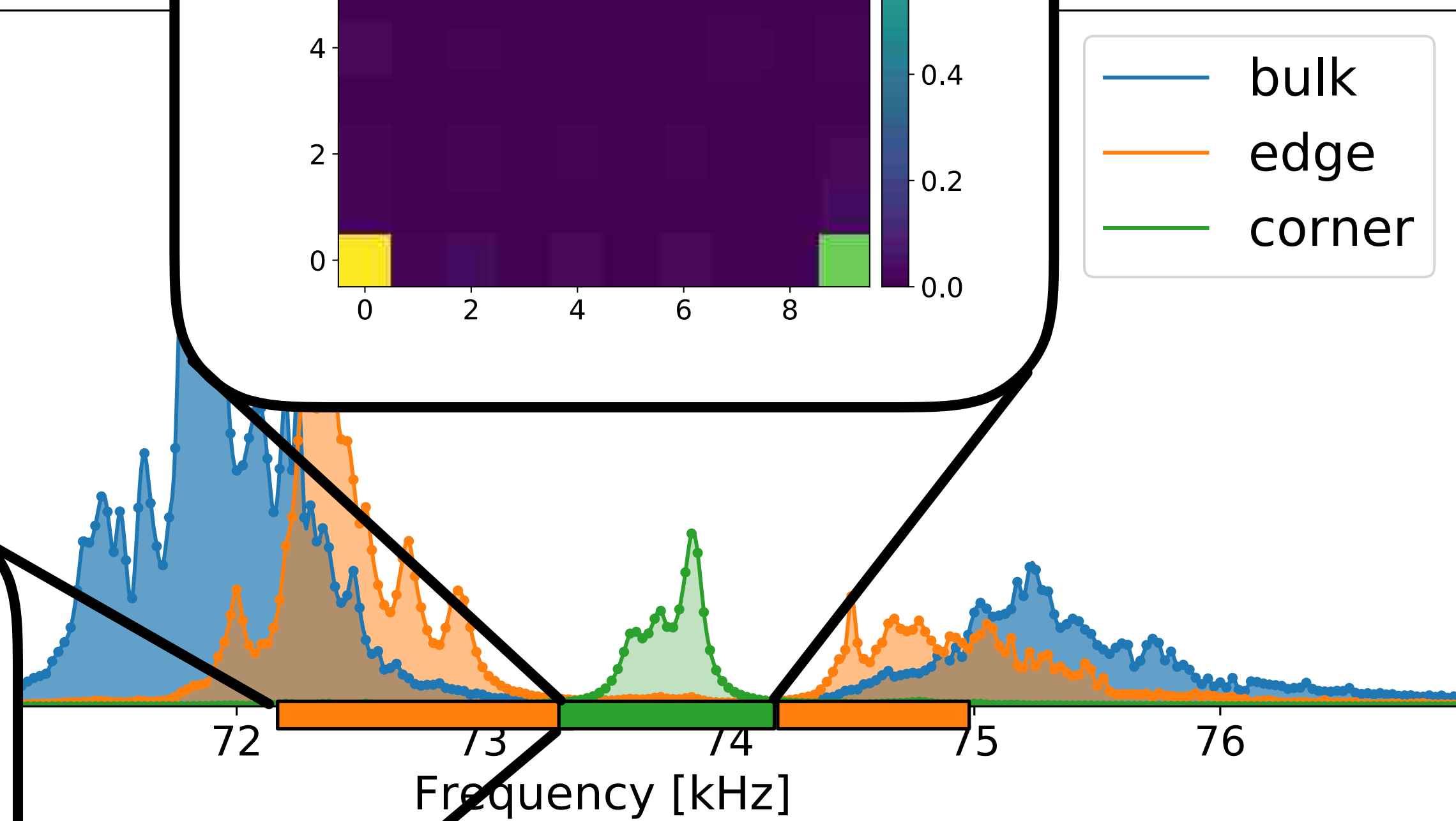
“Quantized” quadrupole phase



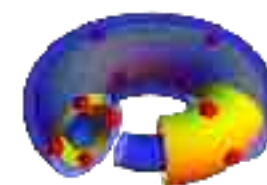
