

**ADVANCED ANALYSIS**  
**Exercise sheet 13 – 7-8-9.02.2023**

**Ex.1** (Case  $n = 1$ ) Let  $V \in L^1(\mathbb{R}) + L^\infty(\mathbb{R})$  and  $\psi \in H^1(\mathbb{R})$ , define

$$\mathcal{E}(\psi) = \int_{\mathbb{R}} |\nabla \psi|^2 + \int_{\mathbb{R}} V|\psi|^2$$

and

$$E_0 = \inf \left\{ \mathcal{E}(\psi) \mid \psi \in H^1(\mathbb{R}) \quad \|\psi\|_{L^2(\mathbb{R})} = 1 \right\}. \quad (1)$$

1. *Stability*

- (a) Recall the Sobolev inequality for  $H^1(\mathbb{R})$  and justify that  $V|\psi|^2 \in L^1(\mathbb{R})$  for  $\psi \in H^1(\mathbb{R})$  and  $V \in L^1(\mathbb{R}) + L^\infty(\mathbb{R})$ .
- (b) Prove that  $E_0 > -\infty$ .

2. *Weak continuity of the potential.*

- (a) Assume that for all  $\eta > 0$

$$|\{x : |V(x)| > \eta\}| < \infty$$

Show that if  $\psi_j \rightharpoonup \psi_0$  in  $H^1(\mathbb{R})$  then

$$\int V|\psi_j|^2 \xrightarrow{j \rightarrow \infty} \int V|\psi|^2$$

- (b) *Existence of minimizers.* Assume that  $E_0 < 0$ .
  - i. Show that there exists  $\psi_0 \in H^1(\mathbb{R})$  such that  $\|\psi_0\|_2 = 1$  and  $\mathcal{E}(\psi_0) = E_0$ .
  - ii. Show that  $\psi_0$  satisfies

$$-\Delta \Psi_0 + V \Psi_0 = E_0 \Psi_0 \quad \text{in } D'(\mathbb{R}).$$

**Ex.2** (Case  $n = 2$ )

Let  $\varepsilon > 0$ ,  $V \in L^{1+\varepsilon}(\mathbb{R}^2) + L^\infty(\mathbb{R}^2)$  and  $\psi \in H^1(\mathbb{R}^2)$ , define

$$\mathcal{E}(\psi) = \int_{\mathbb{R}^2} |\nabla \psi|^2 + \int_{\mathbb{R}^2} V|\psi|^2$$

and

$$E_0 = \inf \left\{ \mathcal{E}(\psi) \mid \psi \in H^1(\mathbb{R}^2), \quad \|\psi\|_{L^2(\mathbb{R}^2)} = 1 \right\}.$$

1. *Stability.*

- (a) Recall the Sobolev inequality for  $H^1(\mathbb{R}^2)$  and justify that  $V|\psi|^2 \in L^1(\mathbb{R}^2)$  for  $\psi \in H^1(\mathbb{R}^2)$  and  $V \in L^{1+\varepsilon}(\mathbb{R}^2) + L^\infty(\mathbb{R}^2)$  for all  $\varepsilon > 0$ .
- (b) Prove that  $E_0 > -\infty$ .

2. *Weak continuity of the potential.*

- (a) Assume that for all  $\eta > 0$

$$|\{x : |V(x)| > \eta\}| < \infty$$

Show that if  $\psi_j \rightharpoonup \psi_0$  in  $H^1(\mathbb{R}^2)$  then

$$\int V|\psi_j|^2 \xrightarrow{j \rightarrow \infty} \int V|\psi|^2$$

**Ex.3** (Regularity of eigenfunctions) Let  $n \geq 1$  and assume that  $V \in C^\infty(\mathbb{R}^n)$ . Let  $E \in \mathbb{R}$  and  $\psi \in L^2(\mathbb{R}^n)$  such that

$$-\Delta\psi + V\psi = E\psi, \quad \text{in } D'(\mathbb{R}^n).$$

1. Show that for any  $R > 0$ ,  $\psi \in W^{2,2}(B_R)$ .
2. Show that  $\psi \in C^\infty(\mathbb{R}^n)$ .

**Ex.4** (The hydrogen atom)

For  $\Psi \in H^1(\mathbb{R}^3)$ , define

$$\mathcal{E}(\Psi) = \int_{\mathbb{R}^3} |\nabla\Psi|^2 - \int_{\mathbb{R}^3} \frac{1}{|x|} |\Psi(x)|^2$$

and define  $E_0$  as in (1).

1. Let  $\Psi_{gs}(x) = e^{-\frac{1}{2}|x|}$ . Compute  $\mathcal{E}(\Psi_{gs})$ .
2. Show that there exists  $\psi_0 \in H^1(\mathbb{R}^3)$  such that  $\mathcal{E}(\psi_0) = E_0$  and  $\|\psi_0\|_{L^2} = 1$ .
3. Show that  $\psi_0$  is  $C^\infty$  on  $\mathbb{R}^3 \setminus \{0\}$  and  $C^0$  on  $\mathbb{R}^3$ .
4. Denoting  $\eta = \psi_0\Psi_{gs}^{-1}$ , show that

$$\mathcal{E}(\psi_0) = \int_{\mathbb{R}^3} |\Psi_{gs}|^2 |\nabla\eta|^2 - \frac{1}{4}.$$

5. Deduce that there exists  $c \in \mathbb{C}$  such that  $\psi_0 = c\Psi_{gs}$ .

**Ex.4** (The non-linear Schrödinger equation)

Let  $a \in \mathbb{R}$  and define

$$\mathcal{E}_{\text{nlS}}(\psi) = \int_{\mathbb{R}^3} |\nabla\psi(x)|^2 + \int_{\mathbb{R}^3} |x|^2|\psi(x)|^2 + \frac{a}{2} \int_{\mathbb{R}^3} |\psi(x)|^4$$

and

$$E_0 = \inf \left\{ \mathcal{E}_{\text{nlS}}(\psi) \mid \psi \in H^1(\mathbb{R}^n), \quad \|\psi\|_{L^2(\mathbb{R})} = 1 \right\}. \quad (2)$$

1. *Repulsive case.* Assume  $a > 0$ .

(a) Show that there exists  $\psi_0$  that solves the minimization problem (2).

(b) Show that

$$-\Delta\psi_0 + |x|^2\psi_0 + |\psi_0|^2\psi_0 = \mu\psi_0, \quad \text{in } D'(\mathbb{R}^n)$$

for some  $\mu \in \mathbb{R}$  to be determined.

2. *Attractive case.* Assume  $a < 0$ .

(a) Show that  $E_0 = -\infty$ . *Hint:* one could consider  $\psi_\lambda = \lambda^{3/2}\psi(\lambda\cdot)$  for  $\lambda > 0$  and some  $\psi \in H^1(\mathbb{R}^3)$ .