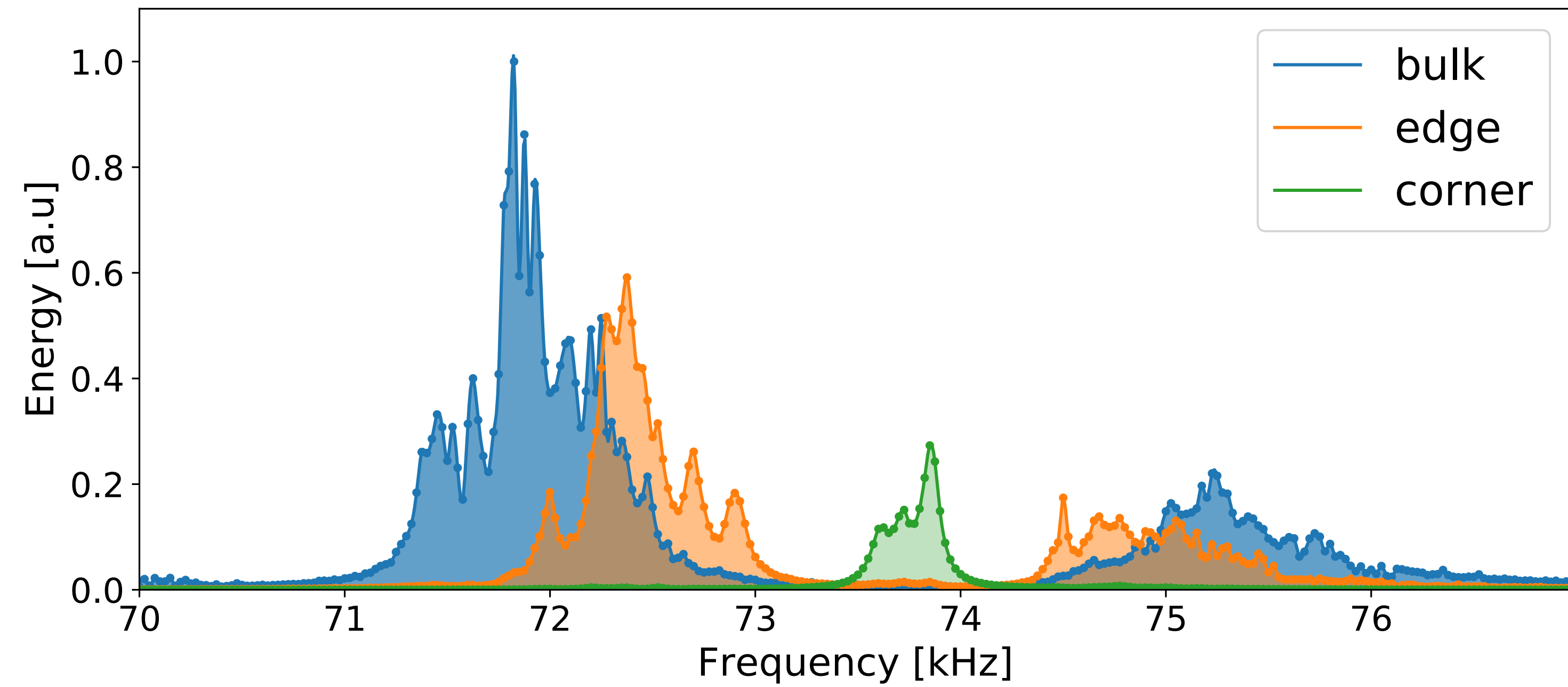
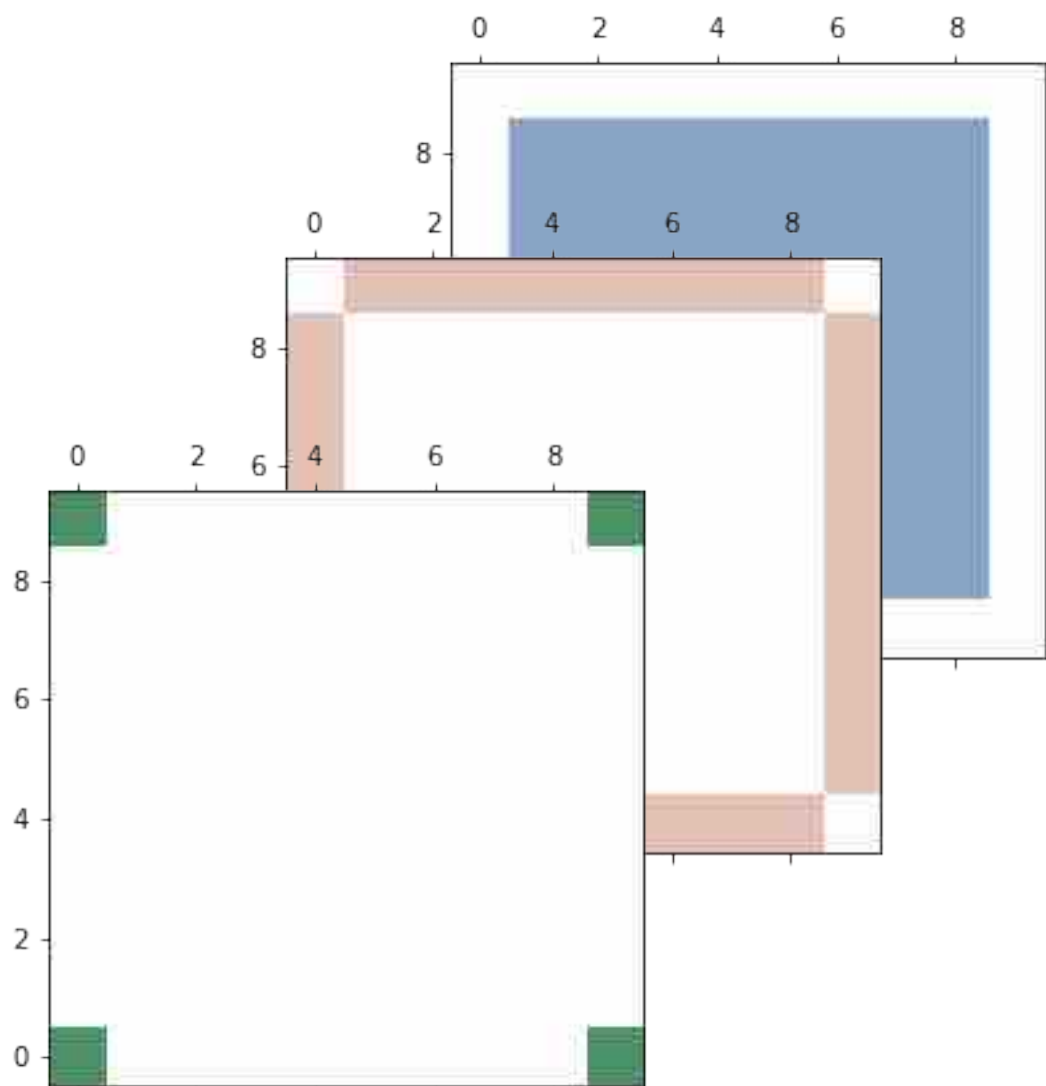
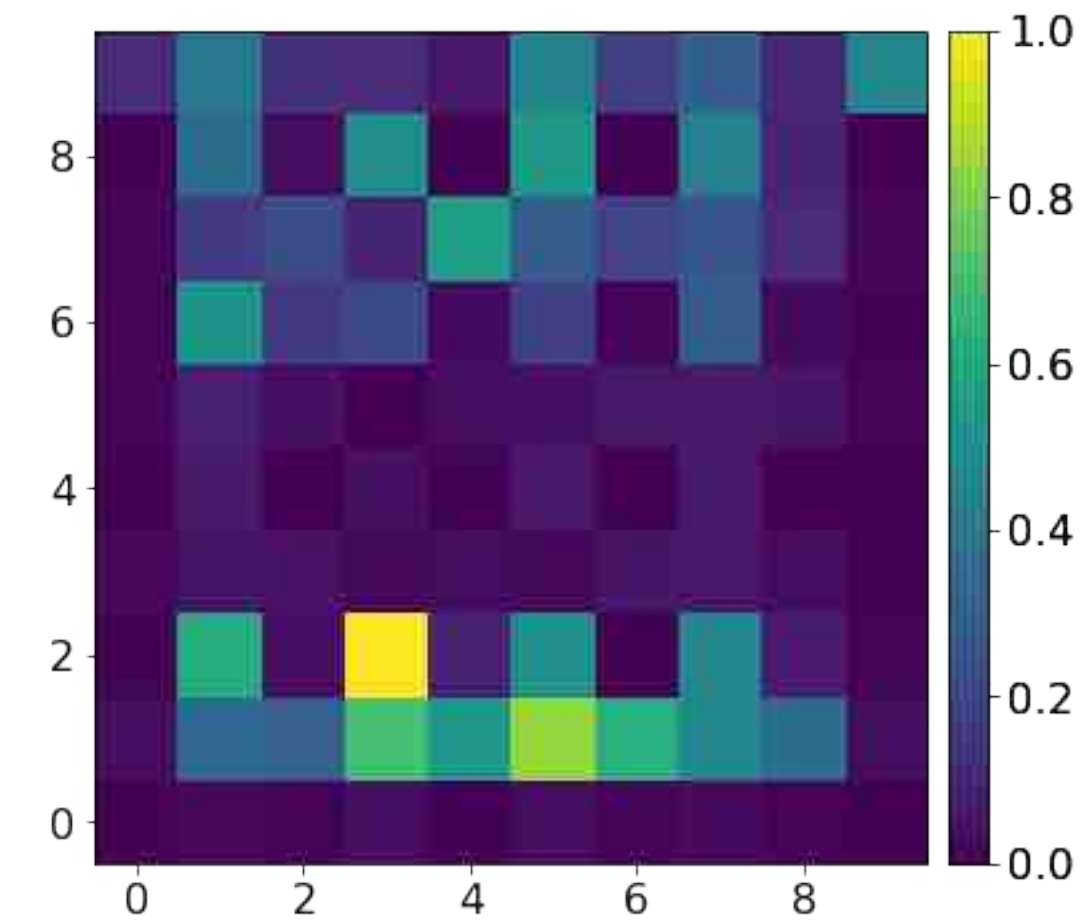
Energy [a.u.]



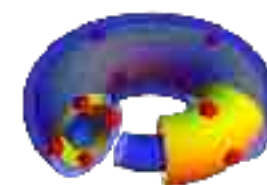
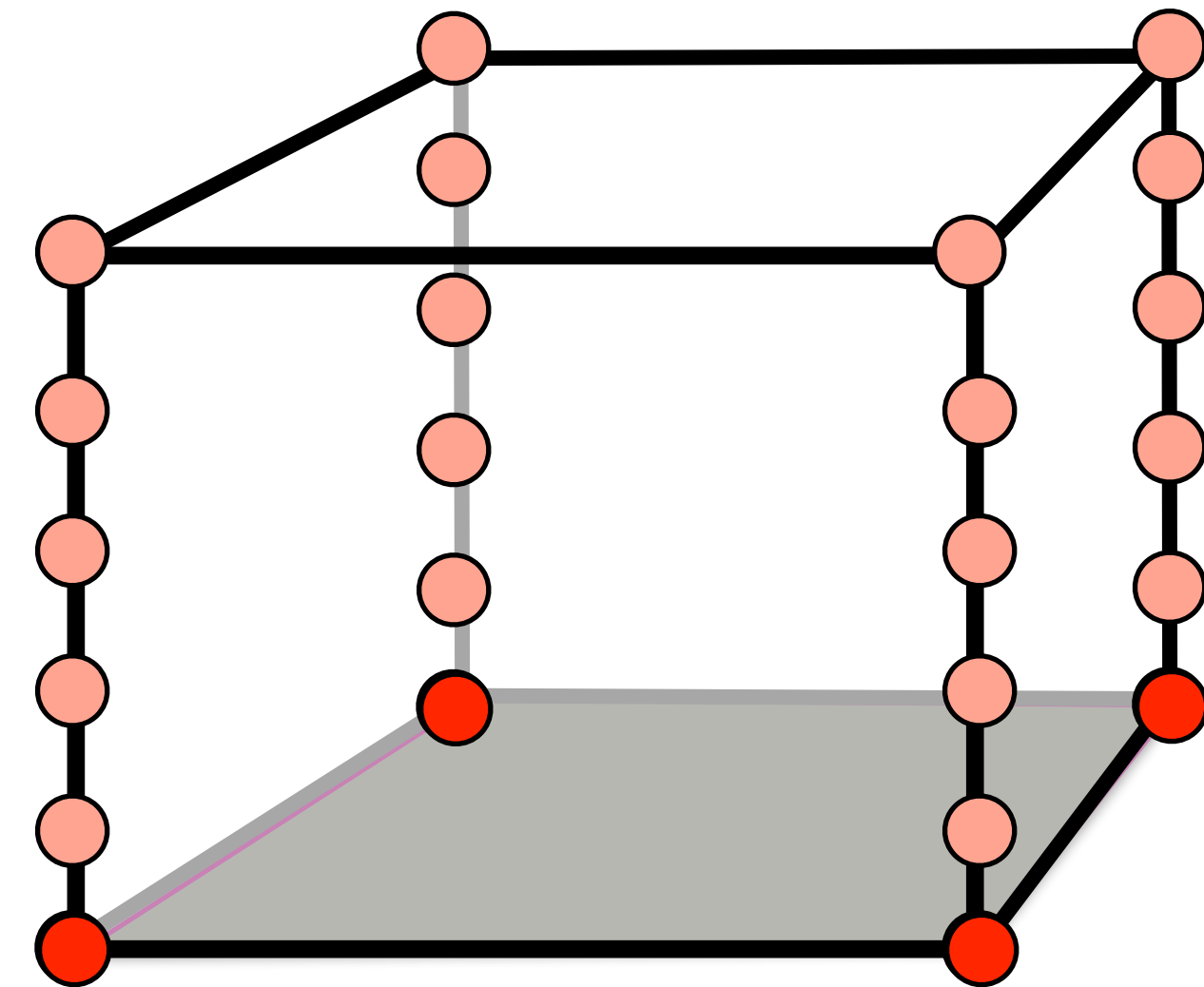
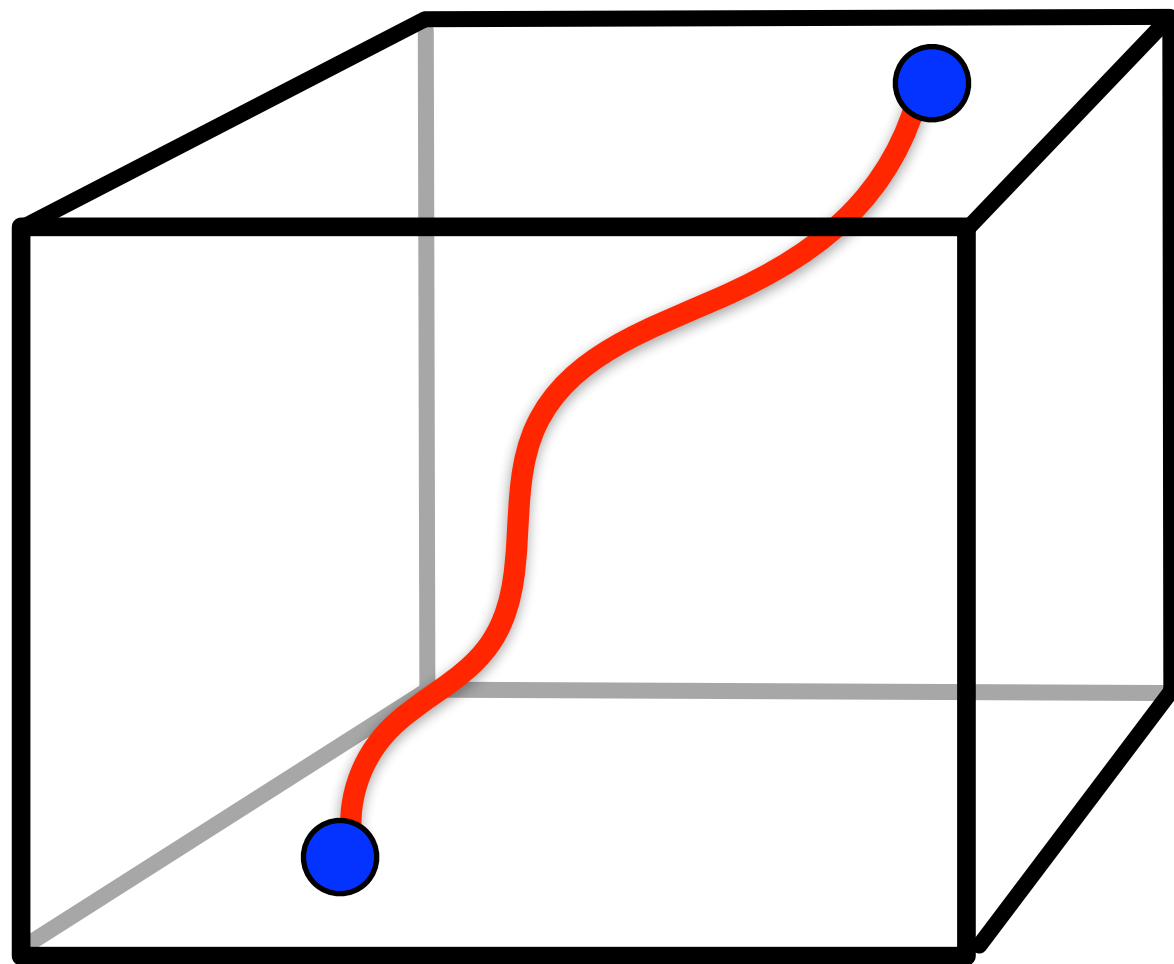
— bulk
— edge
— corner



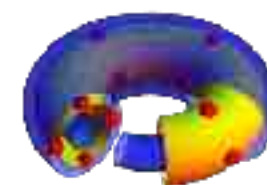
Trivial phase



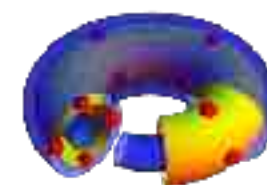
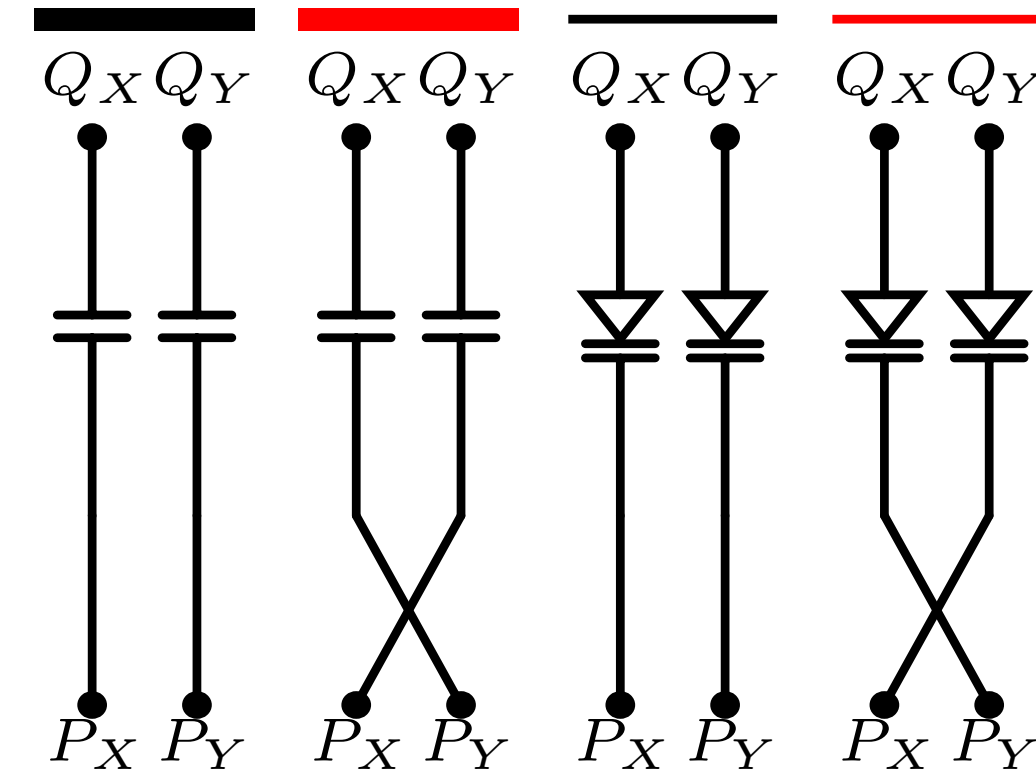
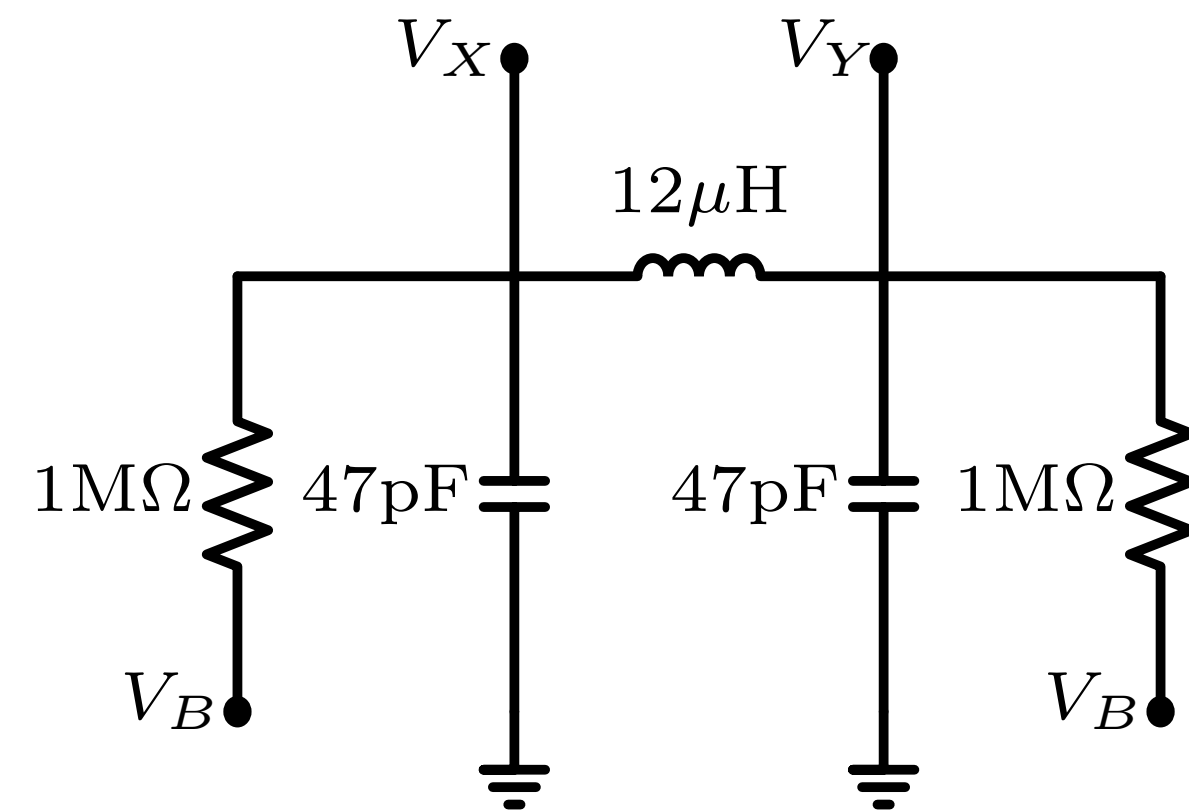
Potential for wave guiding



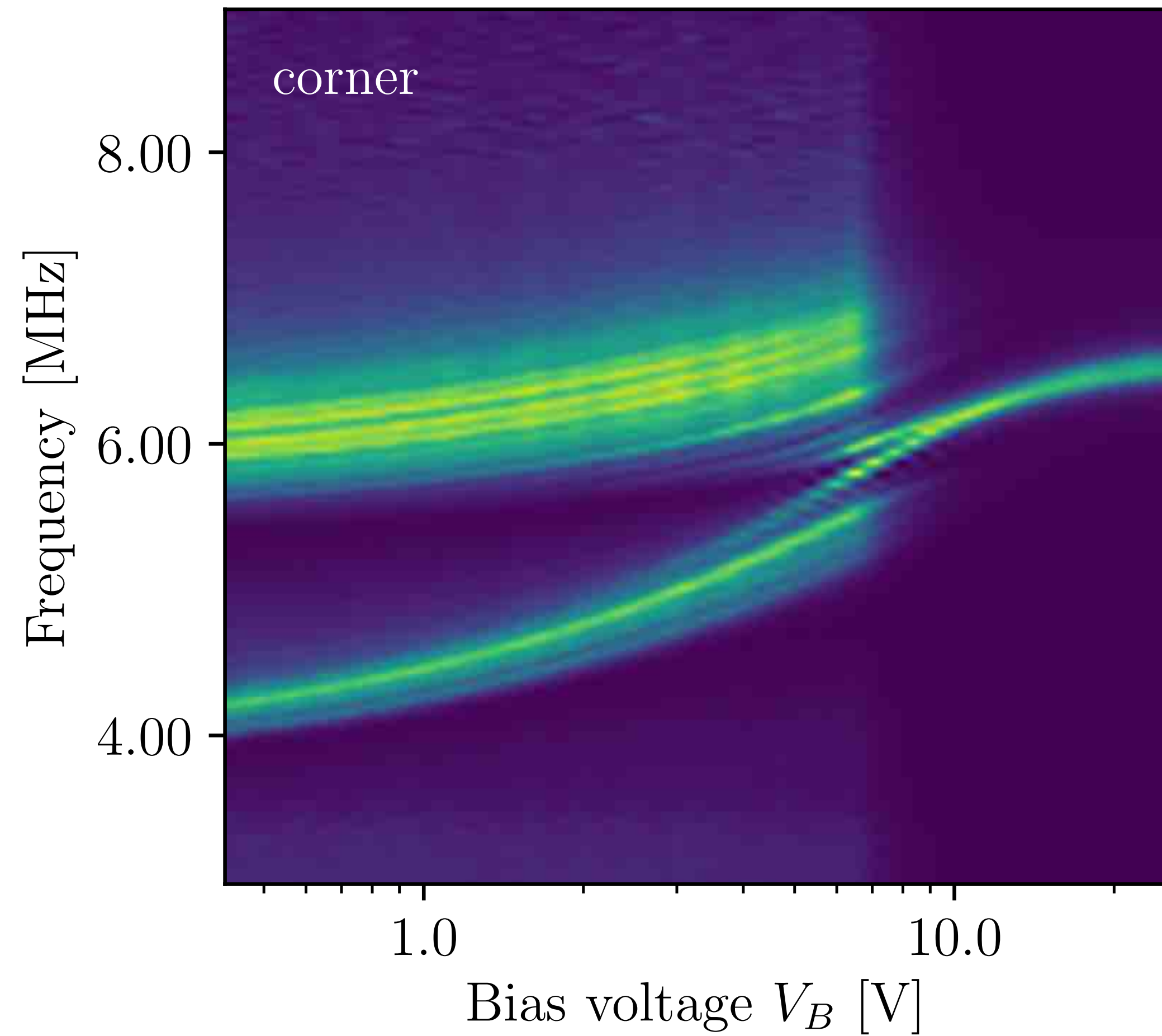
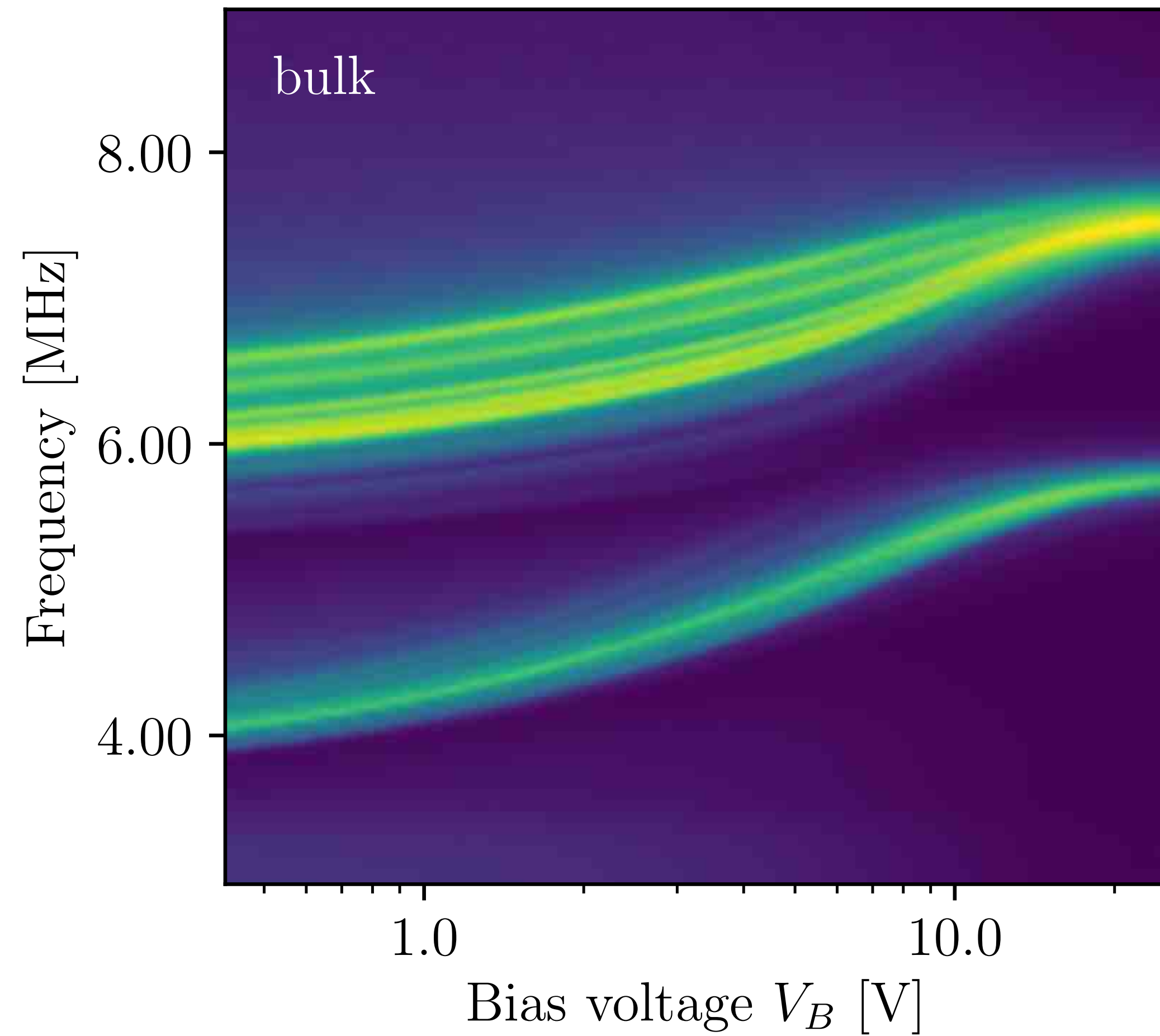
An LC-version



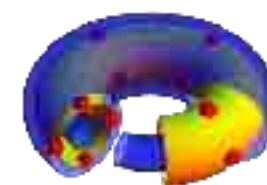
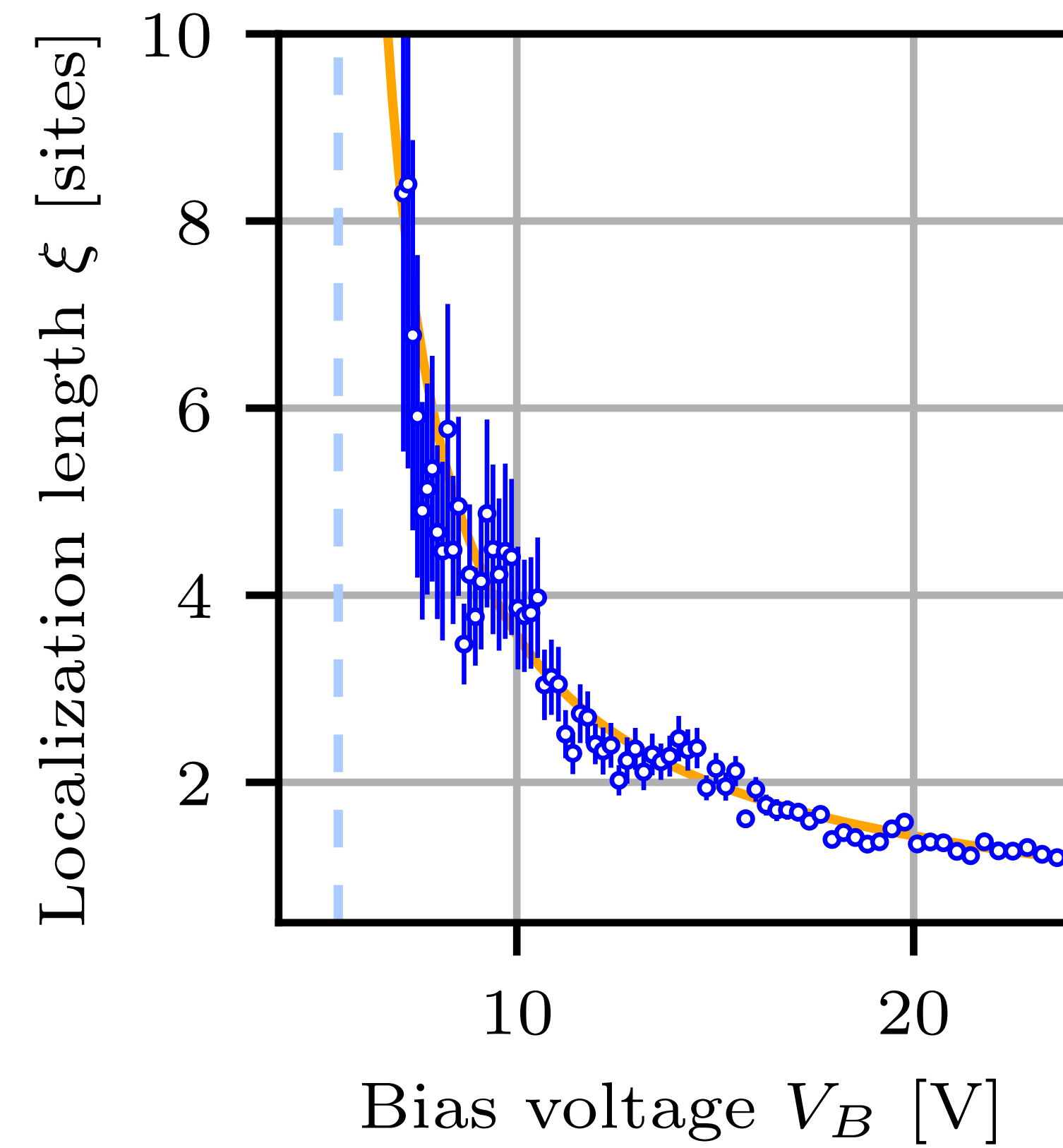
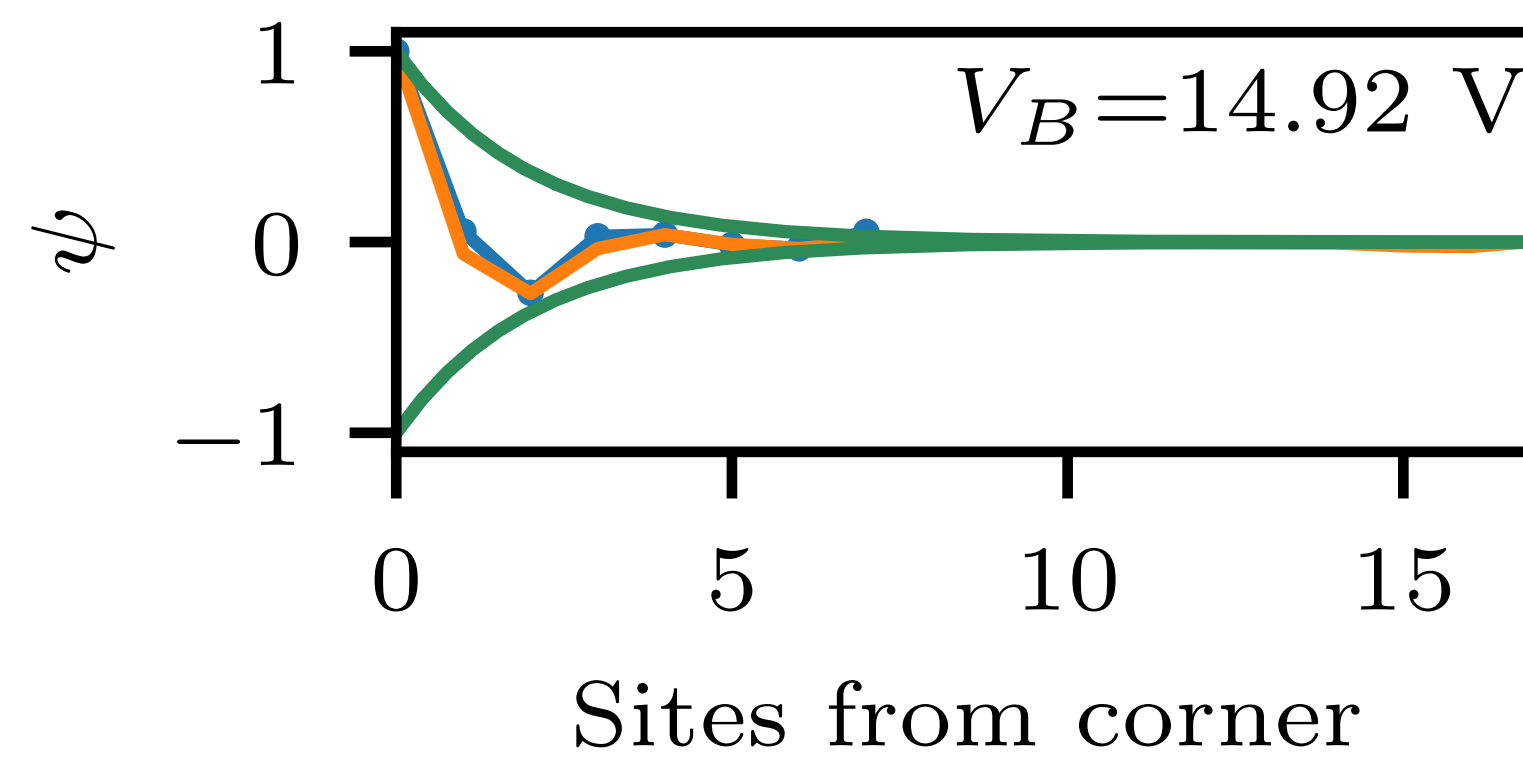
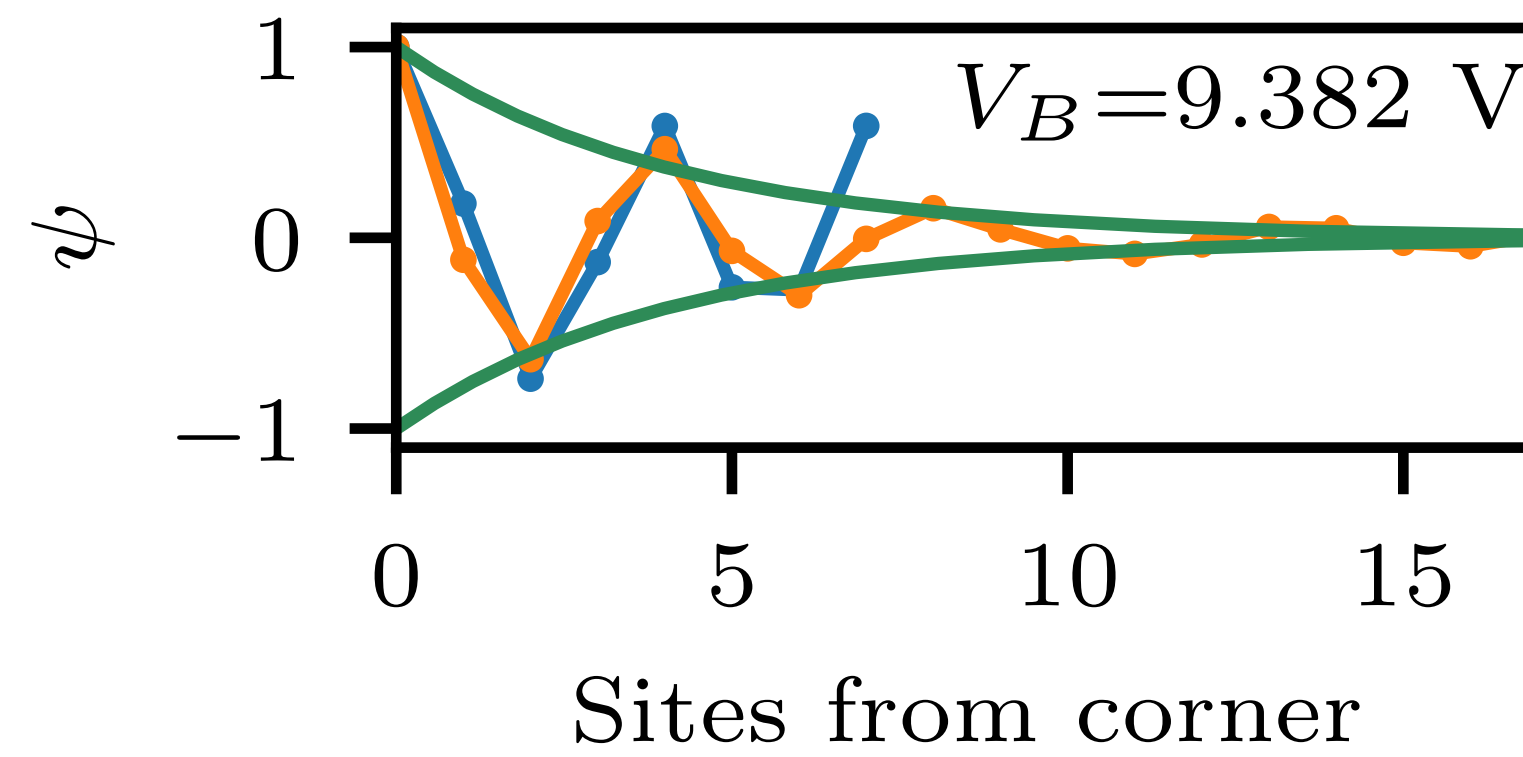
LC Quadrupole



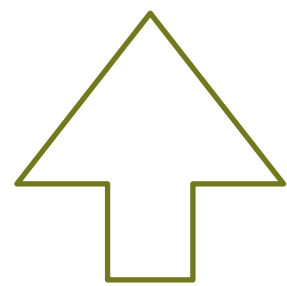
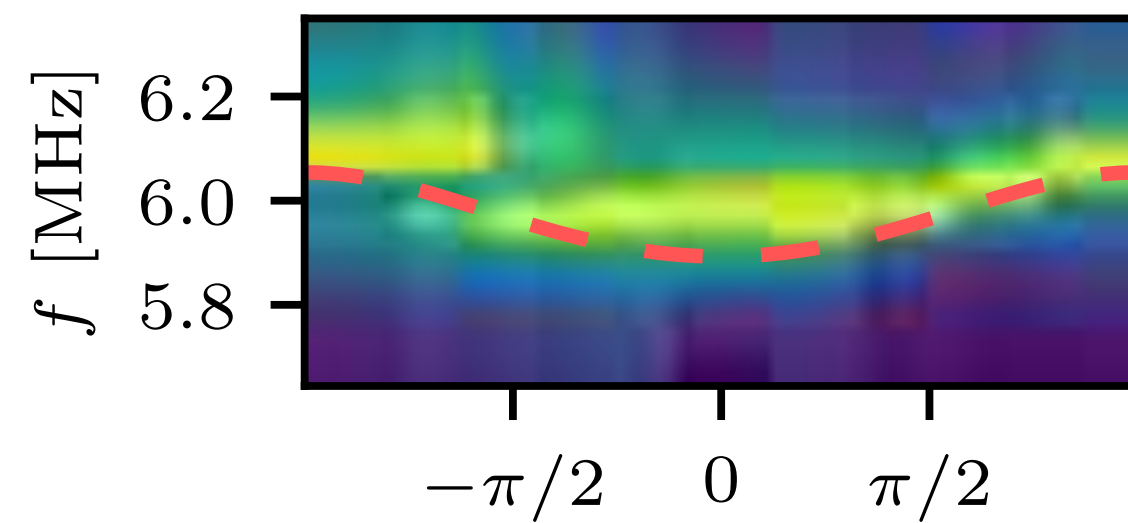
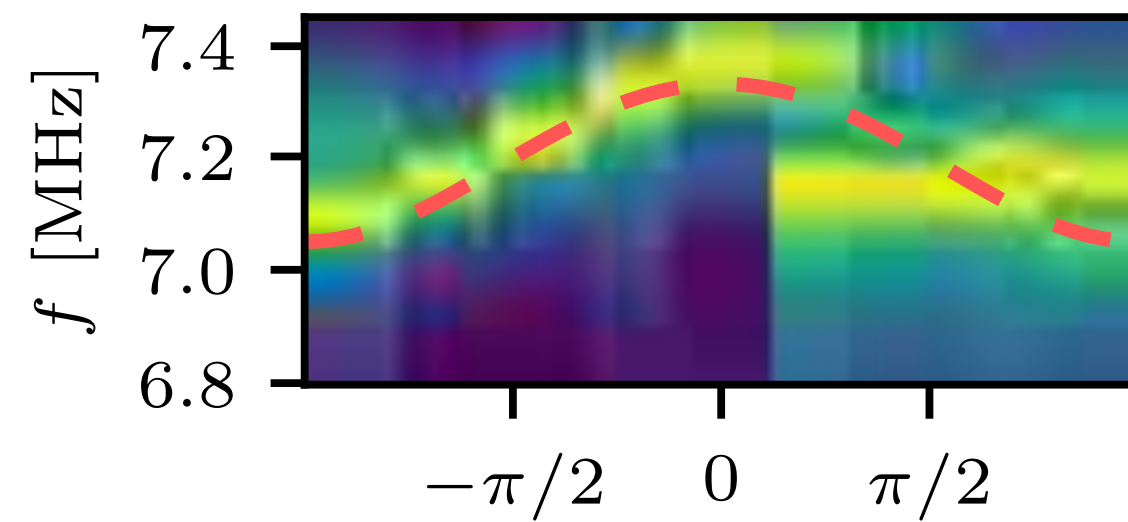
Quadrupole phase transition



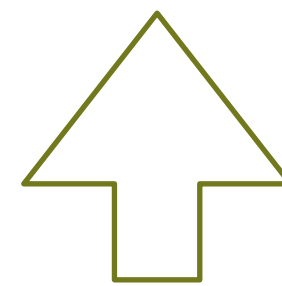
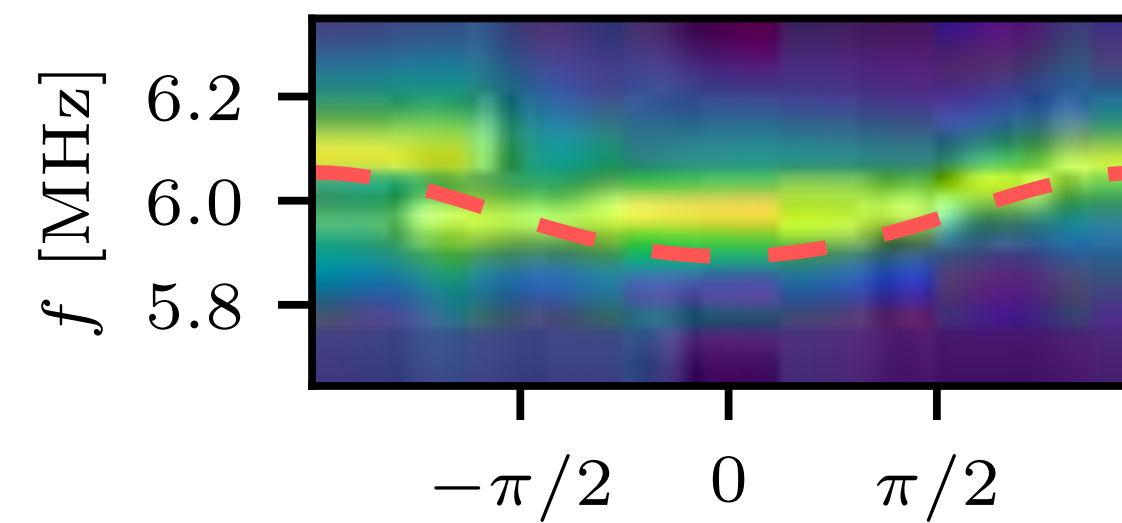
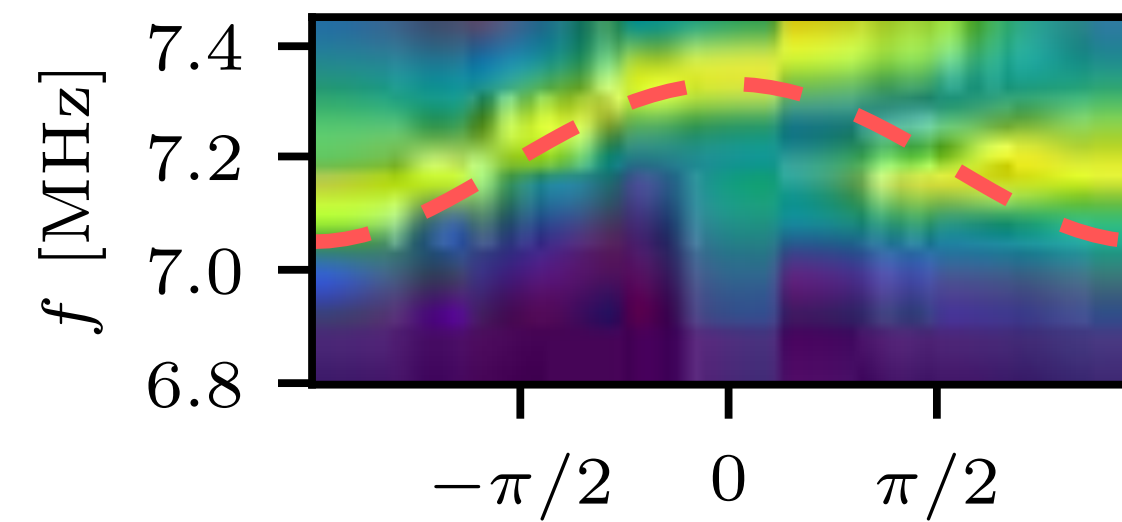
Localization length



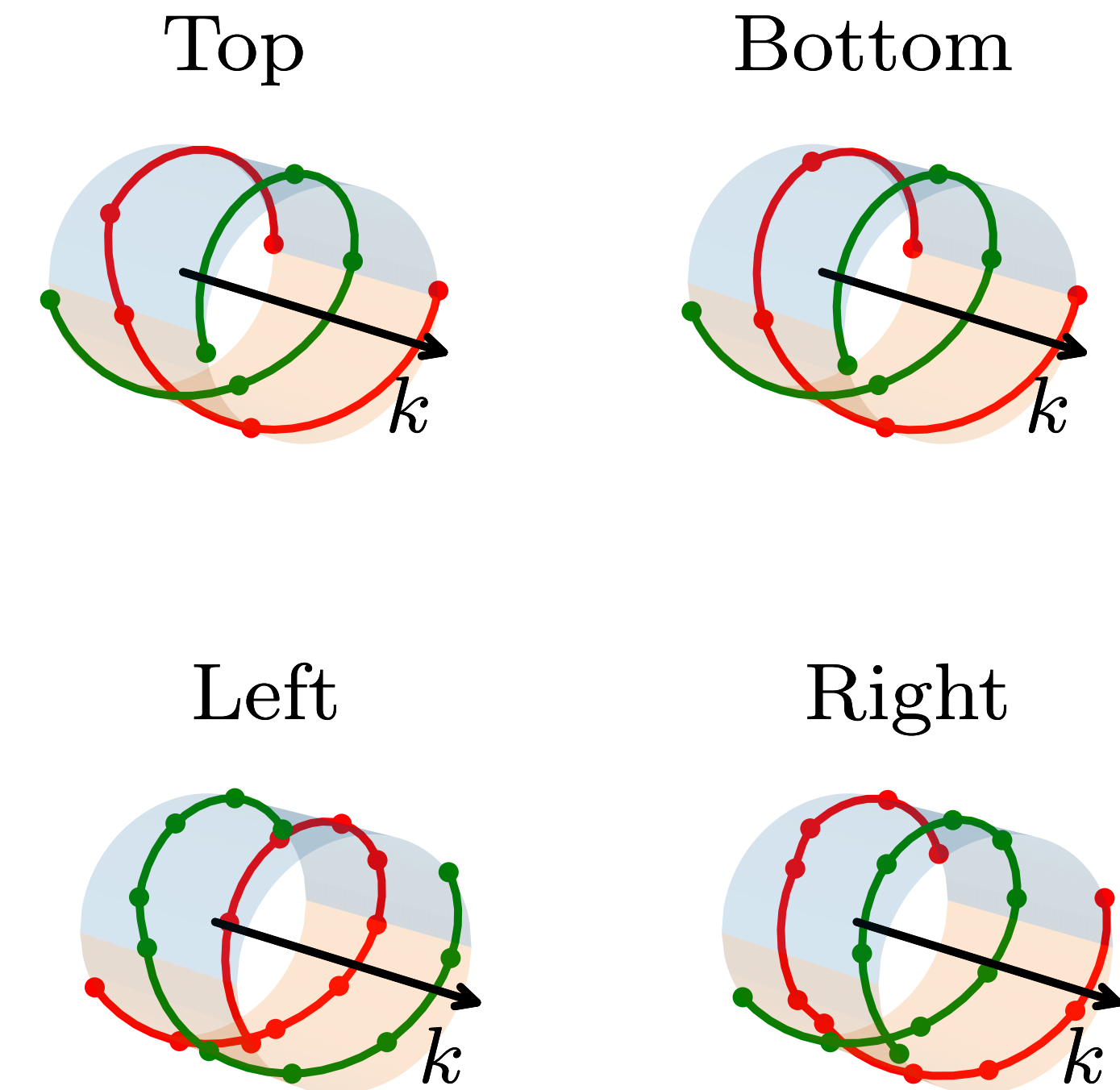
Edge physics and Berry phase



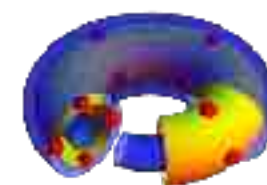
A



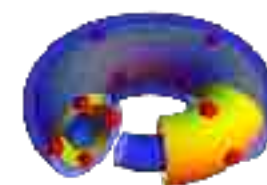
B



$$\varphi_k = \arg(\psi_k^A / \psi_k^B)$$



The electrons can do it too!



Acknowledgments



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Katie Matlack ⇒ **Prof @ UIUC**



Valerio Peri



Osama R. Bilal ⇒ **Postdoc @ Caltech**



Roman Süssstrunk ⇒ **Consultant @ AWK Group**



Guillermo Villanueva, EPFL

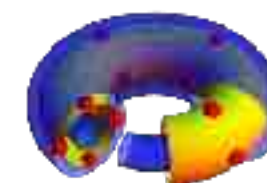
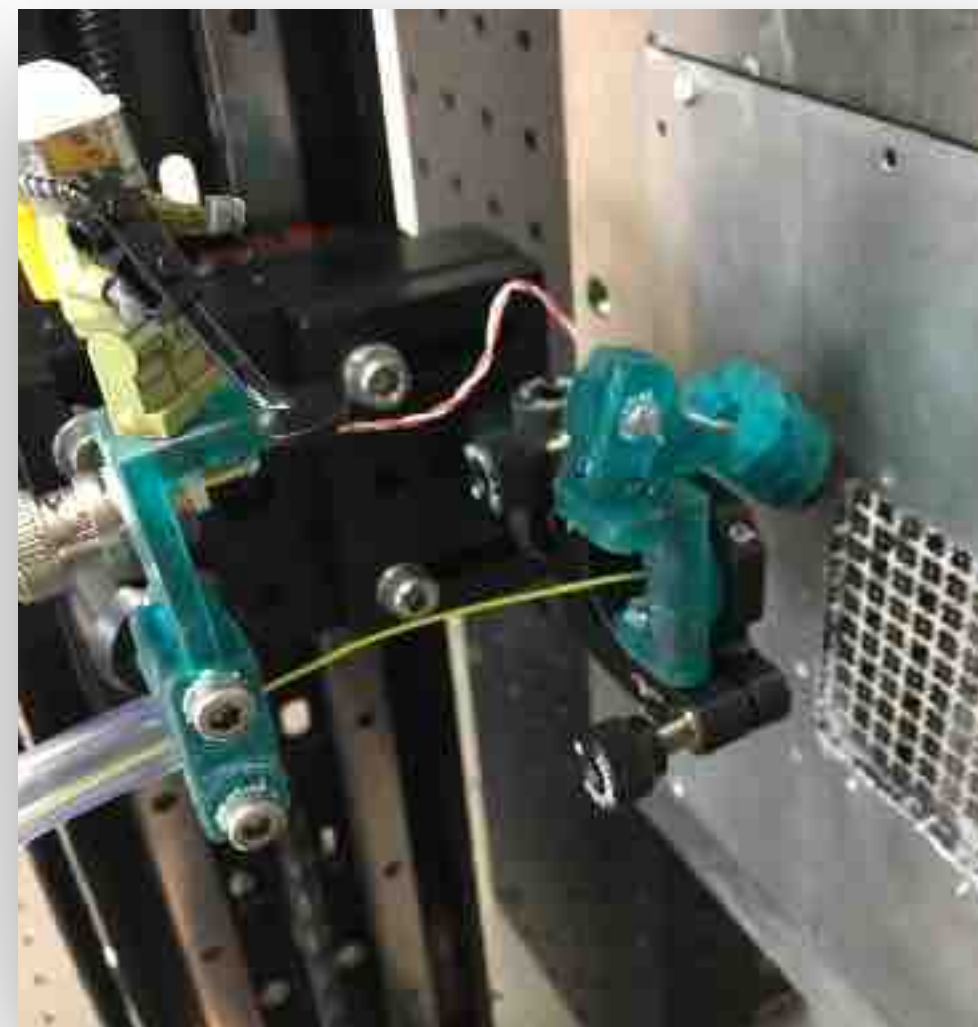
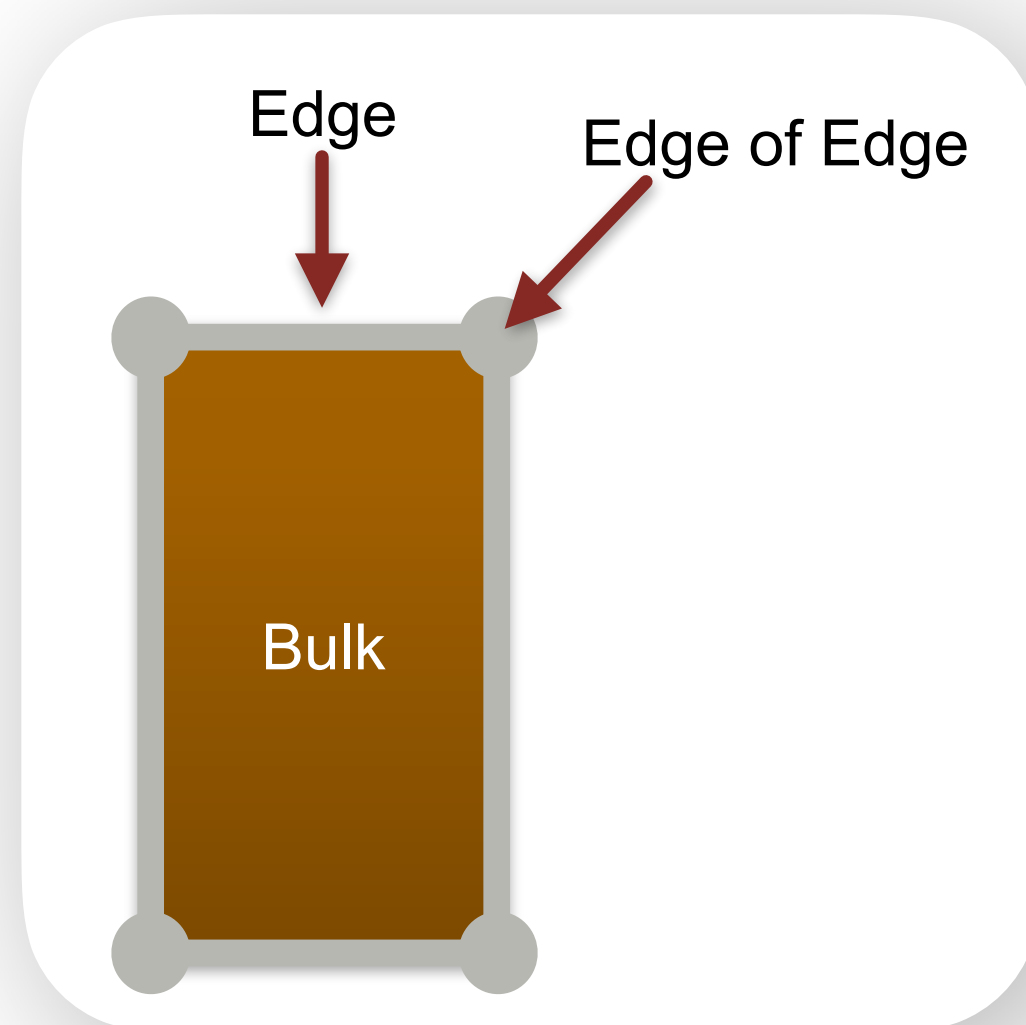
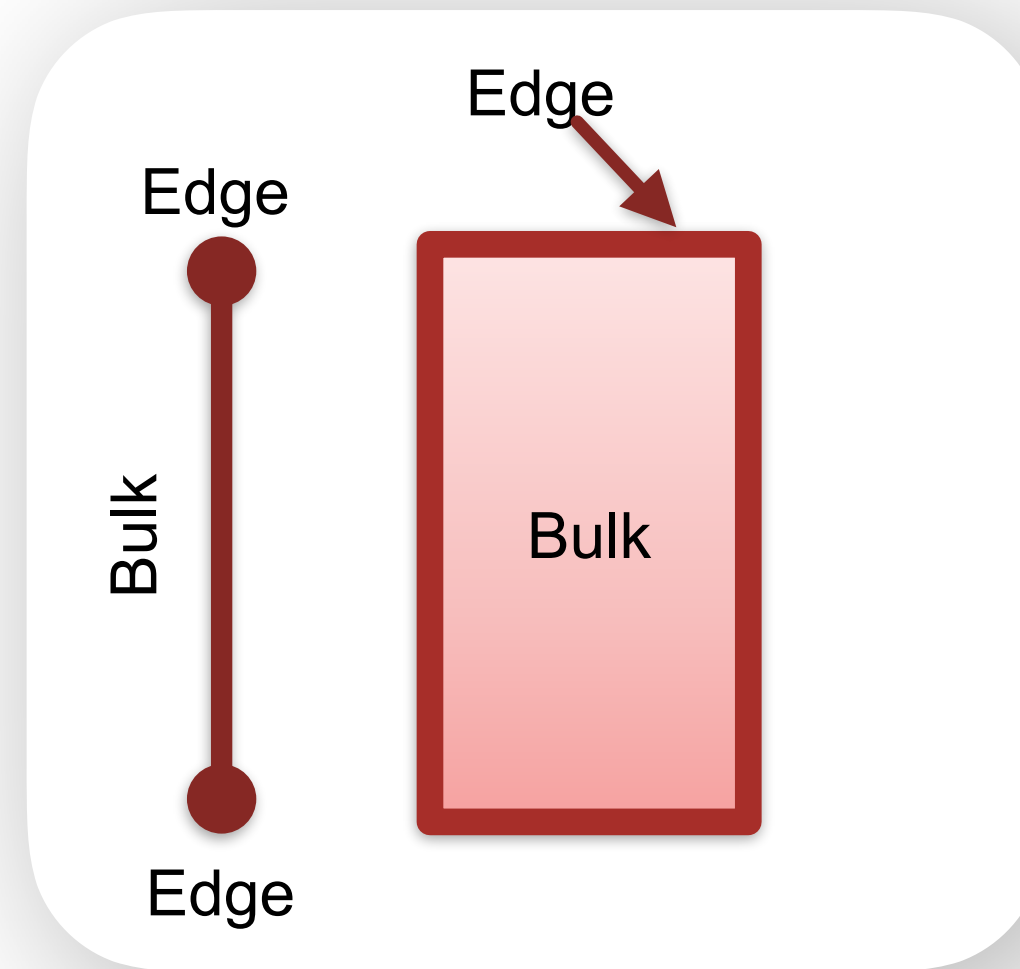


Tom Larsen, EPFL



Chiara Daraio, Caltech





Science **349**, 47 (2015)
 Nature Phys. **12**, 621 (2016)
 PNAS **113**, E4767 (2016)
 NJP **19**, 015013 (2017)
 Adv. Mater. 1700540 (2017)
 Nature (2018)
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